

# Phase II Study of Nivolumab and Salvage Nivolumab/Ipilimumab in Treatment-Naive Patients With Advanced Clear Cell Renal Cell Carcinoma (HCRN GU16-260-Cohort A)

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**PURPOSE** To determine the value of tumor cell programmed death-ligand 1 (PD-L1) expression as a predictive biomarker of nivolumab monotherapy efficacy in treatment-naive patients with clear cell renal cell carcinoma (ccRCC) and the efficacy of salvage nivolumab/ipilimumab in patients with tumors unresponsive to nivolumab monotherapy.

**METHODS** Eligible patients with treatment-naive ccRCC received nivolumab until progressive disease (PD), toxicity, or completing 96 treatment weeks (part A). Patients with PD before or stable disease at 48 weeks could receive salvage nivolumab/ipilimumab (part B). The primary end point was improvement in 1-year progression-free survival in patients with tumor PD-L1 expression > 20% versus 0%.

**RESULTS** One hundred twenty-three patients were enrolled. The objective response rate (ORR) was 34.1% (95% CI, 25.8 to 43.2). ORR by International Metastatic RCC Database Consortium category was favorable-risk 57.1%, intermediate-risk/poor-risk 25.0%, and by sarcomatoid features 36.4%. The ORR was 26.9%, 50.0%, and 75.0% for patients with the tumor PD-L1 expression of 0, 1-20, or > 20%, respectively (trend test  $P$  value = .002). The median duration of response was 27.6 (19.3 to not reached) months, with 26 of 42 responders including 17 of 20 with favorable-risk disease remaining progression-free. The 1-year progression-free survival was 34.6% and 75.0% in the PD-L1 = 0% and > 20% categories, respectively ( $P$  = .050). Ninety-seven patients with PD or prolonged stable disease were potentially eligible for part B, and 35 were enrolled. The ORR for part B was 11.4%. Grade  $\geq$  3 treatment-related adverse events occurred in 35% of patients on nivolumab and 43% of those on salvage nivolumab/ipilimumab.

**CONCLUSION** Nivolumab monotherapy is active in treatment-naive ccRCC. Although efficacy appears to be less than that of nivolumab/ipilimumab in patients with intermediate-risk/poor-risk disease, favorable-risk patients had notable benefit. Efficacy correlated with tumor PD-L1 status. Salvage nivolumab/ipilimumab was frequently not feasible and of limited benefit.

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## INTRODUCTION

Nivolumab monotherapy received US Food and Drug Administration approval in 2015 for the treatment of patients with vascular endothelial growth factor receptor (VEGFR) tyrosine kinase inhibitor (TKI)-resistant clear cell renal cell carcinoma (ccRCC) on the basis of the results of the CheckMate 025 study.<sup>1</sup> In this study, nivolumab demonstrated a statistically significant improvement in overall survival (OS) and objective response rate (ORR). In addition, grade 3 toxicity was significantly less and quality of life significantly improved in patients treated with nivolumab

relative to those receiving everolimus. These benefits were sustained at 5 years.<sup>2</sup>

The combination of nivolumab/ipilimumab received US Food and Drug Administration approval in 2018 for patients with treatment-naive International Metastatic RCC Database Consortium (IMDC)<sup>3</sup> intermediate-risk and poor-risk advanced ccRCC on the basis of the results of the CheckMate 214 study comparing the combination with sunitinib.<sup>4</sup> In this study, nivolumab/ipilimumab showed improved median progression-free survival (PFS), ORR, and OS relative to sunitinib in patients with IMDC intermediate-risk and poor-risk ccRCC.

## ASSOCIATED CONTENT

See accompanying editorial on page 2867

Appendix

[Data Sharing Statement](#)

[Protocol](#)

Author affiliations and support information (if applicable) appear at the end of this article.

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This benefit relative to sunitinib has been maintained out to a minimum follow-up of 48 months.<sup>5</sup> Similar benefits were also seen in the intent-to-treat population.

At the time of study initiation, little information was available on the efficacy and safety profile of nivolumab monotherapy in patients with treatment-naïve ccRCC or combination of nivolumab/ipilimumab salvage in patients without response to nivolumab monotherapy. Also, little information was available about biomarkers predictive of either response or resistance to nivolumab monotherapy. CheckMate 009 included a cohort of patients with treatment-naïve metastatic ccRCC treated with nivolumab monotherapy at a dose of 10 mg/kg once every 3 weeks and reported responses in only three of 24 (13%) patients, but 74% of patients were alive at 2 years.<sup>6</sup> Although pharmacodynamic changes in tumor biopsies were reported, no information was presented about pretreatment predictive biomarkers of response in the treatment-naïve cohort. A multi-institutional retrospective analysis reported an ORR of 20% to salvage nivolumab/ipilimumab in 45 patients who previously had been treated with anti-programmed death-ligand 1 (PD-L1) therapy.<sup>7</sup> Given these limited data, the HCRN GU16-260 trial was launched to prospectively and more thoroughly fill these knowledge gaps.

## METHODS

HCRN-GU16-260 (ClinicalTrials.gov identifier: [NCT03117309](https://clinicaltrials.gov/ct2/show/study/NCT03117309)) was a single-arm, open-label, nonrandomized, multicenter (12 sites), phase II trial. The study was conducted in accordance with International Conference on Harmonization guidelines for Good Clinical Practice and the principles of the Declaration of Helsinki. The Protocol (online only) was approved by the institutional review board for each participating institution. All patients provided written informed consent.

### Treatment

Treatment schema is described in Appendix [Figure A1](#) (online only). In part A, patients received nivolumab monotherapy for up to 96 weeks of total treatment. If patients experienced disease progression (PD) at any time or had a best response of stable disease (SD) at 48 weeks of treatment (prolonged SD), they were potentially eligible for part B, which involved the combination of nivolumab/ipilimumab for 12 weeks followed by nivolumab monotherapy for up to 48 weeks. Imaging was performed at baseline and then every 12 weeks. Patients with asymptomatic disease progression could continue therapy until a repeat scan 6 or more weeks later confirmed disease progression.

For part A, patients were enrolled into either cohort A (ccRCC) or cohort B (non-ccRCC). This article describes the results of cohort A. Patients had to have advanced renal cell carcinoma (RCC) with a clear cell component and at least one RECIST version 1.1–defined measurable site of disease. Patients were required to have an Eastern Cooperative Oncology Group performance status of 0-2,

age  $\geq$  18 years, adequate organ and bone marrow function, controlled brain metastases, and a tumor biopsy (core or excisional) of a metastatic lesion obtained within 1 year before study registration.

Patients were excluded if they had active autoimmune disease, a concurrent medical condition requiring use of systemic corticosteroids equivalent to prednisone  $>$  10 mg in a day, or prior systemic therapy for metastatic RCC.

For enrollment into part B, patients must not have experienced a grade  $\geq$  3 immune-related adverse event (irAE) on nivolumab, excepting an endocrine irAE managed with hormone replacement therapy, and were required to have a repeat tumor biopsy confirming the existence of residual disease. Patients with symptomatic disease progression on nivolumab for whom the investigator, in consultation with the patient, felt that an alternative therapy was more appropriate were also not enrolled.

### Tissue Collection

Analysis of PD-L1 expression was performed on formalin-fixed paraffin-embedded tumor tissue sections from metastatic lesions or primary tumors (if sufficient metastatic tissue was unavailable). The tumor sections were stained with an anti-PD-L1 antibody (1:100; E1L3N rabbit monoclonal antibody; Cell Signaling Technology, Danvers, MA) according to a standard protocol.<sup>8,9</sup> The percentage of PD-L1–positive tumor cells was independently scored by three pathologists who were blinded to clinical outcomes. Discrepancies in scores were resolved by consensus review. For patients with PD-L1 measures from both metastatic and primary lesions, preference was given to the metastatic lesion score. For statistical analysis, PD-L1 scores were categorized as 0%, 1%-5%,  $>$  5%-20%, or  $>$  20% to study for association with clinical outcomes. The baseline PD-L1 score was used for analysis of efficacy in part B.

### Statistical Analysis

The planned number of eligible and treated patients to be enrolled in cohort A was 120.

**Part A.** The primary objective of this trial was to use tumor PD-L1 status to predict clinical outcomes (1-year landmark PFS). For the primary outcome, we anticipated that about 45% (or 54) of patients' tumors would have 0% PD-L1 expression and roughly 15% (or 18) would express PD-L1  $>$  20%. A sample size of 120 patients with ccRCC provided a 90% power using a 0.05-level two-sided test (Fisher's exact) to test the hypothesis that PD-L1  $>$  20% was associated with a significantly improved 1-year PFS rate (55% v 13% taken as a binomial quantity). Given an expected patient allocation within the four PD-L1 groups of 45%, 25%, 15%, and 15%, the Cochran-Armitage test gives at least 90% power to detect a trend in 1-year PFS of 13%, 19%, 35%, and 55% using a two-sided 0.05-level test for trend. The trend test served as sensitivity analysis of the primary study outcome.

Secondary end points were to use RECIST and immune-related RECIST measurements to determine the ORR, duration of response (DOR), and median PFS for frontline nivolumab monotherapy for all enrolled patients and as a factor of IMDC risk score, tumor PD-L1 expression, and sarcomatoid histology. In addition, we sought to determine the safety of nivolumab monotherapy in the frontline setting.

**Part B.** Patients who exhibited a best response of SD at 48 weeks or who experienced progression were considered for part B. The primary end point for part B was ORR using tumor measurements at the start of part B as baseline. It was expected that roughly half of the part A patients would be enrolled in part B. A two-stage design was used. Observing two or more responses among the first 29 part B patients would provide sufficient evidence to continue part B enrollment. With a true uninteresting response rate of 5%, there was a 57% chance of declaring the regimen uninteresting; however, with an interesting response rate of 20%, there was a > 95% chance of continuing. If after enrollment of 60 patients, there were at least 11 total responses, the 95% CI would range from 10.6% to 28.5%, adjusted for the two-stage design.

## RESULTS

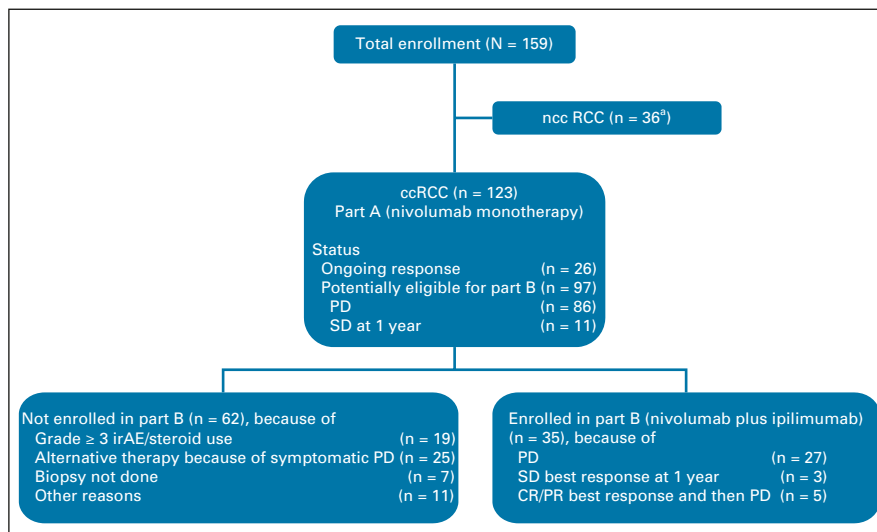
The disposition of patients is shown in the flow diagram (Fig 1). One hundred twenty-three patients with ccRCC were enrolled from May 2017 until December 2019. At the time of data lock (April 7, 2021) and at a median [Q1, Q3] follow-up of 26.9 [20.5, 33.2] months, 35 patients had gone onto part B. Of the remaining 88 patients, 26 were still responding to nivolumab monotherapy and 62 did not enroll in part B because of not meeting eligibility criteria

(grade  $\geq 3$  irAE on part A [19] or inability to obtain a subsequent tumor biopsy or document residual tumor in the biopsy specimen [7]), symptomatic PD prompting the physician/patient to choose an alternative treatment (25), or various other reasons (11; Fig 1, Appendix Table A1 [online only]).

Demographics are displayed in Table 1. Tumor cell PD-L1 expression was 0% in 78 (63.4%) and > 20% in 8 (6.5%) patients. PD-L1 data were not available for 21 patients (17.1%).

### Efficacy Results: Part A

Response data for part A are shown in Table 2. The ORR (95% CI) for the entire study population was 34.1% (25.8 to 43.2) with a 6.5% complete response (CR). The ORR by immune-related RECIST was 39.0% (30.4 to 48.2). The intermediate-risk and poor-risk populations had response rates of 23.7% (14.7 to 34.8) and 33.3% (9.9 to 65.1), with three and one CR, respectively. The ORR in patients with favorable-risk disease was 57.1% (39.4 to 73.7) with 4 (11.4%) CRs. Of note, only one favorable-risk patient experienced PD at their 12-week computed tomography scan. Eight of 22 (36.4%) patients with sarcomatoid histology responded with no CRs. The ORR by tumor PD-L1 status was 21 of 78 (26.9%), 8 of 16 (50.0%), and 6 of 8 (75.0%) for patients with tumor PD-L1 of 0, 1-20, or > 20%, respectively (trend test  $P$  value = .002). Five of 7 (71.4%) favorable-risk patients with PD-L1  $\geq 1$  exhibited a tumor response including one CR. However, 14 of the 23 (60.9%) patients with favorable-risk disease and a tumor PD-L1 score of 0% also responded (including three CRs) and this group represented 74% of the responders with favorable-risk disease.



**FIG 1.** Flow diagram. <sup>a</sup>Reported separately. ccRCC, clear cell renal cell carcinoma; CR, complete response; irAE, immune-related adverse event; nccRCC, nonclear cell renal cell carcinoma; PD, progressive disease; PR, partial response; SD, stable disease.

**TABLE 1.** Patient Demographic and Baseline Characteristics (clear cell renal cell carcinoma patients, n = 123)

Patient Characteristic	IMDC Prognosis			Total (n = 123)
	Favorable (n = 35)	Intermediate (n = 76)	Poor (n = 12)	
Age, years, median (minimum, maximum)	65.0 (46.0, 85.0)	65.5 (32.0, 86.0)	57.5 (49.0, 82.0)	65.0 (32.0, 86.0)
Sex, No. (%)				
Female	7 (20.0)	22 (28.9)	5 (41.7)	34 (27.6)
Male	28 (80.0)	54 (71.1)	7 (58.3)	89 (72.4)
Race, No. (%)				
White	31 (88.6)	64 (84.2)	9 (75.0)	104 (84.6)
Black	2 (5.7)	7 (9.2)	2 (16.7)	11 (8.9)
Asian	—	3 (3.9)	1 (8.3)	4 (3.3)
AI/AN	1 (2.9)	1 (1.3)	—	2 (1.6)
Unreported	1 (2.9)	1 (1.3)	—	2 (1.6)
Ethnicity, No. (%)				
Hispanic	3 (8.6)	4 (5.3)	—	7 (5.7)
Non-Hispanic	32 (91.4)	71 (93.4)	12 (100.0)	115 (93.5)
Unknown	—	1 (1.3)	—	1 (0.8)
ECOG PS, No. (%)				
0	26 (74.3)	48 (63.2)	5 (41.7)	79 (64.2)
1	9 (25.7)	27 (35.5)	7 (58.3)	43 (35.0)
2	—	1 (1.3)	—	1 (0.8)
Liver metastases, No. (%)				
No	31 (88.6)	58 (76.3)	6 (50.0)	95 (77.2)
Yes	4 (11.4)	18 (23.7)	6 (50.0)	28 (22.8)
Sarcomatoid features, No. (%)				
No	33 (94.3)	60 (78.9)	8 (66.7)	101 (82.1)
Yes	2 (5.7)	16 (21.1)	4 (33.3)	22 (17.9)
Prior nephrectomy, No. (%)				
No	2 (5.7)	16 (21.1)	5 (41.7)	23 (18.7)
Yes	33 (94.3)	60 (78.9)	7 (58.3)	100 (81.3)
PD-L1 category, %, No. (%)				
0	23 (65.7)	49 (64.5)	6 (50.0)	78 (63.4)
≥ 1 to ≤ 5	4 (11.4)	8 (10.5)	1 (8.3)	13 (10.6)
> 5 to ≤ 20	1 (2.9)	2 (2.6)	—	3 (2.4)
> 20	2 (5.7)	4 (5.3)	2 (16.7)	8 (6.5)
Not available	5 (14.3)	13 (17.1)	3 (25.0)	21 (17.1)

Abbreviations: AI, American Indian; AN, Alaskan Native; ECOG PS, Eastern Cooperative Oncology Group performance status; IMDC, International Metastatic RCC Database Consortium; PD-L1, programmed death-ligand 1.

Figure 2A displays a waterfall plot of maximum depth of response in target lesions separated by IMDC-risk category for the patients in part A. Some tumor shrinkage was seen in the majority of patients in each category. Figure 2B displays a waterfall plot of maximum depth of response separated by tumor PD-L1 status and demonstrates the above-noted correlation between treatment response and PD-L1 expression.

The median DOR for the whole part A responder population was estimated to be 27.6 (19.3 to not applicable [NA]) months (Appendix Fig A2A, online only). Twenty-six of 42 responders remain progression-free. Of note, only three of 20 responders with favorable-risk disease and one of four with poor-risk disease have exhibited disease progression, whereas the median DOR for the intermediate-risk population was 11.3 (8.3 to NA) months (Appendix Fig A2B).

**TABLE 2.** ORR by IMDC Prognosis and PD-L1 Categories

Part A									
Best Treatment Response	IMDC Prognosis (n = 123)				PD-L1 Categories (n = 102) <sup>a</sup>				
	Favorable	Intermediate	Poor	Total	0%	≥ 1% to ≤ 5%	> 5% to ≤ 20%	> 20%	
Total, No.	35	76	12	123	78	13	3	8	
CR, No. (%)	4 (11.4)	3 (4.0)	1 (8.3)	8 (6.5)	4 (5.1)	1 (7.7)	—	1 (12.5)	
PR, No. (%)	16 (45.7)	15 (19.7)	3 (25.0)	34 (27.6)	17 (21.8)	6 (46.2)	1 (33.3)	5 (62.5)	
SD, No. (%)	14 (40.0)	26 (34.2)	4 (33.3)	44 (35.8)	30 (38.5)	3 (23.1)	1 (33.3)	2 (25.0)	
PD, No. (%)	1 (2.9)	32 (42.1)	4 (33.3)	37 (30.1)	27 (34.6)	3 (23.1)	1 (33.3)	—	
ORR, %	20 (57)	18 (23.6)	4 (33.3)	42 (34.1)	21 (26.9)	7 (53.8)	1 (33.3)	6 (75)	
95% CI, %	39.4 to 73.7	14.7 to 34.3	9.9 to 65.1	25.8 to 43.2	17.5 to 38.2	25.1 to 80.8	0.8 to 90.6	34.9 to 96.8	

Part B									
Best Treatment Response	IMDC Prognosis (n = 35)				PD-L1 Categories (n = 30) <sup>b</sup>				
	Favorable	Intermediate	Poor	Total	0%	≥ 1% to ≤ 5%	> 5% to ≤ 20%	> 20%	
Total, No.	6	27	2	35	26	3	1	—	
CR, No. (%)	—	1 (3.7)	—	1 (2.9)	1 (3.9)	—	—	—	
PR, No. (%)	2 (33.3)	1 (3.7)	—	3 (8.6)	1 (3.9)	2 (66.7)	—	—	
SD, No. (%)	2 (33.3)	7 (25.9)	—	9 (25.7)	8 (30.8)	1 (33.3)	—	—	
PD, No. (%)	2 (33.3)	18 (66.7)	2 (100)	22 (62.9)	16 (61.5)	—	1 (100)	—	
ORR, %	2 (33.3)	2 (7.4)	0	4 (11.4)	2 (7.7)	2 (66.7)	0	—	
95% CI, %	4.3 to 77.7	0.9 to 24.3	—	3.2 to 26.7	0.9 to 25.1	9.4 to 99.2	—	—	

Abbreviations: CR, complete response; IMDC, International Metastatic RCC Database Consortium; ORR, objective response rate; PD, progressive disease; PD-L1, programmed death-ligand 1; PR, partial response; SD, stable disease.

<sup>a</sup>Twenty-one patients do not have PD-L1 data.

<sup>b</sup>Five patients do not have PD-L1 data.

The median PFS was 8.3 (5.5 to 10.9) months for the whole study population (Fig 3A), 32.5 months for those with IMDC favorable-risk disease, and 5.4 and 5.2 months for patients with intermediate-risk and poor-risk diseases, respectively. The PFS at 12 months was 65.1% (46.8 to 78.5) for those with favorable-risk disease, 28.3% (18.7 to 38.8) for those with intermediate-risk disease, and 33.3% (10.3 to 58.8) for those with poor-risk disease. In total, 32 patients remain progression-free (Fig 3B). The median PFS was 7.7 (4.1 to 10.8) months in the PD-L1 0% expression group and 20.6 (4.2 to NA) months in patients with PD-L1 expression > 20% (Fig 3C). The 1-year PFS rates in the PD-L1 0% and > 20% categories were 34.6% (27 of 78) and 75.0% (6 of 8), respectively (Fisher's exact 2-sided *P* value = .050). Thus, the study primary end point was met.

### Efficacy Results: Part B

Four of the first 29 patients treated in part B exhibited an objective response, enabling part B to proceed to full accrual, which turned out to be 35 rather than the anticipated 60 patients (Fig 1). The ORR to nivolumab/ipilimumab salvage was 4 of 35 (11.4%) with 1 CR (Table 2). Of

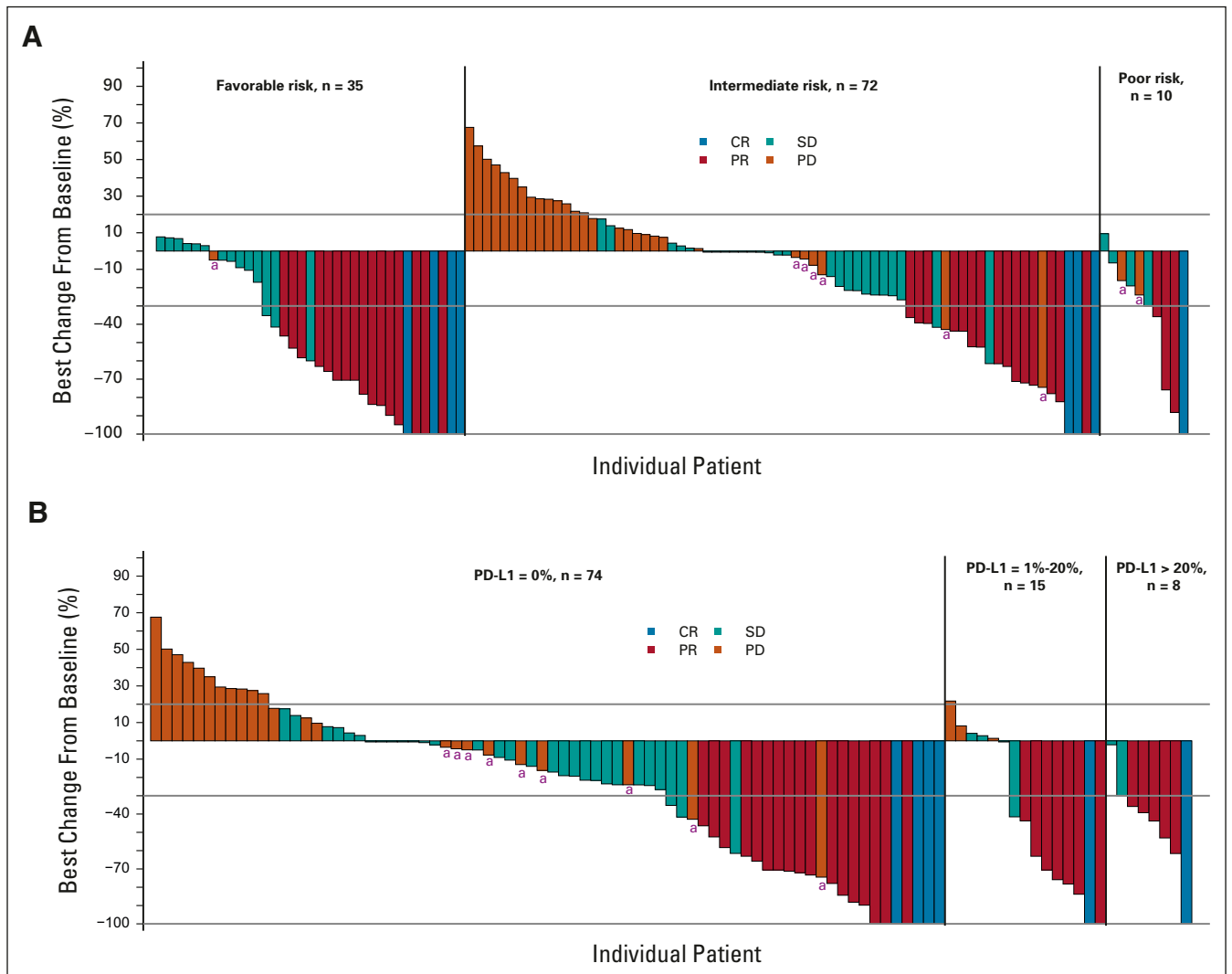
note, two of 6 (33.3%) favorable-risk patients but only two of 29 (6.9%) intermediate-risk/poor-risk patients exhibited a response in part B. The immune-related ORR was 6 of 35 (17.1%), with the two additional responses being observed in the intermediate-risk population. Thirty patients on part B had tumor assessable for PD-L1, with 26 (86.7%) being PD-L1 0%. The ORR to salvage nivolumab/ipilimumab was 7.7% (2 of 26) for patients with tumor PD-L1 0% and 50.0% (2 of 4) for patients with PD-L1 ≥ 1%.

### Overall Survival

The median OS for the entire population has not been reached, with only 32 patients having died as of the data lock. Specifically, 77.7% of patients are alive at 24 months.

### Safety Data

The distribution of toxicities for part A and part B is shown in Table 3. Treatment-emergent grade ≥ 3 toxicity was seen in 35.0% (43 of 123) of patients on nivolumab monotherapy (part A). Eighteen of the 43 patients with grade ≥ 3 toxicities (14.6% of the total) had elevated lipase or amylase, which were almost exclusively asymptomatic. One patient died of respiratory failure. Treatment-emergent



**FIG 2.** Waterfall plots of maximum tumor shrinkage by (A) IMDC category and (B) PD-L1 status in ccRCC part A. Tumors with PD-L1 status 1-5 and > 5 to 20 are combined as there were only three tumors in the latter group. <sup>a</sup>New lesions. ccRCC, clear cell renal cell carcinoma; CR, complete response; IMDC, International Metastatic RCC Database Consortium; PD, progressive disease; PD-L1, programmed death-ligand 1; PR, partial response; SD, stable disease.

grade  $\geq 3$  toxicities for part B were seen in 42.9% (15 of 35) of patients, with 5 (14.3%) having asymptomatic elevated amylase or lipase. Of note, 40.0% (12 of 30) of patients without grade 3 toxicity on part A experienced grade  $\geq 3$  toxicity on arm B. One patient died of treatment-related myositis.

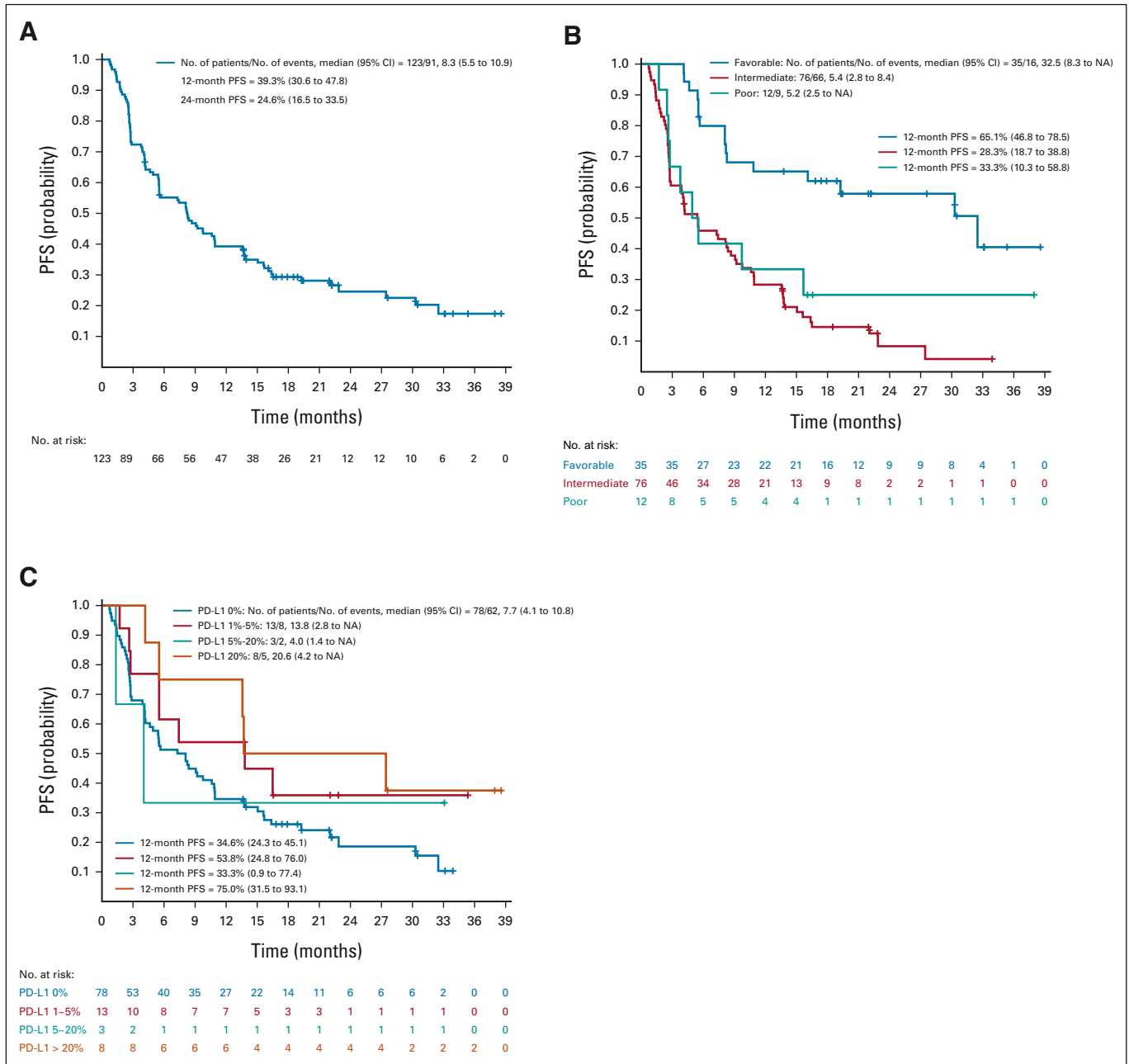
## DISCUSSION

This study shows that nivolumab monotherapy has efficacy in treatment-naïve patients with ccRCC with an ORR (95% CI) of 34.1% (25.8 to 43.2), a CR of 6.5%, a median DOR of 27.6 months, and a median PFS of 8.3 months. Efficacy was seen across all IMDC risk categories with encouraging activity (ORR 57%) in patients with favorable-risk disease. Toxicities were typical of those seen with nivolumab monotherapy in other disease settings, with a substantial

minority of grade  $\geq 3$  toxicity being related to asymptomatic elevations in pancreatic enzymes of uncertain clinical significance.

These results are consistent with several other recently reported frontline studies of single-agent programmed cell death protein 1 (PD-1) pathway blockade in patients with ccRCC.<sup>10-12</sup> Although OMNIVORE<sup>13</sup> reported a lower ORR for nivolumab monotherapy, this trial included a mix of patients with ccRCC and nonclear cell renal cell carcinoma receiving either frontline or second-line treatment.

Salvage nivolumab/ipilimumab showed modest efficacy with an ORR of only 11.4% with 1 CR. The activity of salvage nivolumab/ipilimumab is also consistent with previous reports, including the TITAN-RCC study where an ORR of 11% was observed in patients with PD in the first 16 weeks and 19% in patients with PD after 16 weeks.<sup>10</sup>



**FIG 3.** PFS for ccRCC part A: (A) overall (n = 123) and (B) by IMDC and (C) PD-L1 categories. ccRCC, clear cell renal cell carcinoma; IMDC, International Metastatic RCC Database Consortium; NA, not applicable; PD-L1, programmed death-ligand 1; PFS, progression-free survival.

Because of the study design and patient/investigator decisions, < 40% of patients with PD/prolonged SD were both eligible and consented to receive salvage nivolumab/ipilimumab therapy. Adverse events for nivolumab/ipilimumab were less than that previously reported in the first-line setting, with only 10 patients (29%) experiencing grade ≥ 3 toxicities that were unrelated to elevated pancreatic enzymes. This is perhaps to be expected given that patients who experienced grade ≥ 3 nonendocrine toxicity on nivolumab monotherapy were excluded from enrollment

on part B and many endocrine toxicities (eg, thyroiditis and hypophysitis) are permanent and, therefore, cannot recur. Nivolumab monotherapy represents an alternative frontline approach that might be applicable for patients where the addition of ipilimumab or VEGFR TKI therapy poses a concern. However, the nivolumab/ipilimumab combination is likely preferred over nivolumab monotherapy when possible. This is particularly true for intermediate-risk/poor-risk patients and those with sarcomatoid RCC, because of what appears to be a lower ORR, fewer CRs, and shorter

**TABLE 3.** Incidence of Treatment-Related Adverse Events

Category	Part A (n = 123)		Part B (n = 35)	
	Any Grade (> 2 patients)	Grade 3-5	Any Grade (≥ 2 patients)	Grade 3-5 <sup>a</sup>
Rash	44 (36)	2 (2)	8 (23)	2 (6)
Pruritus	39 (32)	1 (1)	9 (26)	1 (3)
Fatigue	39 (32)	4 (3)	12 (34)	1 (3)
Diarrhea	27 (22)	4 (3)	7 (20)	3 (9)
Arthralgias/arthritis	21 (17)	2 (2)	—	—
Hypothyroidism	20 (16)	0 (—)	—	—
Lipase increased	20 (16)	10 (8)	7 (20)	5 (14)
Serum amylase increased	18 (15)	8 (7)	6 (17)	5 (14)
Nausea	16 (13)	0 (—)	6 (17)	0 (—)
ALT increased	15 (12)	3 (2)	2 (6)	2 (6)
Anemia	13 (11)	1 (1)	—	—
AST increased	12 (10)	3 (2)	—	—
Creatinine increased	12 (10)	1 (1)	—	—
Dyspnea	10 (8)	2 (2)	—	—
Cough	10 (8)	1 (1)	4 (11)	0 (—)
Myalgia	9 (7)	1 (1)	—	—
CPK increased	9 (7)	2 (2)	—	—
Peripheral neuropathy	9 (7)	0 (—)	—	—
Weight loss	7 (6)	0 (—)	—	—
Colitis	6 (5)	5 (4)	2 (6)	2 (6)
Hyperglycemia	6 (5)	3 (2)	—	—
Dry mouth	6 (5)	0 (—)	3 (9)	0 (—)
Hyperuricemia	5 (4)	1 (1)	—	—
Bilirubin increased	4 (3)	1 (1)	—	—
Hyponatremia	4 (3)	2 (2)	—	—
Hyperthyroidism	3 (2)	0 (—)	—	—
Adrenal insufficiency	3 (2)	1 (1)	2 (6)	1 (3)
Pneumonitis	3 (2)	1 (1)	—	—
Myositis	1 (1)	1 (1)	—	1 (3) <sup>a</sup>
Myocarditis	1 (1)	1 (1)	—	—
Respiratory failure	1 (1)	1 (1) <sup>a</sup>	—	—
Uveitis	1 (1)	1 (1)	—	—
Total patients with toxicity <sup>b</sup>	103 (84)	43 (35)	30 (86)	15 (43)

NOTE: Data are represented as No. (%).

Abbreviation: CPK, creatinine phosphokinase.

<sup>a</sup>Grade 5 respiratory failure part A; myositis part B.

<sup>b</sup>Patients could have experienced more than one TRAE, 53% (n = 65), and 54% (n = 19) of patients were administered corticosteroids on part A and part B, respectively.

PFS and DOR than what was observed in the CheckMate 214 study<sup>5,14</sup> as well as the limited ability to salvage patients with later use of the combination. Although these data support this conclusion and further suggest that providing the most active immunotherapy regimen perceived to be tolerable as initial therapy should be the optimal approach

in both clinical trials and clinical practice, the CheckMate 8Y8 study, which randomly assigns patients with treatment-naive metastatic ccRCC and IMDC intermediate-risk/poor-risk disease to either nivolumab monotherapy or combination of nivolumab/ipilimumab, will formally address this question.



The data from the current study for patients with IMDC favorable-risk disease are intriguing. Although the high ORR and long DOR relative to the intermediate-risk/poor-risk population appear to be distinct from other studies of anti-PD-(L)1-based monotherapy for ccRCC, these data are in line with previous studies with cytokine-based immunotherapy, which suggested that this population was equally responsive to immunotherapy.<sup>15</sup> In addition, data from CheckMate 214 suggest that favorable-risk patients receiving nivolumab/ipilimumab do well in terms of ORR, CR rate, and DOR as patients with intermediate-risk/poor-risk disease. Furthermore, although median PFS for patients with favorable-risk disease was worse for those treated with nivolumab/ipilimumab than those treated with sunitinib, there were more CRs and 5-year survival was greater (58% v 48%) for those on the nivolumab/ipilimumab arm.<sup>16</sup> Moreover, for favorable-risk patients, treatment-free survival was three times longer with nivolumab/ipilimumab than sunitinib.<sup>17</sup> At the very least, these data suggest that the current algorithm for frontline RCC therapy selection, which excludes patients with favorable-risk disease from receiving immune checkpoint inhibitor (ICI)-only therapy, needs to be re-examined, and perhaps an ICI-specific prediction model needs to be created.

Tumor PD-L1 expression has been generally thought to be an unreliable biomarker for predicting the efficacy of the PD-1 pathway blockade in patients with metastatic ccRCC. For example, in each of the six reported phase III studies comparing the combination PD-1/PD-L1 pathway blockade with sunitinib, the hazard ratio for PFS for the PD-L1+ group overlapped that for the population as a whole<sup>4,5,18-22</sup> and only CheckMate 214 showed a greater OS benefit for the combination in the population with PD-L1+ tumors. However, many of these studies were confounded by several factors such as (1) PD-L1 analysis on

primary rather than metastatic lesions, (2) using samples collected > 12 months before treatment initiation and/or before an intervening treatment, (3) looking at end points such as median PFS or OS that may be poor measures of immunotherapy efficacy rather than ORR and landmark survival, (4) using mixed combination regimens that do not isolate the impact of the anti-PD-(L)1 component (especially with PD-L1 being a negative predictive biomarker for VEGFR TKIs<sup>23</sup>), and (5) comparing the results with a control arm rather than measuring within the ICI treatment arm.

In this study, 1-year PFS (the primary study end point) and ORR were directly correlated with tumor PD-L1 expression status, despite the paucity of patients with PD-L1 expression > 20%. However, the ORR in the 78 patients whose tumors lacked PD-L1 expression was 26.9%, encompassing 60% of the total responders. Thus, PD-L1 expression by itself is of limited clinical utility in selecting patients for nivolumab monotherapy, but could serve as an important component of a multifactorial predictive biomarker model. Tissue collected from the patients on this study will be used to test previous gene expression and immunohistochemistry-based profiles of ICI efficacy<sup>24,25</sup> and hopefully contributes to such a model.

In summary, this study establishes that nivolumab monotherapy is active in patients with treatment-naïve ccRCC and shows encouraging efficacy in patients with favorable-risk disease and high PD-L1-expressing tumors. Although overall efficacy appears to be less than that of the combination of nivolumab/ipilimumab in patients with intermediate-risk/poor-risk disease, these study results may encourage anti-PD-1 monotherapy use in patients who are poor candidates for ipilimumab and inform ICI biomarker development in both the frontline and adjuvant settings.<sup>26</sup>

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## DATA SHARING STATEMENT

Upon request, and subject to review, HCRN and Georgetown University will provide the data that support the clinical and biomarker findings of this study to interested investigators or regulatory authorities. Subject to certain criteria, conditions, and exceptions, HCRN and Georgetown University may also provide access to individual deidentified participant data to such entities. Data can be requested by e-mailing Dr Michael B. Atkins at mba41@georgetown.edu. Potential access to data will be available from the date of manuscript publication through at least December 31, 2027.

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**AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST****Phase II Study of Nivolumab and Salvage Nivolumab/Ipilimumab in Treatment-Naive Patients With Advanced Clear Cell Renal Cell Carcinoma (HCRN GU16-260-Cohort A)**

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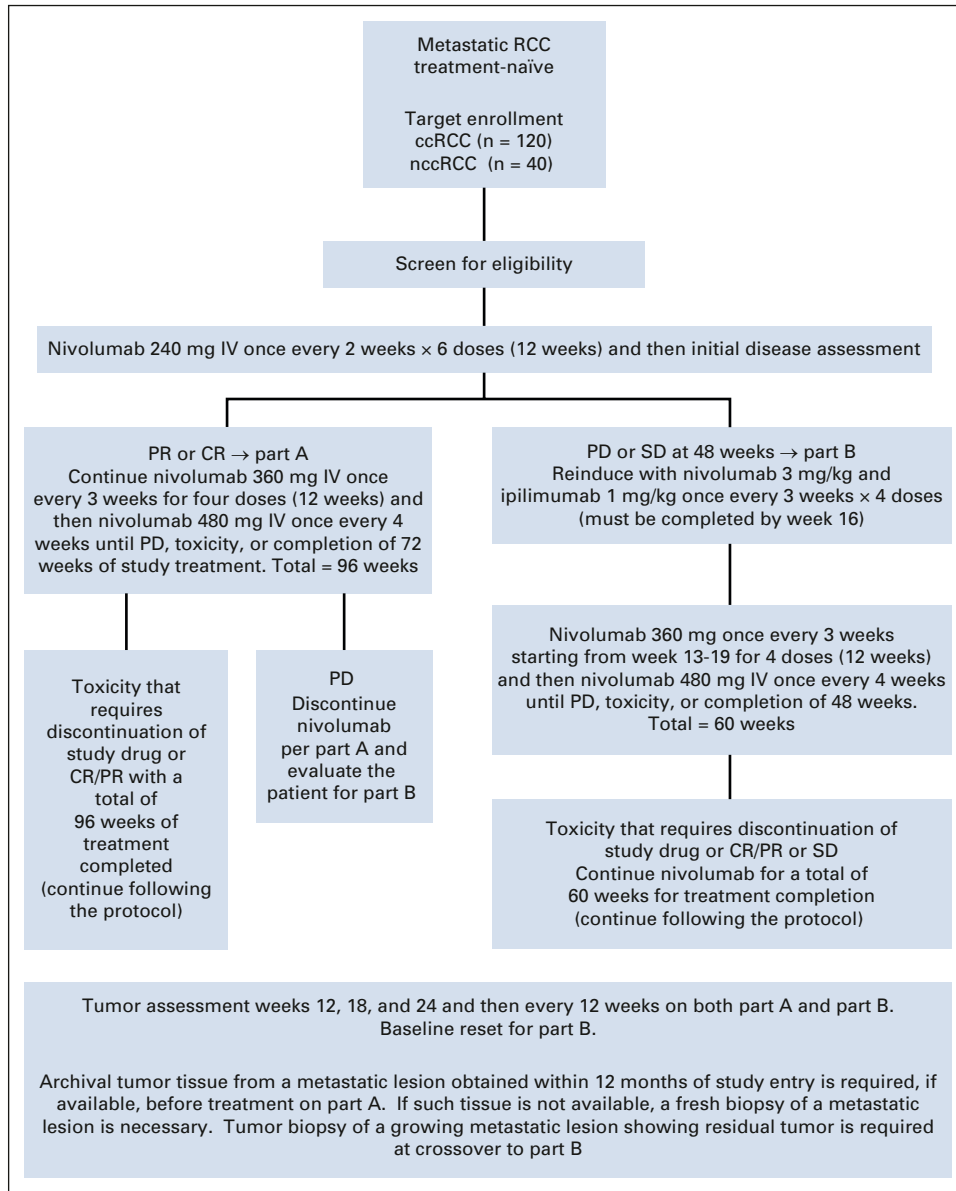
## APPENDIX

TABLE A1. Disposition of Patients Relative to Enrollment on Part B

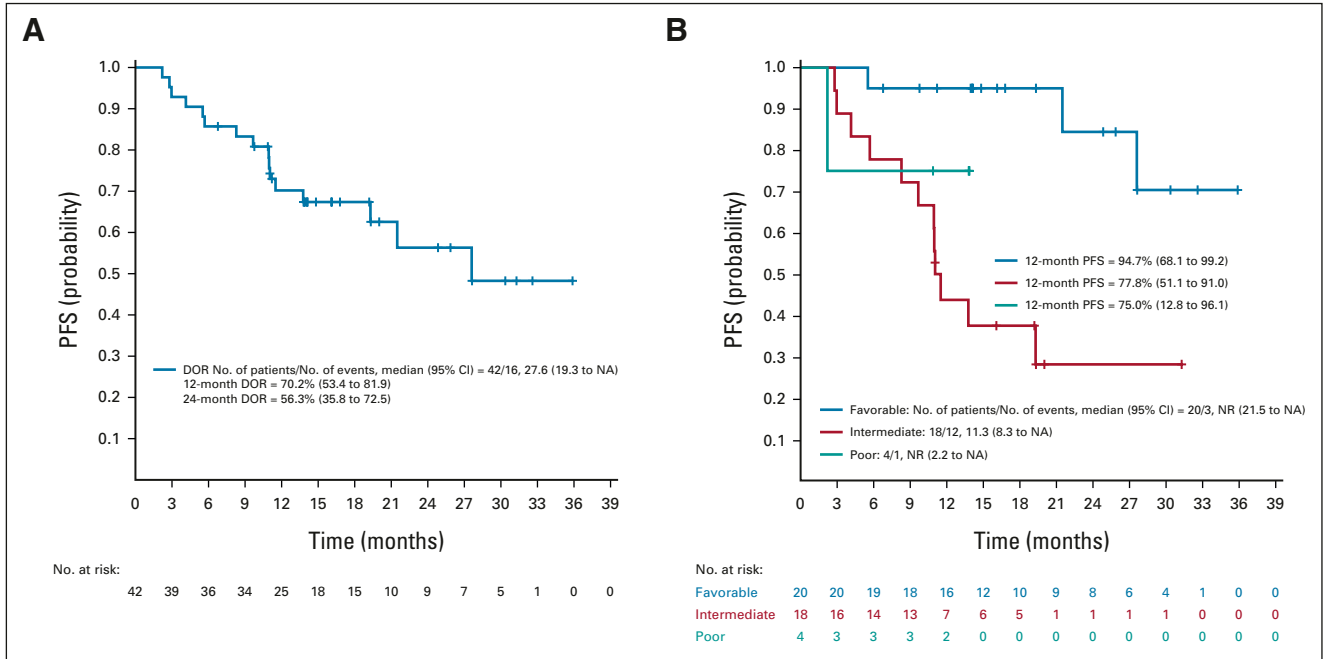
Potentially Eligible for Part B (n = 97)	Treated on Part B (n = 35)	Reasons for Not Going Onto Part B, <sup>a</sup> No.				
		A	B	C	D	Total
PD best response (n = 37)	n = 18	4	8	3	4	19
SD best response at ≥ 1-year time point (n = 11)	n = 3	5	1	—	2	8
SD best response at < 1-year time point followed by PD (n = 31)	n = 9	6	11	3	2	22
SD best response at < 1-year time point without PD (n = 2)	n = 0	1	—	—	1	2
CR/PR best response followed by PD (n = 16)	n = 5	3	5	1	2	11
Total (n = 97)	n = 35	19	25	7	11	62
% of 123 total patients, 79	29	15	20	6	9	50

Abbreviations: CR, complete response; PD, progressive disease; PR, partial response; SD, stable disease.

<sup>a</sup>A = disqualifying toxicity with or without steroid use on part A, B = other treatment initiated (radiation, major surgery, and other systemic cancer therapy), C = biopsy not done (or sample not submitted), and D = other/unknown reasons.



**FIG A1.** Study schema. ccRCC, clear cell renal cell carcinoma; CR, complete response; IV, intravenous; nccRCC, nonclear cell renal cell carcinoma; PD, progressive disease; PR, partial response; RCC, renal cell carcinoma; SD, stable disease.



**FIG A2.** (A) DOR plot, ccRCC part A and (B) DOR by IMDC risk group. ccRCC, clear cell renal cell carcinoma; DOR, duration of response; IMDC, International Metastatic RCC Database Consortium; NA, not applicable; NR, no response; PFS, progression-free survival.