

## An NF- $\kappa$ B signature predicts low-grade glioma prognosis: a precision medicine approach based on patient-derived stem cells

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### Abstract

**Background.** While recent genome-wide association studies have suggested novel low-grade glioma (LGG) stratification models based on a molecular classification, we explored the potential clinical utility of patient-derived cells. Specifically, we assayed glioma-associated stem cells (GASC) that are patient-derived and representative of the glioma microenvironment.

**Methods.** By next-generation sequencing, we analyzed the transcriptional profile of GASC derived from patients who underwent anaplastic transformation either within 48 months (GASC-BAD) or  $\geq 7$  years (GASC-GOOD) after surgery. Gene set enrichment and pathway enrichment analyses were applied. The prognostic role of a nuclear factor-kappaB (NF- $\kappa$ B) signature derived from GASC-BAD was tested in 530 newly diagnosed diffuse LGG patients comprised within The Cancer Genome Atlas (TCGA) database. The prognostic value of the GASC upstream regulator p65 NF- $\kappa$ B was assessed, by univariate and multivariate Cox analyses, in a single center case study, including 146 grade II LGGs.

**Results.** The key elements differentiating the transcriptome of GASC isolated from LGG with different prognoses were mostly related to hallmarks of cancer (eg, inflammatory/immune process, NF- $\kappa$ B activation). Consistently, the NF- $\kappa$ B signature extrapolated from the GASC study was prognostic in the dataset of TCGA. Finally, the nuclear expression of the NF- $\kappa$ B-p65 protein, assessed using an inexpensive immunohistochemical method, was an independent predictor of both overall survival and malignant progression-free survival in 146 grade II LGGs.

**Conclusion.** This study demonstrates for the first time the independent prognostic role of NF- $\kappa$ B activation in LGG and outlines the role of patient-based stem cell models as a tool for precision medicine approaches.

### Keywords

glioma-associated stem cells | low-grade glioma prognosis | p65/NF- $\kappa$ B | precision medicine | tumor microenvironment

## Importance of the study

Besides assessing the role of NF- $\kappa$ B in low-grade gliomas, this work supports the use of patient-derived cells representative of the tumor microenvironment as a tool for a precision medicine approach. The optimized

procedure can be further extended to select novel biomarkers and suggest therapies aimed at targeting the tumor microenvironment.

Gliomas are the most common malignant primary tumors of the central nervous system<sup>1</sup> and are classified, according to the World Health Organization (WHO),<sup>2</sup> into high grade (grades III–IV) and low grade (grades I–II). WHO grade II glioma is a paradigmatic example of a tumor whose clinical management is challenging<sup>3</sup>; despite a better prognosis than high-grade glioma (HGG), a complete surgical resection is limited for functional reasons and  $\approx$ 70% of patients undergo anaplastic transformation within 10 years.<sup>4,5</sup> Moreover, the timing of progression is heterogeneous, and definitive criteria for the use of adjuvant chemo- or radiotherapies are still missing. In fact, adjuvant treatments are burdened by important side effects, such as the risk of late cognitive defects following radiotherapy, limiting their employment in patients with long life expectancy.

Precision medicine (PM) aims at tailoring medical treatments, evaluating individual differences in gene, environmental, and lifestyle characteristics.<sup>6</sup> Up to now, genomic analyses have been the most used approach, which in the case of low-grade glioma (LGG), has suggested the superior predictive value of molecular classifications over histology.<sup>7–9</sup>

In addition to genomics, the PM initiative aims at developing new models of human cancer, including human cancer cell lines.<sup>10</sup> These latter are instrumental to gain new insights into tumor biology and to better predict the response of patients to treatments.

Therefore, we employed human adult stem cells isolated from gliomas as an approach to PM.<sup>11</sup> Specifically, we expanded in vitro a population of glioma-resident stem cells, or glioma-associated stem cells (GASC).<sup>11</sup> These, differently from glioma stem cells, are not tumor-initiating cells and are devoid of the genetic alterations characterizing the tumor of origin, but show the ability to support the growth of tumor cells.<sup>11</sup> For these reasons, we considered GASC as a model of the activated tumor microenvironment (TME). Indeed, the TME is fundamental in the multistep development of human tumors by modulating the acquisition of the hallmarks of cancer (eg, tumor-promoting inflammation, immune evasion, invasion).<sup>12</sup>

In this work, we employed the patient-derived in vitro model of the glioma TME for the identification of novel LGG prognostic biomarkers that have been validated at tissue level. Specifically, (i) we compared, by means of next-generation sequencing (NGS), the gene expression profile of GASC isolated from LGG characterized by good prognosis with that of GASC isolated from LGG characterized by a rapid anaplastic transformation; (ii) we showed that a signature from nuclear factor kappa-light-chain-enhancer of activated B cells (NF- $\kappa$ B) extrapolated from GASC was prognostic in a dataset of 530 newly diagnosed diffuse LGG patients comprised within The Cancer Genome Atlas

(TCGA); finally, (iii) we assessed the independent prognostic role of the nuclear expression of the p65/v-rel avian reticuloendotheliosis viral oncogene homolog A (RELA) protein in a large single center case study including 146 grade II LGG tissues (Fig. 1A).

## Materials and Methods

An extended Materials and Methods section is available in the Supplementary materials online.

### GASC Isolation and Characterization

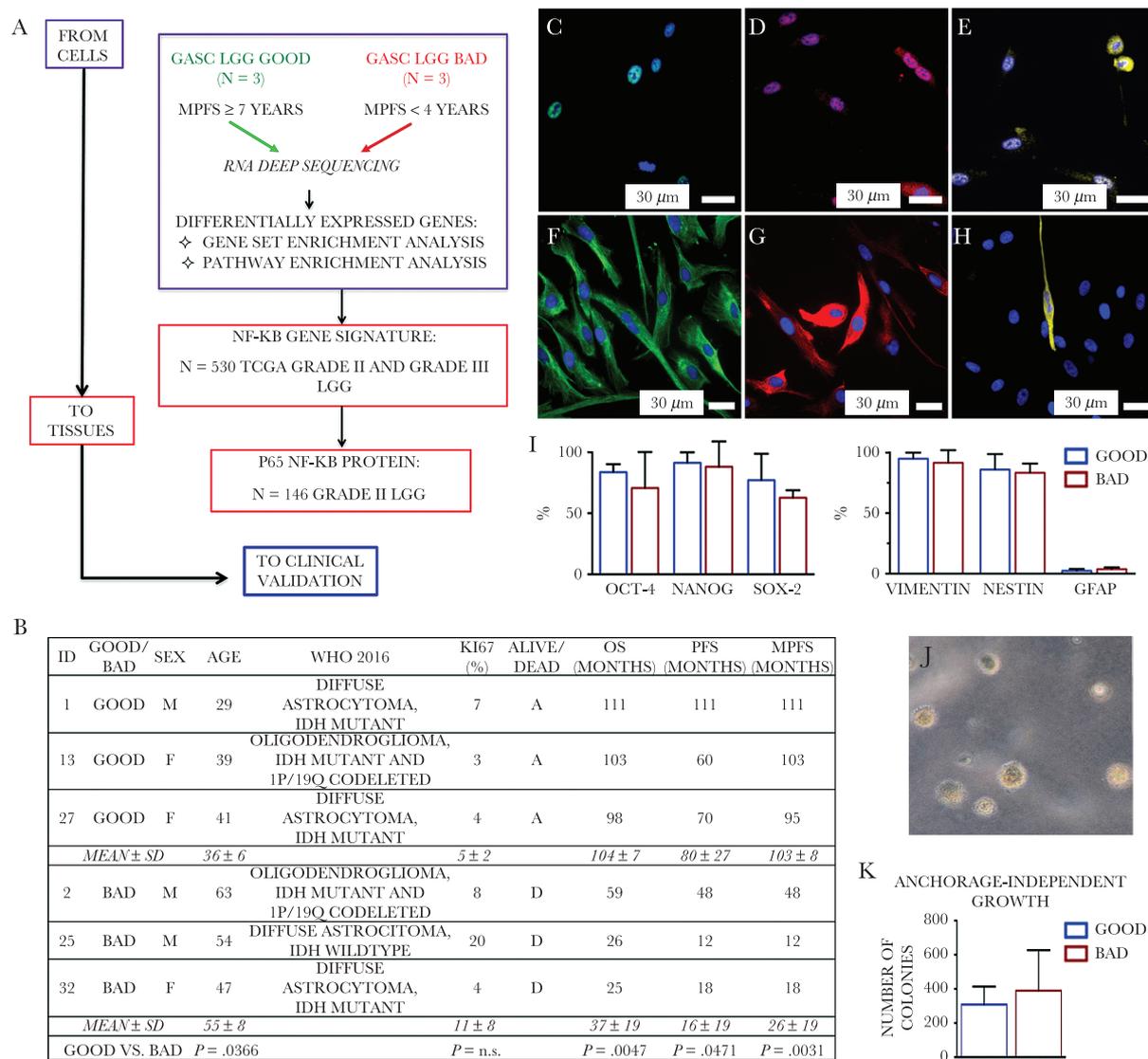
The research was approved by the local ethics committee (Consents 102/2011/Sper-196/2014/Em). Written informed consents were obtained from patients. Clinical investigations have been conducted according to the principles expressed in the Declaration of Helsinki. GASC were isolated and characterized as previously published.<sup>11</sup>

### GASC RNA Extraction, Library Preparation, and Sequencing

We analyzed GASC lines derived from patients who underwent anaplastic transformation either within 48 months (GASC-BAD;  $n = 3$ ) or  $\geq 7$  years (GASC-GOOD;  $n = 3$ ) after surgery. RNA was extracted using the Trizol reagent (Invitrogen). Libraries were prepared by TruSeq mRNA Sample Prep kit (Illumina), processed with Illumina cBot for cluster generation on the flow-cell, and sequenced on single-end 50 base mode on HiSeq2500 (Illumina) generating 30 M of reads/sample. Cufflinks<sup>13</sup> and Cuffdiff<sup>14</sup> were used to evaluate gene expression and pairwise differential expression, respectively. Raw data, corresponding to the gene expression profiles, are available in the Gene Expression Omnibus database.

### Functional and Gene Set Enrichment Analysis

Differentially expressed genes were analyzed using Ingenuity Pathway Analysis (IPA, Ingenuity Systems, [www.ingenuity.com](http://www.ingenuity.com)). The prediction of the transcription factors and regulative molecules was obtained using the *Upstream regulators* function (IPA suite). For every upstream regulator an overlap  $P$ -value and a z-score were calculated: the  $P$ -value indicates the significance based on the overlap between dataset genes and known targets regulated by the molecule, while the z-score is used to infer



**Fig. 1** (A) Study design. (B) Clinical-pathological features of LGG-bad patients included in the study. Table reports clinical data, histopathological diagnosis, Ki67 expression, and patient prognosis, referred to 3 LGGs with a good prognosis (GOOD) and 3 LGGs with a bad prognosis (BAD). F = female; M = male; D = dead; A = alive; n.s. = not significant. (C–I) GASC phenotype. Representative fluorescence images of the expression of Oct-4 (green, C), Nanog (red, D), Sox2 (yellow, E), vimentin (green, F), nestin (red, G), and glial fibrillary acidic protein (yellow, H) in a GASC line obtained from a patient characterized by a good prognosis. The blue of 4',6'-diamidino-2-phenylindole identifies nuclei. (I) Quantitative analysis of the fraction of cells expressing the assayed pluripotent state specific transcription factors and intermediate filaments, as assessed by immunofluorescence. Data are presented as mean  $\pm$  SD. No significant differences between GOOD- and BAD-GASC were detected. (J–K) Anchorage-independent growth of GASC. (J) Representative phase contrast images of colonies acquired 4 weeks after seeding in soft agar. (K) Data are presented as mean  $\pm$  SD. No significant differences between GOOD- and BAD-GASC were detected.

the possible activation (z-score  $>2$ ) or inhibition (z-score  $<-2$ ) of the molecule based on prior knowledge stored in the proprietary Ingenuity Knowledge Base. The enrichment for gene signatures was performed using Gene Set Enrichment Analysis<sup>15</sup> interrogating the *hallmark gene sets* database and the *chemical and genetic perturbations* database. The NF- $\kappa$ B gene signature was obtained selecting the 14 differentially expressed genes included in 4 gene sets related to NF- $\kappa$ B.

### TCGA Data Analysis

Expression data and survival information of 530 LGG samples were obtained using the *cgdsr*<sup>16</sup> package for R/Bioconductor. Tumor subtype and mutational information were obtained from.<sup>8</sup> Patients were stratified based on the expression levels of the proposed NF- $\kappa$ B signature using the median value as cutoff. The 2 cohorts of patients were compared by Kaplan–Meier survival plot.

## Single Center LGG Tissue Analyses

One hundred and forty-six patients, not previously chemo- and/or radiotreated, who underwent a surgical resection of a newly diagnosed LGG at the Neurosurgery Department of Udine were analyzed. Extensive surgical resection was done at diagnosis, and radio- and/or chemotherapy was administered in case of tumor progression. Histological examination, immunohistochemistry, fluorescence in situ hybridization, and analysis of the genetic status of O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT) promoter and isocitrate dehydrogenase 1 and 2 (IDH1/2) genes were performed, with minor modifications.<sup>11</sup>

### Tissue microarray (TMA)

Fourteen TMAs have been constructed incorporating glioma by using the Galileo CK4500 instrument. Three cores of 1 mm were collected from each block. TMAs were stained by immunohistochemistry for the NF- $\kappa$ B-p65 subunit (Abcam) and alpha thalassemia/mental retardation syndrome X-linked protein (ATRX) (Sigma) and digitally scanned with an Aperio CS2 (Leica Biosystems) at a magnification of 40x, keeping constant the acquisition parameters. Total expression of NF- $\kappa$ B-p65 was analyzed by ImageJ v1.44 (National Institutes of Health) evaluating the optical density per unit area of each sample core and calculating, for each patient, the average value. Once set, the threshold for ImageJ analysis was maintained constant for all samples. ImageJ processing and analyses were performed by 2 blinded investigators. Nuclear scoring and cytoplasmic expression of p65 were done by a semiquantitative approach (Histoscore method).<sup>17</sup> Briefly, the score values (ranging from 0 to 300) were calculated by multiplying the percentage of labeled cytoplasm or nuclei by the staining intensity (1 = low, 2 = mid, or 3 = high). Cores were scored by 2 experienced researchers in a blind manner. For each tumor, the average value of the respective cores was calculated.

### Volumetric analysis

Pre- and postoperative tumor volume, extent of resection (EOR), and values of the preoperative difference between T2- and T1-weighted MR images ( $\Delta$ VT2T1) were calculated as previously described.<sup>5</sup>

### Statistical Analysis

Study population was described using standard methods. Overall survival (OS), progression-free survival (PFS), and malignant PFS (MPFS) were defined as the time between initial surgery and, respectively, death (OS), demonstration of increase in tumor size on follow-up imaging, malignant progression, and/or death (PFS), and demonstration of gadolinium enhancement on follow-up imaging and/or higher-grade tumor on subsequent biopsy or death (MPFS). OS, PFS, and MPFS were described using the Kaplan–Meier approach. Survival was analyzed by Cox proportional hazards models. Covariates with  $P < 0.1$  at univariable analysis were selected for multivariable stepwise

analysis. Analyses were conducted with Stata/SE 14.1 for Mac software and an R software environment for statistical calculation.

## Results

### Frozen GASC Obtained from LGG Patients Retain Their Properties

Fig. 1B summarizes the clinicopathological features of the 6 LGG patients whose GASC underwent transcriptional analysis. Noteworthy, the “bad prognosis” group did not include only IDH1/2 wild-type gliomas, known to be characterized by a poor prognosis.<sup>7–9</sup>

Since selected GASC were stored in liquid nitrogen for  $\approx$ 10 years, we first verified if they retained key GASC properties. As previously shown, all cell lines displayed a rather homogeneous mesenchymal stem cell immunophenotype when analyzed by flow cytometry, being mainly positive for cluster of differentiation (CD)13, CD29, CD44, CD49a, CD49d, CD59, CD73, CD90, CD105, and N-cadherin, while hematopoietic markers, such as CD45, CD34, CD38, CD14, and human leukocyte antigen–D related were expressed in a small fraction of cells, usually less than 1%; similarly, the glioma stem cell marker CD133 was present in less than 0.5% of the cells (Supplementary Figure S1). All cell lines expressed pluripotent state specific transcription factors (ie, octamer-binding transcription factor 4 [Oct-4], Nanog, and sex determining region Y–box 2 [Sox2]) and early intermediate filaments (ie, nestin and vimentin), while glial fibrillary acidic protein was scarcely represented (Fig. 1C–I). All cells were multipotent, being able to differentiate into glial, oligodendroglial, and neuron-like cells (Supplementary Figure S2), and all cells grew in an anchorage-independent way (Fig. 1J–K).

As a whole, GASC were confirmed to possess an undifferentiated phenotype and aberrant growth properties.

### A Signature Comprising Different Hallmarks of Cancer Characterizes GASC Isolated from LGG with a Bad Prognosis

The gene expression profile of GASC was evaluated by NGS. Supplementary Table S1 displays the 82 genes differently expressed between GASC from LGG with different prognoses. Functionally, GASC-BAD were characterized by an upregulation of genes involved in the inflammatory response and in the communication between innate and adaptive immune cells, and a deregulation of pathways involved in metabolism (Supplementary Table S2), features known to be related to the hallmarks of cancer.<sup>12</sup> Accordingly, the upstream regulators analysis identified, as molecules potentially responsible for the transcriptional program observed in GASC-BAD, several members of the interleukin (IL) family (IL-1A, IL-1B, IL-4, IL-6, IL-17, IL-4, IL-13), tumor necrosis factor (TNF), interferon- $\gamma$  (IFNG), as well as elements of NF- $\kappa$ B signaling (eg, RELA, NF- $\kappa$ B1, inhibitor of nuclear factor kappa B kinase subunit beta [IKKB]) (Table 1 and Supplementary Table S3). Interestingly, among the list of molecules potentially able to interfere with the

**Table 1** Upstream regulators analysis\*

Upstream Regulator	Molecule Type	Activation z-Score	P-value of Overlap
IL-1A	Cytokine	3.902	1.44E-17
IL-1B	Cytokine	4.863	1.48E-17
TNF	Cytokine	4.845	6.42E-16
RELA	Transcription regulator	2.922	4.82E-14
IFNG	Cytokine	3.713	1.54E-13
TLR5	Transmembrane receptor	2.767	4.02E-13
NF-κB (complex)	Complex	3.509	5.47E-13
TLR3	Transmembrane receptor	3.141	1.46E-12
IL-4	Cytokine	2.346	2.94E-12
IL-17F	Cytokine	2.395	4.11E-12
NFKB1	Transcription regulator	1.847	1.04E-11
IL-13	Cytokine	1.316	2.48E-11
FOXL2	Transcription regulator	2.804	2.50E-11
IL-17A	Cytokine	3.023	3.20E-11
IKKBK	Kinase	3.197	7.23E-10
IL-6R	Transmembrane receptor	2.179	3.02E-09
IL-6	Cytokine	2.338	2.79E-06
Cyclosporine A	Biologic drug	-2.358	3.21E-03
Etanercept	Biologic drug	-2.2	5.01E-08
PD98059	Chemical, kinase inhibitor	-3.361	2.23E-07
SB203580	Chemical, kinase inhibitor	-3.344	1.59E-09
U0126	Chemical, kinase inhibitor	-3.006	4.34E-07
SP600125	Chemical, kinase inhibitor	-2.683	3.99E-07
LY294002	Chemical, kinase inhibitor	-2.604	4.76E-06
Bay 11-7082	Chemical, kinase inhibitor	-2.424	2.39E-08
Glucocorticoid	Chemical drug	-2.609	1.52E-08
Curcumin	Chemical drug, food supplement	-2.591	1.58E-04
N-acetyl-L-cysteine	Chemical drug	-2.346	4.90E-05
Dexamethasone	Chemical drug	-2.266	1.12E-09
Resveratrol	Chemical drug, food supplement	-2.118	2.49E-03
Genistein	Chemical drug, food supplement	-2.031	5.24E-05
Salicylic acid	Chemical drug	-1.983	2.61E-05
Tacrolimus	Chemical drug	-1.969	4.77E-05
Simvastatin	Chemical drug	-1.607	5.16E-06
Aspirin	Chemical drug	-1.527	1.39E-05
Bortezomib	Chemical drug	-1.45	3.19E-04
Indomethacin	Chemical drug	-1.394	8.48E-03
Troglitazone	Chemical drug	-1.371	3.91E-05
Sn50 peptide	Chemical toxicant, NF-κB inhibitor	-2.406	4.16E-08
Pyrrolidine dithiocarbamate	Chemical reagent, NF-κB inhibitor	-2.233	2.79E-05
MiR-155-5p (miRNAs w/seed UAAUGCU)	Mature microRNA	-2.395	1.29E-05

\*Included in Ingenuity Pathway Analysis. The table shows a list of selected molecules possibly able to activate (positive z-score) or revert (negative z-score) the transcriptional program observed in GASC with BAD prognosis. For each molecule, the prediction z-score and the P-value of the overlap are shown.

transcriptional program characterizing GASC-BAD could be found anti-inflammatory drugs (eg, cyclosporine A, glucocorticoid, dexamethasone, salicylic acid, tacrolimus,

bortezomib, simvastatin), food supplements (eg, curcumin, resveratrol), and NF-κB inhibitors (Sn50 peptide and pyrrolidine dithiocarbamate) (Table 1).

As a further confirmation, we compared the genes differentially expressed in GASC with 2 major collections of gene sets present in the Molecular Signatures Database (MSigDB).<sup>18</sup> Interrogating the 50 “hallmark” gene sets, we observed that the differentially expressed GASC genes comprise elements of 11 “hallmark” gene sets, including the gene set regulated by NF- $\kappa$ B in response to TNF and several gene sets involved in the inflammatory and immune process, as well as a gene set involved in the epithelial to mesenchymal transition (Supplementary Table S4). Again, analyzing the curated gene sets of MSigDB, we confirmed the presence of 3 NF- $\kappa$ B-related gene sets (Supplementary Table S5). Finally, 14 of the 82 genes differentially expressed between GASC with different prognoses were attributable to NF- $\kappa$ B-related pathways and we decided to include them in an NF- $\kappa$ B GASC signature (Fig. 2A).

### The NF- $\kappa$ B GASC Signature Is Prognostic in a Large Dataset and Correlates with the LGG Molecular Class

To investigate the prognostic significance of the NF- $\kappa$ B GASC signature, we interrogated the dataset of TCGA Brain LGG comprising 530 tumors.<sup>8</sup> Survival analysis showed that tumors with higher expression of the signature had

shorter OS (Fig. 2B) and a trend toward a shorter PFS (Fig. 2C). The prognostic value of the signature was possibly related to its level of expression across tumor subtypes. In particular, tumors presenting IDH mutation and 1p/19q codeletion expressed the signature at significantly lower levels with respect to tumor with IDH wild-type ( $P = 1.33e-10$ ) or with IDH gene mutation only ( $P = 7.85e-13$ ) (Fig. 2D). Interestingly, the signature had lower expression in gliomas harboring mutations in capicua transcriptional repressor and in the telomerase reverse transcriptase promoter (Supplementary Figure S3A, B), which are both associated with favorable clinical outcomes.<sup>8</sup> Last, members of the NF- $\kappa$ B complex positively correlated with the signature (Pearson’s correlations, NFKB1 = 0.59, NFKB2 = 0.56, RELB = 0.53, REL = 0.46,  $P < 2.2e-16$ ), indicating that in gliomas the signature is possibly activated via NF- $\kappa$ B (Supplementary Figure S3C).

### Nuclear NF- $\kappa$ B-p65 Expression at Tissue Level Is an Independent Predictor of Bad Prognosis in LGG

Next, we decided to assess the presence, at tissue level, of the expression of RELA, also known as NF- $\kappa$ B transcription factor p65 (NF- $\kappa$ B-p65), which we previously identified as one of the molecules (upstream regulators) possibly

A

HALLMARK TNFA SIGNALING VIA NFKB	HINATA NFKB TARGETS KERATINOCYTE UP	SCHOEN NFKB SIGNALING	TIAN TNF SIGNALING VIA NFKB	NFKB Signature
CSF2	CCL20	CXCL2	CXCL2	CSF2
CCL20	CSF2	CSF2	CCL20	CCL20
PTGS2	IL6	IL1A	IL6	PTGS2
IL6	CXCL3	PTGS2	CXCL3	IL6
CXCL3	IL1A	C3	PTGS2	CXCL3
ICAM1	IL1RN	SULF1		ICAM1
IL1A	ICAM1			IL1A
CXCL2	KRT14			CXCL2
ACKR3	GPRC5B			ACKR3
				IL1RN
				KRT14
				GPRC5B
				C3
				SULF1

**Fig. 2** Prognostic role of the NF- $\kappa$ B signature in the 530 TCGA lower-grade gliomas. (A) The NF- $\kappa$ B signature has been obtained combining the genes of 3 NF- $\kappa$ B-related signatures enriched in genes differentially expressed in GASC with different prognoses. Kaplan-Meier curves showing OS (B), and PFS (C) in LGG patients stratified according to the NF- $\kappa$ B signature. (D) Level of expression of the NF- $\kappa$ B signature in the 3 molecular classes. Data are presented as box and whiskers. CODEL = gliomas IDH1/2 mutant and 1p/19q codeleted; IDH1/2 MUTANT = gliomas IDH1/2 mutant but not 1p/19q codeleted; IDH1/2 WT = gliomas without IDH1/2 gene mutation.

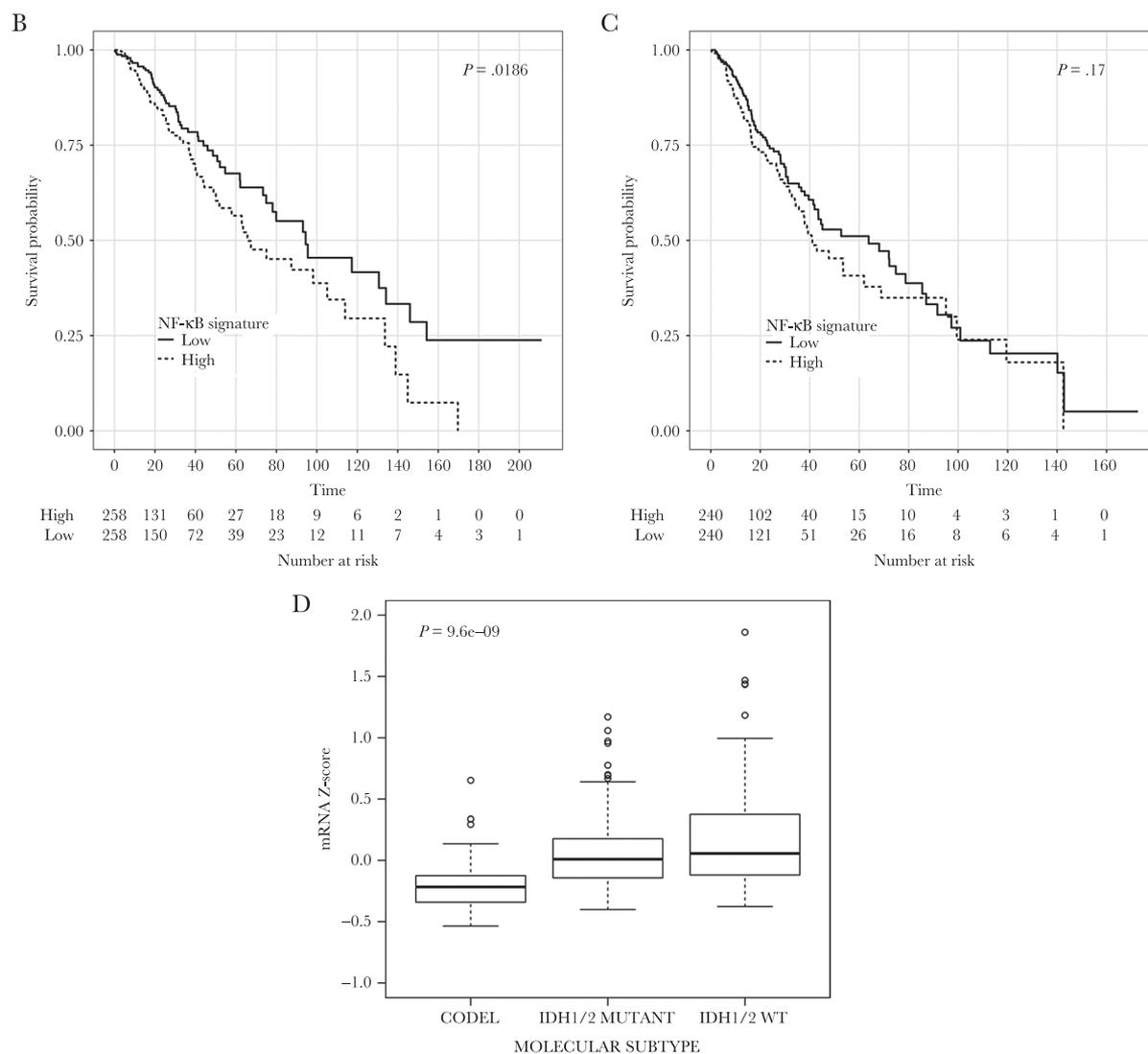


Fig. 2 Continued.

responsible for the enhanced pro-tumorigenic environment characterizing LGG rapidly evolving to anaplasia.

The expression of NF-κB-p65 was evaluated in TMAs including 146 grade II glioma samples (Table 2). According to the WHO 2016 classification, 55.5%, 39.4%, and 5.5% of the gliomas were diffuse astrocytomas IDH mutant, oligodendrogliomas IDH mutant and 1p/19q codeleted, and diffuse astrocytoma IDH wild type, respectively. The preoperative volume of the tumors was a median 47 cm<sup>3</sup> (range, 6–260 cm<sup>3</sup>) and 54.1% of the tumors presented, at MRI, an infiltrative pattern ( $\Delta VT2T1 >15$  cm<sup>3</sup>).<sup>5</sup> The EOR was on average 86% (range, 49%–100%).

Considering NF-κB-p65, this latter was detected both in the nucleus and in the cytoplasm (Fig. 3A–D) of glioma tissues. However, while NF-κB-p65 was localized in the cytoplasm of all gliomas, its presence in the nucleus, where it can act as a master transcriptional regulator, was detected

in about half of the analyzed samples. Indeed, the total amount of protein, evaluated as integrated optical density per unit area, was quite similar in all samples, while the nuclear score was quite wide, ranging from 0 to 240, thus indicating a possible different activation of NF-κB in the different tissues (Fig. 3E). Therefore, we evaluated the ability of NF-κB-p65 to predict OS, MPFS, and PFS.

#### Overall survival

Overall, there were 83 deaths (57%) and the median follow-up in the surviving patients was 86 months (range, 43–193 mo). The estimated 5- and 10-year OS were 74% and 34%, respectively.

As summarized in Table 3, the prognostic factors positively associated with OS at univariate analysis ( $P < 0.05$ ) were the Karnofsky performance status (KPS), the EOR, and

**Table 2** Clinical pathological features of the 146 patients included in the tissue microarray

Clinicopathologic Features	Patients, no.	%	Median (range)
<b>Sex</b>			
Male	86	58.9	–
Female	60	41.1	–
Age at surgery, y	–	–	39 (18–70)
KPS	–	–	100 (80–100)
Preoperative volume (cc)	–	–	47 (6–260)
ΔVT2T1 category (cc)	–	–	15 (0–84)
Proliferative tumoral pattern, ΔVT2T1 <15 cm <sup>3</sup> , n (%)	67	45.9	
Infiltrative tumoral pattern, ΔVT2T1 ≥ 15 cm <sup>3</sup> , n (%)	79	54.1	
Postoperative volume (cc)	–	–	8 (0–125)
EOR (%)	–	–	86 (49–100)
<b>Histology (WHO 2007)</b>			
Astrocytoma	80	54.8	–
Oligodendroglioma	19	13.0	–
Oligoastrocytoma	47	32.2	–
<b>WHO 2016 classification</b>			
Diffuse astrocytoma IDH mutant	81	55.5	–
Diffuse astrocytoma IDH wild type	8	5.5	–
Oligodendroglioma IDH mutant and 1p/19q codeleted	57	39.0	–
<b>Molecular class</b>			
IDH wild type	8	5.5	–
IDH1/2 mutation only	81	55.5	–
IDH1/2 mutation and 1p/19q codeletion	57	39.4	–
Ki67 expression (%)	–	–	5 (1–20)
≤4	71	48.6	–
>4	75	51.4	–
Number of mitoses / 10 high power fields	–	–	1 (0–10)
P53 expression (%) (n = 144)	–	–	40 (0–100)
ATRX downregulation (n = 141)	73	51.8	
IDH1 mutation	134	91.8	–
IDH2 mutation	4	2.7	–
IDH1 or IDH2 mutation	138	94.5	–
Chromosome 1p deletion	58	39.7	–
Chromosome 19q deletion	69	47.3	–
Chromosome 1p and 19q codeletion	57	39.0	–
MGMT promoter methylation	135	93.8	–

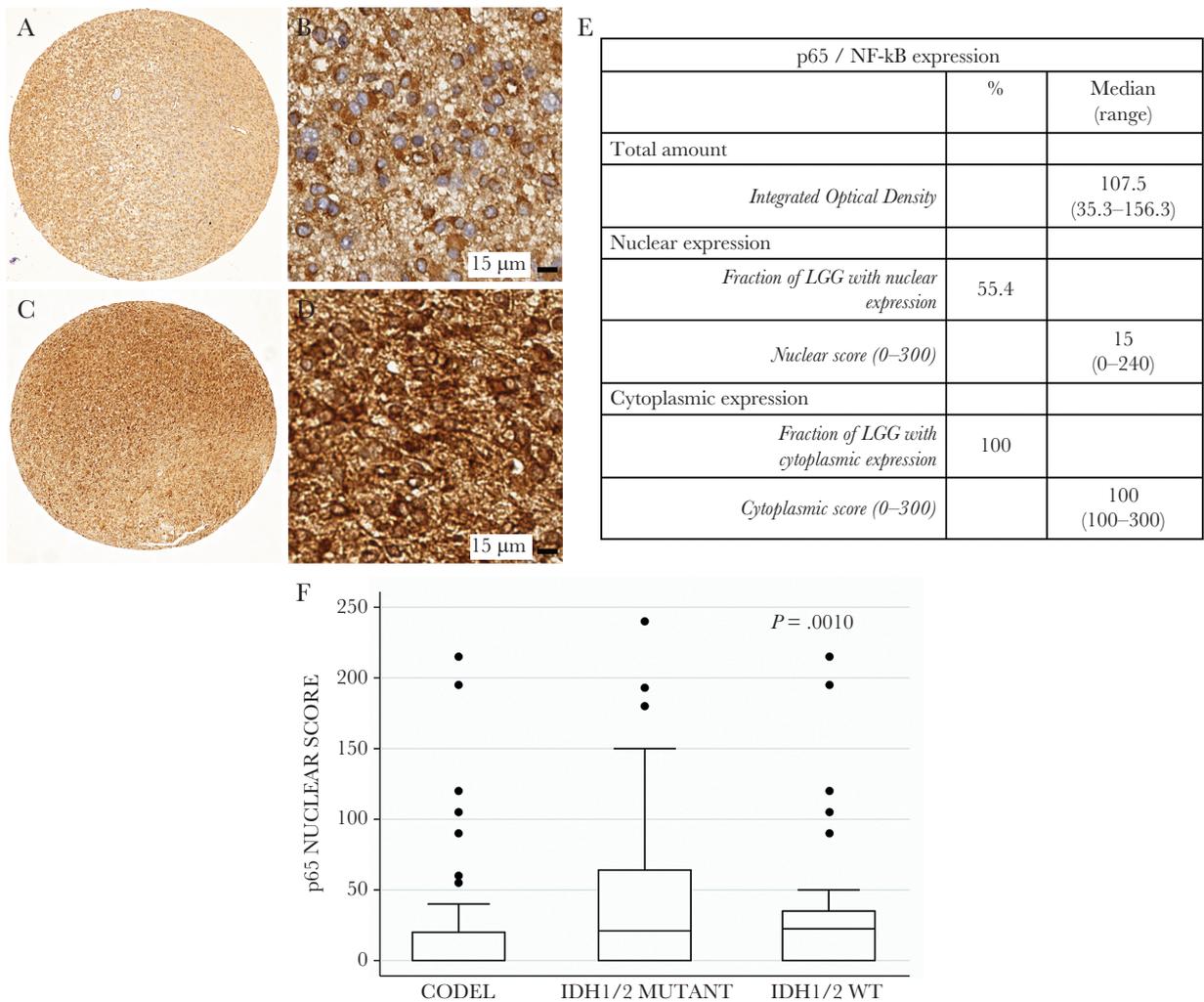
the presence of mutated IDH1 or IDH2 genes, while OS was significantly poorer in older patients, as well as in patients showing high expression of Ki67 and, at MRI, an infiltrative pattern. With respect to IDH1/2 wild-type glioma, glioma characterized by either IDH1/2 mutation or IDH1/2 mutation and 1p/19q chromosome codeletion presented a better OS. Finally, the multivariate Cox analysis showed as independent predictors of OS the nuclear expression of p65 (hazard ratio [HR] 1.005, 95% CI: 1.001–1.009,  $P = 0.028$ ), together with the well-established prognostic markers Ki67 (HR 1.766, 95% CI: 1.104–2.825,  $P = 0.018$ ), age (HR 1.021, 95% CI: 1.002–1.040,  $P = 0.030$ ), EOR (HR 0.948, 95% CI: 0.930–0.967,  $P < 0.0001$ ), high infiltrative pattern index (HR 1.020, 95% CI: 1.003–1.036,  $P = 0.021$ ), as well as presence of gliomas characterized by IDH1/2 mutation (HR 0.151, 95% CI: 0.059–0.385,  $P < 0.0001$ ) or IDH1/2 mutation and 1p/19q codeletion (HR 0.113, 95% CI: 0.044–0.293,  $P < 0.0001$ ), considering the molecular class IDH1/2 wild-type as a reference.

### Tumor progression

Tumor progression was identified in 123 (84%) cases and the estimated 5- and 10-year PFS rates were 43% and 4%, respectively. At the univariate analysis (Table 3), the EOR, the presence of mutated IDH1/2 gene, and 1p/19q chromosome codeletion were associated with a significant improvement in PFS. Instead, a large postoperative volume and an infiltrative pattern were associated with a worse PFS. Finally, the multivariate Cox analysis showed that independent predictors of PFS were EOR (HR 0.958, 95% CI: 0.943–0.973,  $P < 0.0001$ ), an infiltrative pattern index (HR 1.018, 95% CI: 1.003–1.033,  $P = 0.020$ ), and gliomas characterized by either IDH1/2 mutation (HR 0.335, 95% CI: 0.150–0.748,  $P = 0.008$ ) or IDH1/2 mutation and 1p/19q codeletion (HR 0.222, 95% CI: 0.096–0.514,  $P < 0.0001$ ), considering the molecular class IDH1/2 wild-type as a reference.

### Malignant transformation

Anaplastic transformation was observed in 107 (72%) cases. The estimated 5- and 10-year MPFS rates were 58% and 21%, respectively. The prognostic factors positively associated with the MPFS at univariate analysis were the EOR and the presence of mutated IDH1 or IDH2 genes and of a 1p/19q codeletion. Conversely, the MPFS was significantly poorer in patients showing high Ki67 and nuclear NF-κB/p65 and, at MRI, larger preoperative and postoperative volumes and an infiltrative pattern (Table 3). Finally, the multivariate Cox analysis showed as independent predictors of MPFS the nuclear expression of NF-κB-p65 (HR 1.005, 95% CI: 1.001–1.008,  $P = 0.020$ ) together with the well-established prognostic markers age (HR 1.019, 95% CI: 1.002–1.037,  $P = 0.029$ ), Ki67 >4% (HR 1.538, 95% CI: 1.021–2.319,  $P = 0.040$ ), EOR (HR 0.957, 95% CI: 0.941–0.974,  $P < 0.0001$ ), an infiltrative pattern at MRI (HR 1.033, 95% CI: 1.018–1.048,  $P < 0.0001$ ), as well as having gliomas characterized by either IDH1/2 mutation (HR 0.228, 95% CI: 0.095–0.548,  $P = 0.001$ ) or IDH1/2 mutation and 1p/19q codeletion (HR 0.131, 95% CI: 0.053–0.327,  $P < 0.0001$ ), considering the molecular class IDH1/2 wild-type as a reference.



**Fig. 3** Expression of NF-κB-p65 protein in TMA comprising 146 grade II LGGs. (A–D) Representative pictures of the immunohistochemical detection of NF-κB-p65 in the 1-mm cores of LGG presenting the protein only in the cytoplasm (A–B) or in both the cytoplasm and the nucleus (C–D). (E) Table summarizing the quantification of total amount, nuclear expression, and cytoplasmic expression of p65 NF-κB-p65. (F) Nuclear expression of NF-κB-p65 (expressed as nuclear score) in the 3 molecular classes. Data are presented as box and whiskers. CODEL = gliomas IDH1/2 mutant and 1p/19q codeleted; IDH1/2 MUTANT = gliomas IDH1/2 mutant but not 1p/19q codeleted; IDH1/2 WT = gliomas without IDH1/2 gene mutation

Altogether, these results indicate that the nuclear expression of NF-κB-p65 was an independent predictor of both OS and MPFS, even when compared with the state-of-the-art clinical, neuro-radiological, and molecular LGG prognostic markers.

Interestingly, when we evaluated the NF-κB-p65 nuclear score in the 3 molecular classes, its expression was significantly different in the 3 groups, similarly to that shown for the NF-κB gene signature in the dataset of TCGA LGG (Fig. 3F).

## Discussion

The ambition of PM in oncology is to identify subgroups of patients who are homogeneous in terms of biological aggressiveness and risk of progression, as well as response/susceptibility to specific treatments,<sup>6,19</sup> and to

tailor, for each subgroup, the best therapeutic choices and follow-up strategies.<sup>19</sup>

The rapid development of PM derives from the progress in high-throughput techniques combined with bioinformatics tools able to process and model the “big data” generated.<sup>19</sup>

Besides genomics, information is expected to derive also from other sources, including the development of new patient-derived cell models<sup>20–22</sup> and the study of the TME, a factor crucially involved in the development of intratumor heterogeneity, which is responsible for tumor invasiveness and drug resistance.<sup>12,23–26</sup> Regarding cell lines and PM, most of the literature is focused on the use of patient-derived xenograft models that, being able to recapitulate patients’ tumor histology and heterogeneity, can become a powerful tool to predict drug sensitivity.<sup>24</sup> Similarly, there is an increasing interest in demonstrating that the use of patient-derived tumor cell cultures, including induced pluripotent

**Table 3** Univariate analysis of clinical, histological, and molecular parameters with OS, PFS, and MPFS in 146 patients with low-grade-gliomas

	OS			PFS			MPFS		
	HR	95% CI	P	HR	95% CI	P	HR	95% CI	P
<b>Age</b> (modeled as continuous variable)	<b>1.021</b>	<b>1.003–1.040</b>	<b>0.021</b>	1.008	0.993–1.024	0.287	1.014	0.997–1.030	0.104
<b>Sex</b>									
<i>Male</i>	1			1			1		
<i>Female</i>	0.706	0.446–1.120	0.139	0.906	0.627–1.309	0.600	0.718	0.480–1.073	0.106
<b>KPS</b> (modeled as continuous variable)	<b>0.963</b>	<b>0.931–0.996</b>	<b>0.027</b>	0.987	0.957–1.018	0.410	0.970	0.940–1.000	0.052
<b>Preoperative volume (T2_pre)</b> (modeled as continuous variable)	1.002	0.998–1.006	0.357	1.003	1.000–1.007	0.071	<b>1.006</b>	<b>1.002–1.009</b>	<b>0.002</b>
<b>Infiltrative growth index (T2_T1)</b> (modeled as continuous variable)	<b>1.028</b>	<b>1.014–1.043</b>	<b>&lt;0.0001</b>	<b>1.028</b>	<b>1.015–1.042</b>	<b>&lt;0.0001</b>	<b>1.038</b>	<b>1.024–1.053</b>	<b>&lt;0.0001</b>
<b>Postoperative (T2_post)</b> (modeled as continuous variable)	1.007	0.998–1.015	0.112	<b>1.007</b>	<b>1.001–1.015</b>	<b>0.030</b>	<b>1.017</b>	<b>1.010–1.024</b>	<b>&lt;0.0001</b>
<b>% EOR</b> (modeled as continuous variable)	<b>0.942</b>	<b>0.926–0.959</b>	<b>&lt;0.0001</b>	<b>0.955</b>	<b>0.941–0.970</b>	<b>&lt;0.0001</b>	<b>0.951</b>	<b>0.936–0.966</b>	<b>&lt;0.0001</b>
<b>Histotype (WHO 2007)</b>									
<i>Astrocytoma</i>	1			1			1		
<i>Oligodendroglioma</i>	1.15	0.622–2.137	0.651	0.983	0.576–1.676	0.950	0.922	0.513–1.657	0.786
<i>Oligoastrocytoma</i>	0.830	0.506–1.360	0.460	0.825	0.549–1.241	0.355	0.855	0.555–1.317	0.477
<b>% Ki67</b>									
≤4	1			1			1		
>4	<b>1.952</b>	<b>1.247–3.057</b>	<b>0.003</b>	1.222	0.851–1.755	0.278	<b>1.635</b>	<b>1.102–2.425</b>	<b>0.015</b>
<b>Number of mitoses 10 HPF</b> (modeled as continuous variable)	1.052	0.924–1.198	0.442	1.042	0.927–1.171	0.496	1.037	0.922–1.166	0.541
<b>IDH1 or IDH2 mutation</b>									
<i>No</i>	1			1			1		
<i>Yes</i>	<b>0.157</b>	<b>0.070–0.355</b>	<b>&lt;0.0001</b>	<b>0.348</b>	<b>0.160–0.756</b>	<b>0.008</b>	<b>0.235</b>	<b>0.106–0.518</b>	<b>&lt;0.0001</b>
<b>Chromosome 1p/19q codeletion</b>									
<i>No</i>	1		1			1			
<i>Yes</i>	0.710	0.453–1.112	0.134	<b>0.671</b>	<b>0.462–0.973</b>	<b>0.035</b>	<b>0.579</b>	<b>0.385–0.869</b>	<b>0.008</b>
<b>Molecular class</b>									
<i>IDH1/2 wild type</i>	1			1			1		
<i>IDH1/2 mutant</i>	<b>0.172</b>	<b>0.075–0.397</b>	<b>&lt;0.0001</b>	<b>0.399</b>	<b>0.181–0.878</b>	<b>0.022</b>	<b>0.283</b>	<b>0.127–0.631</b>	<b>0.002</b>
<i>IDH1/2 mutant and 1p/19q codeletion</i>	<b>0.136</b>	<b>0.057–0.324</b>	<b>&lt;0.0001</b>	<b>0.282</b>	<b>0.125–0.636</b>	<b>0.002</b>	<b>0.175</b>	<b>0.076–0.405</b>	<b>&lt;0.0001</b>
<b>P53 expression</b> (modeled as continuous variable)	1.477	0.797–2.735	0.215	1.619	0.970–2.701	0.065	1.696	0.990–2.908	0.055
<b>ATRX downregulation</b>									
<i>Yes</i>	1			1			1		
<i>No</i>	1.185	0.764–1.838	0.448	0.873	0.608–1.255	0.464	0.876	0.592–1.296	0.507
<b>MGMT promoter methylation</b>									
<i>No</i>	1			1			1		
<i>Yes</i>	0.525	0.227–1.213	0.132	0.858	0.399–1.846	0.695	0.771	0.337–1.763	0.537
<b>Total NF-κB/p65</b> (modeled as continuous variable)	0.998	0.990–1.006	0.655	0.996	0.989–1.003	0.259	0.999	0.991–1.006	0.766
<b>Nuclear NF-κB/p65</b> (modeled as continuous variable)	1.003	0.999–1.007	0.100	1.002	0.999–1.006	0.220	<b>1.004</b>	<b>1.001–1.008</b>	<b>0.015</b>

Boldface represents statistical significance values from 2-sided tests (Cox regression) statistically significant when <0.05.

stem cells, is feasible, thus providing investigators and clinicians with models whose genetic setting is similar to that of the tumor of origin, to either suggest predictive biomarkers, perform drug screening assays, or develop innovative genome-directed targeted therapies.<sup>20–22</sup> However, xenograft models and patient-derived tumor cells cannot be efficiently obtained from every neoplastic patient and are substantially missing for adult LGG.<sup>27</sup> Conversely, we showed that from the TME of LGG it is possible to isolate a population of stem cells, named GASC, that sustain tumor growth.<sup>11</sup> Since patient-derived TME cellular models have never been used to infer prognostic factors to be detected at tissue level, we have optimized and clinically validated an experimental procedure that, analyzing GASC with state-of-the-art sequencing techniques and bioinformatics tools, defined biomarkers relevant for the prognostic stratification of LGG patients.

Transcriptomic analyses of GASC-GOOD and GASC-BAD showed that these latter were enriched in elements related to the hallmarks of cancer, suggesting that the TME of LGG with different prognoses differed in the ability to support tumor growth and infiltration, favor immune escape, and activate programs in response to drugs and radiation.<sup>12,18</sup> Interestingly, Ceccarelli et al, by studying adult WHO grade II–IV gliomas, showed that progression of LGG to glioblastoma is characterized by changes in the microenvironmental transcriptional program.<sup>9</sup> Specifically, with respect to LGG, glioblastomas were enriched in gene sets involved in the inflammatory and immune response as well as in the inhibitor of  $\kappa$ B/NF- $\kappa$ B kinase cascade.<sup>9</sup>

Ingenuity Pathway Analysis identified several soluble factors (eg, chemokines, interleukins, interferons, tumor necrosis factors) as upstream regulators, thus supporting the importance of the secretome in contributing to the hallmarks of cancer.<sup>28</sup> NF- $\kappa$ B complex, including the transcriptional regulators RELA (NF- $\kappa$ B-p65) and NF- $\kappa$ B1, were represented as activated upstream regulators. Accordingly, by interrogating both the “hallmark” gene set and the curated gene set collections of the MSigDB, NF- $\kappa$ B-related gene sets emerged as differentially represented in BAD versus GOOD GASC. From these gene sets, we identified an NF- $\kappa$ B signature composed of 14 genes that was able to predict OS when tested in the dataset of TCGA, including 530 newly diagnosed LGG tissues.<sup>8</sup> Therefore, the NF- $\kappa$ B-related transcriptional program, inferred by the TME in vitro model, is actually present in glioma tissues and is associated with patient outcome.

In unstimulated cells, NF- $\kappa$ B is retained in the cytoplasm, while, following different stimuli (eg, cytokines, growth factors, DNA damage, oncogenic stress), NF- $\kappa$ B is translocated to the nucleus, regulating, as a transcriptional factor, key cellular functions, including cell survival, inflammation, and immunity.<sup>29,30</sup> In glioblastoma, NF- $\kappa$ B is constitutively activated, thus promoting cell growth and survival.<sup>31</sup> Moreover, in proneural glioma stem cells, NF- $\kappa$ B induces the transition into a mesenchymal phenotype, associated with radioresistance and poor prognosis.<sup>32</sup>

To confirm the putative prognostic role of NF- $\kappa$ B activation in LGG, we analyzed the nuclear expression of NF- $\kappa$ B-p65 in 146 newly diagnosed grade II LGGs within the new molecular subgroups as defined by the new WHO classification.<sup>2,8</sup> In this study the molecular stratification of glioma into 3 classes (IDH wild-type, IDH mutant only, and IDH mutant and 1p/19q codeleted) was endowed with prognostic significance for OS, PFS, and MPFS. More interestingly, the nuclear expression of NF- $\kappa$ B-p65 resulted to

be, together with well-established LGG prognosticators, an independent predictor of both OS and MPFS.<sup>5,11</sup>

In glioblastoma, there are 2 major mechanisms of NF- $\kappa$ B activation: aberrant epidermal growth factor receptor signaling and deletion of the NF- $\kappa$ BIA gene that encodes inhibitor of  $\kappa$ B $\alpha$ .<sup>33</sup> Moving from GASC data, we can speculate that in LGG, a major role is played by cytokine stimulation related pathways, such as TNF $\alpha$  and IL-6/signal transducer and activator of transcription 3 activation, as confirmed by Gene Set Enrichment Analysis. However, future investigations on the link between reduced expression of NF- $\kappa$ B and both IDH mutation and 1p/19q codeletion (demonstrated both in the dataset of TCGA and in the TMA case study) will help to explain the mechanism of NF- $\kappa$ B activation in LGG.

Interestingly, among the molecules that could revert the transcriptional programs characterizing BAD GASC, we identified anti-inflammatory, immunosuppressive drugs and NF- $\kappa$ B inhibitors, corroborating the knowledge that pro-inflammatory programs are active in GASC-BAD and suggesting new therapeutic strategies that target the TME. Consistently, TME-directed therapies are being experimented in clinical trials,<sup>34,35</sup> and some results have been obtained for glioblastoma,<sup>36–38</sup> where NF- $\kappa$ B inhibitors, used alone or combined with chemo- or radiotherapy, have been tested showing promising, although partial, results.<sup>31</sup>

In conclusion, there are 2 novelties of this work. First, patient-derived stem cells representative of the TME are a clinically relevant model that can play a role in PM. This is even more useful in tumors with a relatively long survival, such as LGG, since patients can directly benefit from any new discovery obtained by employing their own cells. Second, as in glioblastoma, NF- $\kappa$ B is activated in a subset of LGG, where it acts as an independent prognostic factor and can represent a new target for adjuvant therapies.

## Supplementary Material

Supplementary data are available at *Neuro-Oncology* online.

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