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(Article begins on next page)

To reorient is easier than to orient: an on-line algorithm for reorientation of graphs

Marta Fiori-Carones ^{*,**}

Dipartimento di scienze matematiche, informatiche e fisiche, Università di Udine, Via delle Scienze 208, 33100 Udine, Italy
marta.fioricarones@outlook.it

Alberto Marcone

Dipartimento di scienze matematiche, informatiche e fisiche, Università di Udine, Via delle Scienze 208, 33100 Udine, Italy
alberto.marcone@uniud.it

Abstract. We define an on-line (incremental) algorithm that, given a (possibly infinite) pseudo-transitive oriented graph, produces a transitive reorientation. This implies that a theorem of Ghouila-Houri is provable in RCA_0 and hence is computably true.

Keywords: On-line algorithm, Reverse mathematics, Comparability graphs, Pseudo-transitive graphs, Reorientations

1. Introduction

Let (V, E) be an undirected graph, so that E is a set of unordered pairs of elements of V . We write $a E b$ to mean that $\{a, b\} \in E$.

An asymmetric and irreflexive relation \rightarrow is an *orientation* of (V, E) if for every $a, b \in V$ we have $a E b$ if and only if $a \rightarrow b$ or $b \rightarrow a$. An orientation \rightarrow is *transitive* if for every $a, b, c \in V$ such that $a \rightarrow b$ and $b \rightarrow c$ we have also $a \rightarrow c$. Graphs having a transitive orientation are also known as *comparability graphs*: in fact E is the comparability relation of the strict partial order \rightarrow .

A characterization of comparability graphs was given by Alain Ghouila-Houri [7, 8] (using a different terminology and dealing only with finite graphs) and reproved by Paul Gilmore and Alan Hoffman [9]¹.

Theorem 1.1. *An undirected graph has a transitive orientation if and only if every cycle of odd length has a triangular chord.*

Here a cycle is a sequence of vertices a_0, \dots, a_k such that $a_k = a_0$ and $a_i E a_{i+1}$ for every $i < k$. (Notice that a vertex is allowed to occur more than once in a cycle.) The cycle has odd length if k is odd. The cycle has a triangular chord if either $a_1 E a_{k-1}$ or $a_i E a_{i+2}$ for some $i < k - 1$.

In Figure 1 the left graph has a cycle of length nine with no triangular chord, while the right one has no cycles of odd length without triangular chords.



Figure 1. A graph which is not a comparability graph, to the left, and a comparability graph, to the right.

The forward direction of Theorem 1.1 is easily proved. The backward direction was proved directly by Gilmore and Hoffman, while the original proof by Ghouila-Houri uses an intermediate step. The latter approach is also taken

*Corresponding author.

** Current address: Instytut Matematyki, Uniwersytet Warszawski, Banacha 2, 02-097 Warszawa, Poland

¹ Further results were obtained by Gallai [6].

in several expositions of the theorem ([2, Theorem 16.8], [5, Theorem 1.7], [11, Theorem 11.2.5]) and hinges on the following notion.

An orientation \rightarrow is *pseudo-transitive* if for every $a, b, c \in V$ such that $a \rightarrow b$ and $b \rightarrow c$ we have also either $a \rightarrow c$ or $c \rightarrow a$.

Ghouila-Houri proves the backward direction of Theorem 1.1 by first showing that if every cycle of odd length has a triangular chord then there exists a pseudo-transitive orientation, and then that any pseudo-transitive orientation can be further reoriented to obtain a transitive one.

The effectiveness of Theorem 1.1 has already been studied, in particular using the framework of reverse mathematics ([14] is the basic reference in this area), by Jeff Hirst in his PhD thesis [12, Theorem 3.20]. Hirst indeed showed that a compactness argument (disguised as an application of Zorn's lemma in [9] and of Rado's theorem in [5, 11]) is necessary for countable graphs and hence the theorem is not computably true. The following lemma includes Hirst's theorem and provides a direct proof for it.

Lemma 1.2. *The following are equivalent over the base system RCA_0 :*

- (1) WKL_0 ;
- (2) every countable graph such that every cycle of odd length has a triangular chord has a transitive orientation;
- (3) every countable graph such that every cycle of odd length has a triangular chord has a pseudo-transitive orientation.

Proof. (2) follows from (1) by a straightforward compactness argument, once the result is proved for finite graphs. The latter can be done in RCA_0 , following any of the proofs mentioned above.

The implication from (2) to (3) is trivial.

To check that (3) implies (1) we use [14, Lemma IV.4.4] stating that WKL_0 is equivalent to the statement that if $f, g: \mathbb{N} \rightarrow \mathbb{N}$ are injective functions such that $\forall m \forall n (f(m) \neq g(n))$, then there exists X such that $\forall m (f(m) \in X \wedge g(m) \notin X)$. Fix f and g as above, and define a graph (V, E) as follows: $V = \{a_n, b_n, c_n, d_n \mid n \in \mathbb{N}\} \cup \{x_m, y_m \mid m \in \mathbb{N}\}$ and E is defined by the following clauses for each n and m :

$$\begin{cases} c_n E a_n E b_n E c_n E d_n; \\ x_m E a_n & \text{if } f(m) = n; \\ y_m E b_n & \text{if } g(m) = n. \end{cases}$$

Every cycle of odd length has a triangular chord because every connected component of (V, E) is isomorphic to a subgraph of the right graph in Figure 1. Let \rightarrow be a pseudo-transitive orientation of E . It is easy to check that the set

$$X = \{n \in \mathbb{N} \mid b_n \rightarrow a_n \leftarrow c_n \vee b_n \leftarrow a_n \rightarrow c_n\}$$

contains the range of f and is disjoint from the range of g . \square

The proof of Lemma 1.2 yields the following results in the framework of computability theory and of the Weihrauch lattice (see [3] for an introduction to this research program).

Lemma 1.3. *There exists a computable graph such that every cycle of odd length has a triangular chord which has no computable pseudo-transitive orientation.*

Every computable graph such that every cycle of odd length has a triangular chord has a low transitive orientation.

Lemma 1.4. *Consider the multi-valued functions that map every countable graph such that every cycle of odd length has a triangular chord to the set of its transitive (resp. pseudo-transitive) orientations. Each of these two multi-valued functions is Weihrauch equivalent to choice on Cantor space.*

Starting with [9] there has been an interest in algorithms providing transitive orientations for finite comparability graphs. For example, the influential textbook [10] devotes a whole chapter to algorithmic aspects of comparability graphs, including complexity issues. However, the first part of Lemma 1.3 shows that there is no algorithm to (pseudo-)transitively orient countable computability graphs. In particular, an algorithm which computes a (pseudo-)transitive orientation of finite comparability graphs cannot work in an incremental way (i.e. extending the previous orientation as new vertices are added to the graph), and thus is not on-line. Here we understand the notion of on-line algorithm as defined in [1], which is a recent survey of the theoretical study of on-line algorithms for computable structures.

Lemmas 1.2, 1.3, and 1.4 provide an analysis of the first step in Ghouila-Houri's proof of Theorem 1.1. Our main interest is the analysis of the complexity of the second step of this proof, which is best stated using *oriented graphs*, i.e. directed graphs such that at most one of the edges between two vertices exist. In this paper we abbreviate 'oriented graph' as *ograp*. The notions of pseudo-transitivity and transitivity are readily extended to ograps, and a reorientation of an ograp is an ograp obtained by reversing some of the edges. Then the second step of Ghouila-Houri's proof is the following result.

Theorem 1.5. *Every pseudo-transitive ograp has a transitive reorientation.*

This is the main lemma in [7], the lemma on page 329 in [8], Theorem 16.7 in [2], Theorem 1.5 in [5], and Theorem 11.2.2 in [11]. Ghouila-Houri's proof deals only with finite graphs and uses induction on the number of vertices. The same proof is presented in [2, 5, 11] and extended to the infinite case by some compactness argument. From this proof it is easy to extract an algorithm to transitively reorient finite pseudo-transitive ograps. However, the induction step requires, in a nutshell, partitioning the set of vertices into two subsets with specific properties, to reorient each of the induced subgraphs by induction hypothesis, and then to set the reorientation between them. Thus this algorithm is not incremental and does not apply to infinite ograps.

This analysis led us to conjecture that we could obtain results similar to Lemmas 1.2, 1.3 and 1.4 for Theorem 1.5. We were actually wrong and this is the main result of this paper. We state this result in various different ways (the first three items of the theorem correspond to the approaches of Lemmas 1.2, 1.3 and 1.4, respectively).

Main Theorem.

- (1) RCA_0 proves that every countable pseudo-transitive ograp has a transitive reorientation;
- (2) every computable pseudo-transitive ograp has a computable transitive reorientation;
- (3) the multi-valued function that maps a countable pseudo-transitive ograp to the set of its transitive reorientations is computable;
- (4) there exists an on-line (incremental) algorithm to transitively reorient pseudo-transitive ograps;
- (5) Player II has a winning strategy for the following game: starting from the empty graph, at step $s + 1$ player I plays a pseudo-transitive extension $(V_s \cup \{x_s\}, \rightarrow_{s+1})$ of the pseudo-transitive ograp (V_s, \rightarrow_s) he played at step s . Player II replies with a transitive reorientation \prec_{s+1} of \rightarrow_{s+1} such that \prec_{s+1} extends \prec_s she defined at step s . Player II wins if and only if she is always able to play.

We concentrate on proving (4) of the Main Theorem, as this easily implies (1), (2) and (3), while (5) is just a restatement in a different language of (4) for countable ograps.

We now make precise what we mean by an on-line (incremental) algorithm. We assume the input to consist of vertices coming one at a time together with all information about the edges connecting them to previous vertices. (So at step s the size of the input increases of at most s .) When the algorithm sees a new vertex, it must reorient all the edges connecting it to previous vertices while preserving the reorientations already set at previous stages.

We deal explicitly only with countable ograps; however it is easily seen that our algorithm applies to ograps of any cardinality, as long as the set of vertices can be well-ordered.

An upper bound for the complexity of the algorithm we define (when applied to finite pseudo-transitive ographs) is $O(|V|^3)$. The problem of orienting comparability graphs can be solved by an algorithm with complexity $O(\delta \cdot |E|)$, where δ is the maximum degree of a vertex ([10, Theorem 5.33]), and further fine-tuning has been subsequently made.

We now describe the organization of the paper. Section 2 contains the preliminary definitions and a presentation of two pseudo-transitive ographs with transitive reorientations which are the main obstacles in designing the algorithm. Sections 3 and 4 analyze in detail these two configurations. In Section 5 we present the on-line algorithm and prove its correctness. We also sketch the ideas needed to obtain the upper bound for the complexity mentioned above.

2. Preliminaries

In the introduction we have already introduced our terminology and we now give the formal definitions of the central notions.

Definition 2.1. An ograph (V, \rightarrow) is *transitive* if for each $a, b, c \in V$, if $a \rightarrow b \rightarrow c$, then $a \rightarrow c$. (V, \rightarrow) is *pseudo-transitive* if for each $a, b, c \in V$, if $a \rightarrow b \rightarrow c$, then $a \rightarrow c$ or $c \rightarrow a$.

A relation R on V is a *reorientation* of \rightarrow , if for each $a, b \in V$, if $a \rightarrow b$ then either $a R b$ or $b R a$ and if $a R b$ then either $a \rightarrow b$ or $b \rightarrow a$.

A *transitive reorientation* of (V, \rightarrow) is a reorientation of (V, \rightarrow) which is also transitive. In this case we often use \prec in place of R .

A triple (V, \rightarrow, \prec) is a *Ghouila-Houri triple* (*GH-triple* for short) if (V, \rightarrow) is a pseudo-transitive ograph and \prec a transitive reorientation of \rightarrow .

Notice that each reorientation R of (V, \rightarrow) preserves both \rightarrow -comparability and \rightarrow -incomparability. In other words, the undirected graphs associated with (V, \rightarrow) and with (V, R) coincide.

Notation 2.2. Let (V, \rightarrow) be an ograph and $a, b, c \in V$.

- $a - b$ means that either $a \rightarrow b$ or $b \rightarrow a$;
- $N(a) = \{b \in V \mid a - b\}$ is the *neighborhood* of a ;
- $a \mid b$ means that neither $a \rightarrow b$ nor $b \rightarrow a$;
- when we write ' $a - b$ by pt(c)' we mean that we know that \rightarrow is pseudo-transitive and we are deducing $a - b$ because we have either $a \rightarrow c \rightarrow b$ or $b \rightarrow c \rightarrow a$.

Definition 2.3. Let (V, \rightarrow) be an ograph. If $V' \supseteq V$ we say that (V', \rightarrow') is an *extension* of (V, \rightarrow) if (V', \rightarrow') is an ograph such that for every $a, b \in V$ we have $a \rightarrow b$ if and only if $a \rightarrow' b$.

An on-line algorithm computing a transitive reorientation of a pseudo-transitive ograph must produce at each step a reorientation which can further be extended, in the sense made precise by the following definition.

Definition 2.4. A GH-triple (V, \rightarrow, \prec) is *extendible* if for every $(V \cup \{x\}, \rightarrow')$, pseudo-transitive extension of (V, \rightarrow) , there exists \prec' which extends \prec and is such that $(V \cup \{x\}, \rightarrow', \prec')$ is a GH-triple.

Some simple cases of GH-triples which are not extendible are depicted in Figures 2 and 3.

Example 2.5. In Figure 2 we have the *transitive triangle examples*: \rightarrow is transitive on $\{a, b, c\}$ and the transitive reorientation is defined by $a \prec c \prec b$. Notice that in the left ograph we have $a \rightarrow c \leftarrow b$, while in the right one we have $a \leftarrow c \rightarrow b$: in both cases all edges involving the vertex c have the same direction. We can add a vertex x connected to c by an edge going in the same direction and connected with neither a nor b . Then $(\{a, b, c, x\}, \rightarrow')$ is pseudo-transitive and if \prec' is a reorientation of \rightarrow' extending \prec we must have either $x \prec' c$ or $c \prec' x$: both choices lead to the failure of transitivity of \prec' .

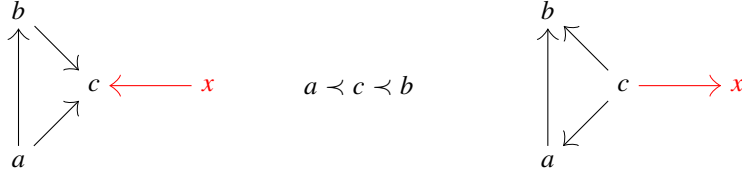
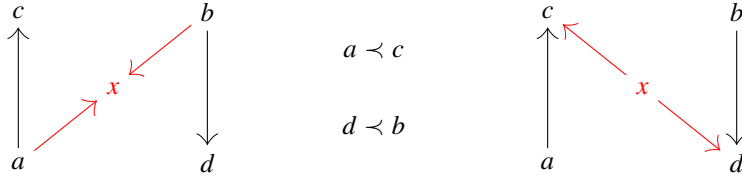


Figure 2. The transitive triangle examples.

Figure 3. The $2 \oplus 2$ example.

Example 2.6. In Figure 3 we have the $2 \oplus 2$ example: there are two edges $a \rightarrow c$ and $b \rightarrow d$ (with no other edges between these four vertices) and the transitive reorientation defined by $a \prec c$ and $d \prec b$. In the left ograph we add a vertex x such that $a \rightarrow' x$, $b \rightarrow' x$, $x \mid' c$ and $x \mid' d$. Then $(\{a, b, c, d, x\}, \rightarrow')$ is pseudo-transitive. Suppose \prec' were a transitive reorientation of \rightarrow' extending \prec : since $a \prec' x$ and $x \mid' c$, then $a \prec c$ implies $a \prec' x$; since $b \prec' x$ and $x \mid' d$, then $d \prec b$ implies $x \prec' b$. But $a \prec' x \prec' b$ is not compatible with $a \mid b$. The situation in the right ograph is the same as the previous one as far as the first four vertices are concerned, but the new vertex x is now such that $x \rightarrow' c$, $x \rightarrow' d$, $x \mid' a$ and $x \mid' b$. We can argue analogously to show that $(\{a, b, c, d, x\}, \rightarrow')$ is a pseudo-transitive ograph with no transitive reorientation extending \prec .

We eventually show that the examples above are the only obstructions to extendibility of a GH-triple. To do this we analyze in detail Examples 2.5 and 2.6 using the following notions.

Definition 2.7. Let (V, \rightarrow, \prec) be a GH-triple. If $(V \cup \{x\}, \rightarrow')$ is a pseudo-transitive extension of (V, \rightarrow) define

$$N^+(x) = \{a \in N(x) \mid \forall b (a \prec b \Rightarrow b \in N(x))\};$$

$$N^-(x) = \{a \in N(x) \mid \forall b (b \prec a \Rightarrow b \in N(x))\}.$$

(Here $N(x)$ is the neighborhood of x in $(V \cup \{x\}, \rightarrow')$.)

Remark 2.8. Under the hypothesis of the previous definition we have that if $a \in N(x) \setminus N^+(x)$, $b \in N^+(x)$ and $a - b$, then $a \prec b$. In fact, since $a \notin N^+(x)$ there is $d \succ a$ with $d \mid x$. If $b \prec a$, then $b \prec d$ against $b \in N^+(x)$. Thus $a \prec b$.

Similarly, if $c \in N(x) \setminus N^-(x)$, $b \in N^-(x)$, and $b - c$, then $b \prec c$.

The next lemma states some properties of extendible GH-triples.

If $A, B \subseteq V$ we write $A \prec B$ to mean that $a \prec b$ for every $a \in A$ and $b \in B$.

Lemma 2.9. Let (V, \rightarrow, \prec) be an extendible GH-triple. Then for any $(V \cup \{x\}, \rightarrow')$ pseudo-transitive extension of (V, \rightarrow) we have:

$$(1) N(x) = N^+(x) \cup N^-(x);$$

$$(2) N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x).$$

Proof. If condition (1) does not hold for some pseudo-transitive extension $(V \cup \{x\}, \rightarrow')$, then there exist $c \in N(x)$ and $a, b \notin N(x)$ such that $a \prec c \prec b$. This impedes both $x \prec' c$ and $c \prec' x$ for any transitive reorientation of \rightarrow' with $\prec' \supseteq \prec$. (Notice that we found in (V, \rightarrow, \prec) a copy of one of the transitive triangle examples.)

If condition (2) does not hold for some pseudo-transitive extension $(V \cup \{x\}, \rightarrow')$, then there exist $a \in N^-(x) \setminus N^+(x)$ and $b \in N^+(x) \setminus N^-(x)$ such that $a \not\prec b$. Since $a \in N^-(x) \setminus N^+(x)$ there exists c such that $a \prec c$ and $c \mid' x$. Since $b \in N^+(x) \setminus N^-(x)$, there exists d such that $d \prec b$ and $d \mid' x$. If \prec' were a transitive reorientation of \rightarrow' with $\prec' \supseteq \prec$ then these conditions imply respectively $a \prec' x$ and $x \prec' b$; it would follow $a \prec' b$, contrary to $a \not\prec b$. (Notice that in this case we found in (V, \rightarrow, \prec) a copy of the $2 \oplus 2$ example.) \square

3. Avoiding the transitive triangle examples

This section is devoted to a careful study of the first condition of Lemma 2.9. The next lemma shows that this condition captures precisely the lack of the transitive triangle examples. Recall that in that situation (V, \rightarrow, \prec) is a GH-triple. Moreover, there exist $a, b, c \in V$ such that $a \prec c \prec b$ and the new vertex x is connected with c , but not with a and b . Notice that this might happen only if a, b, c form a transitive triangle and either $a \rightarrow c \leftarrow b$ or $a \leftarrow c \rightarrow b$.

Lemma 3.1. *Let (V, \rightarrow, \prec) be a GH-triple and $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of (V, \rightarrow) , then $N(x) = N^+(x) \cup N^-(x)$ is equivalent to $\forall a, b, c \in V (a \prec c \prec b \wedge x \prec' c \Rightarrow x \prec' a \vee x \prec' b)$.*

Proof. Notice that $c \in N(x) \setminus N^+(x) \cup N^-(x)$ means that there exist a and b such that $a \prec c \prec b$ and $a, b \notin N(x)$. From this observation the equivalence is immediate. \square

Lemma 3.1 involves all possible pseudo-transitive extensions of (V, \rightarrow) by one vertex. It is convenient to have a characterization of the GH-triples such that $N(x) = N^+(x) \cup N^-(x)$ for every pseudo-transitive extension, which involves only the GH-triple itself. To this end we introduce two formulas, Φ and Ψ . In order to do this, we define formulas $\varphi(a, b, c)$ and $\psi(a, b, c)$ which do not mention the reorientation \prec . Notice that Lemmas 2.9 and 3.1 imply that the non extendibility of \prec may be caused by only three vertices. With this in mind, it is not hard to understand the rationale for $\varphi(a, b, c)$, $\psi(a, b, c)$, Φ , and Ψ .

Definition 3.2. Let (V, \rightarrow, \prec) be a GH-triple. Let $\varphi(a, b, c)$ assert the existence of $e_0, \dots, e_n \in V$ such that:

- (φ_1) $c \rightarrow e_0$;
- (φ_2) $\forall i < n ((a \rightarrow e_i \wedge b \rightarrow e_i \rightarrow e_{i+1}) \vee (e_{i+1} \rightarrow e_i \rightarrow b \wedge e_i \rightarrow a))$;
- (φ_3) $a \rightarrow e_n \rightarrow b \vee b \rightarrow e_n \rightarrow a$.

Then Φ is

$$\forall a, b, c \in V (a \rightarrow c \leftarrow b \wedge a \prec c \prec b \Rightarrow \varphi(a, b, c)).$$

Symmetrically, let $\psi(a, b, c)$ assert the existence of $e_0, \dots, e_n \in V$ such that:

- (ψ_1) $e_0 \rightarrow c$;
- (ψ_2) $\forall i < n ((a \rightarrow e_i \wedge b \rightarrow e_i \rightarrow e_{i+1}) \vee (e_{i+1} \rightarrow e_i \rightarrow b \wedge e_i \rightarrow a))$;
- (ψ_3) $a \rightarrow e_n \rightarrow b \vee b \rightarrow e_n \rightarrow a$.

Then Ψ is

$$\forall a, b, c \in V (a \leftarrow c \rightarrow b \wedge a \prec c \prec b \Rightarrow \psi(a, b, c)).$$

Notice that the only difference between φ and ψ occurs in conditions (φ_1) and (ψ_1), where the direction of the edge is reversed. Φ and Ψ further differ in applying to triples such that $a \rightarrow c \leftarrow b$ and $a \leftarrow c \rightarrow b$ respectively.

Remark 3.3. Let (V, \rightarrow, \prec) be a GH-triple. Fix $a, b, c \in V$. If e_0, \dots, e_n witness $\varphi(a, b, c)$ (or $\psi(a, b, c)$) then they witness $\varphi(b, a, c)$ (resp. $\psi(b, a, c)$) as well.

The following duality principle is useful to avoid checking Φ and Ψ separately.

Remark 3.4. Using Remark 3.3 it follows immediately that (V, \rightarrow, \prec) satisfies Φ if and only if (V, \leftarrow, \succ) (i.e. the ograph and the reorientation where all edges are reversed) satisfies Ψ .

We start with some properties concerning basic facts about φ and ψ .

Property 3.5. Let (V, \rightarrow) be a pseudo-transitive ograph. Suppose that $a \rightarrow c \leftarrow b$ and $\varphi(a, b, c)$ is witnessed by e_0, \dots, e_n . Then there exists $k \leq n$ such that e_k, \dots, e_n witness $\varphi(a, b, d)$ for each $d \in V$ such that $d \mid c$ and $a - d - b$. The same holds starting from $a \leftarrow c \rightarrow b$ and $\psi(a, b, c)$, and concluding that e_k, \dots, e_n witness $\psi(a, b, d)$.

Proof. Suppose we are in the first case, i.e. $a \rightarrow c \leftarrow b$ and e_0, \dots, e_n witness $\varphi(a, b, c)$. Let $k \leq n$ be largest such that $c \rightarrow e_k$, and notice that e_k, \dots, e_n witness $\varphi(a, b, c)$ as well.

We claim that $e_i \rightarrow c$ for all i such that $k < i \leq n$. The claim is proved by a ‘backward’ induction. We obtain $e_n - c$ by (φ_3) and $\text{pt}(b)$ or $\text{pt}(a)$. Hence $e_n \rightarrow c$ by our assumption (unless $n = k$). Suppose now that $e_{i+1} \rightarrow c$. If $e_i \rightarrow a$, then $e_i - c$ by $\text{pt}(a)$. Otherwise, $e_i \rightarrow e_{i+1}$ by (φ_2) and so $e_i - c$ by $\text{pt}(e_{i+1})$. Hence, if $i > k$ we have $e_i \rightarrow c$.

Let now d be such that $d \mid c$ and $a - d - b$. In particular we have $a \rightarrow d \leftarrow b$. Notice that to check that e_k, \dots, e_n witness $\varphi(a, b, d)$ conditions (φ_2) and (φ_3) are identical to conditions (φ_2) and (φ_3) of $\varphi(a, b, c)$, since they concern only the vertices a and b . We are left to prove that condition (φ_1) is satisfied, namely that $d \rightarrow e_k$. Since $d \mid c$ and $c \rightarrow e_k$ it suffices to show that $d - e_k$.

To this end we prove that indeed we have $e_i - d$ for all i such that $k \leq i \leq n$, again by a ‘backward’ induction. Since $a \rightarrow d \leftarrow b$ and either $e_n \rightarrow a$ or $e_n \rightarrow b$ by (φ_3) , we have $e_n - d$ by either $\text{pt}(a)$ or $\text{pt}(b)$. Now, assuming $i \geq k$ and $e_{i+1} - d$ so that $d - e_{i+1} - c$, we must have $e_{i+1} \rightarrow d$ because $e_{i+1} \rightarrow c$ by the choice of k . If $a \rightarrow e_i$ condition (φ_2) of $\varphi(a, b, c)$ implies $e_i \rightarrow e_{i+1}$ and hence $e_i - d$ by $\text{pt}(e_{i+1})$. If $e_i \rightarrow a$, then $e_i - d$ by $\text{pt}(a)$, since $a \rightarrow d$.

If $a \leftarrow c \rightarrow b$ and e_0, \dots, e_n witness $\psi(a, b, c)$ the argument is similar with obvious changes. \square

Property 3.6. Let (V, \rightarrow) be a pseudo-transitive ograph and let $v, u, e_0, \dots, e_n \in V$. Suppose $u \mid v$, $u - e_0$ and $\forall i < n (v \rightarrow e_i \rightarrow e_{i+1} \vee e_{i+1} \rightarrow e_i \rightarrow v)$. Then $u - e_i$ for each $i \leq n$.

Proof. The proof is by induction on i . The base case holds by assumption, so assume $u - e_i$ for $i < n$. If $u \rightarrow e_i$, then $v \rightarrow e_i$ because $u \mid v$. Thus $e_i \rightarrow e_{i+1}$ and $u - e_{i+1}$ by $\text{pt}(e_i)$. If $e_i \rightarrow u$ the argument is symmetric inverting the arrows. \square

We can now show that Φ and Ψ are sufficient for the first condition of Lemma 2.9.

Lemma 3.7. Let (V, \rightarrow, \prec) be a GH-triple. If Φ and Ψ are satisfied, then for each $(V \cup \{x\}, \rightarrow')$ pseudo-transitive extension of (V, \rightarrow) we have $N(x) = N^+(x) \cup N^-(x)$.

Proof. Fix $(V \cup \{x\}, \rightarrow')$. By Lemma 3.1 it suffices to show that for any $a, b, c \in V$ such that $a \prec c \prec b$ and $x -' c$ either $x -' a$ or $x -' b$.

If $b \rightarrow c \rightarrow a$ then $x \rightarrow' c$ implies $x -' a$, while $c \rightarrow' x$ implies $x -' b$. If $a \rightarrow c \rightarrow b$ the situation is similar.

If $a \rightarrow c \leftarrow b$ then Φ implies that $\phi(a, b, c)$ holds. Let e_0, \dots, e_n witness $\varphi(a, b, c)$. Assume $x -' c$. If $c \rightarrow' x$, then both $a -' x$ and $b -' x$ follow immediately by $\text{pt}(c)$. Otherwise we have $x \rightarrow' c$, and suppose towards a contradiction that $x \mid' a$ and $x \mid' b$. Notice that $x \rightarrow' c \rightarrow e_0$ implies $x -' e_0$. Hence by condition (φ_2) and Property 3.6 it holds that $\forall i \leq n (x -' e_i)$. In particular we have $x -' e_n$, and then one of $x -' a$ and $x -' b$ by $\text{pt}(e_n)$ follows by (φ_3) .

If $a \leftarrow c \rightarrow b$ we argue similarly, using Ψ . \square

We now prove that Φ and Ψ are necessary conditions for $N(x) = N^+(x) \cup N^-(x)$.

Lemma 3.8. *Let (V, \rightarrow, \prec) be a GH-triple such that one of Φ and Ψ fails. Then there is a pseudo-transitive extension $(V \cup \{x\}, \rightarrow')$ of (V, \rightarrow) such that $N(x) \neq N^+(x) \cup N^-(x)$ and hence (V, \rightarrow, \prec) is not extendible by Lemma 2.9.*

Proof. We assume the failure of Φ : if Ψ fails the argument is symmetric.

Let $a, b, c \in V$ be such that $a \rightarrow c \leftarrow b$, $a \prec c \prec b$ and $\neg\varphi(a, b, c)$. We fix $x \notin V$ and define an extension \rightarrow' of (V, \rightarrow) to $V \cup \{x\}$ in stages, as an increasing union $\rightarrow' = \bigcup_{n \in \mathbb{N}} \rightarrow_n$. For each stage n , \rightarrow_n is defined as follows:

- \rightarrow_0 extends \rightarrow by adding the single edge $x \rightarrow_0 c$;
- \rightarrow_{n+1} extends \rightarrow_n by adding edges

$$\begin{cases} x \rightarrow_{n+1} u & \text{if } \exists v((x \rightarrow_n v \rightarrow u) \vee (u \rightarrow v \rightarrow_n x)) \text{ and } a \rightarrow u \leftarrow b; \\ u \rightarrow_{n+1} x & \text{if } \exists v((x \rightarrow_n v \rightarrow u) \vee (u \rightarrow v \rightarrow_n x)) \text{ and } a \leftarrow u \rightarrow b. \end{cases}$$

Notice that $x \prec' c$ but $x \not\prec' a$ and $x \not\prec' b$ and hence $c \in N(x)$ but $c \notin N^+(x) \cup N^-(x)$. Therefore to complete the proof it suffices to check the pseudo-transitivity of \rightarrow' . We first make a couple of preliminary observations.

Claim 3.8.1. *For all $u \in V$ such that there exists $v \in V$ satisfying either $x \rightarrow' v \rightarrow u$ or $u \rightarrow v \rightarrow' x$ we have $a \rightarrow u \leftarrow b$.*

Proof. Let us first suppose that $x \rightarrow' v \rightarrow u$ holds. By definition of \rightarrow' (or by hypothesis when $v = c$) it holds that $a \rightarrow v \leftarrow b$. Hence $a \rightarrow u \leftarrow b$ by pt(v). If $u \rightarrow v \rightarrow' x$ the argument is similar. \square

Claim 3.8.2. *If $u \in V$ is such that $u \neq c$ and $u \rightarrow_1 x$ then $c \rightarrow u$.*

Proof. Let us suppose that $u \neq c$ and $u \rightarrow_1 x$, so that $u \rightarrow_0 x$ does not hold. The definition of \rightarrow_1 implies that for some v we have either $x \rightarrow_0 v \rightarrow u$ or $u \rightarrow v \rightarrow_0 x$. Since the only v such that $v \rightarrow_0 x$ is c and $x \rightarrow_0 c$ we must have the first possibility with $v = c$, so that $c \rightarrow u$ holds. \square

In order to show that \rightarrow' is pseudo-transitive, we have to consider the following three cases for $v, u \in V$:

- a. $v \rightarrow' x \rightarrow' u$. Then $v \rightarrow u$ because $v \rightarrow a \rightarrow u$ by definition of \rightarrow' ;
- b. $x \rightarrow' v \rightarrow u$. Then Claim 3.8.1 guarantees that $a \rightarrow u \leftarrow b$. Let n be the least stage such that $x \rightarrow_n v$. If $a \rightarrow u \leftarrow b$ or $a \leftarrow u \rightarrow b$, then $x \rightarrow_{n+1} u$ by definition of \rightarrow_{n+1} . Thus we assume that either $a \rightarrow u \rightarrow b$ or $b \rightarrow u \rightarrow a$. Since n is the minimum stage such that $x \rightarrow_n v$, there exists e_{n-2} such that $x \rightarrow_{n-1} e_{n-2} \rightarrow v$ and $x \rightarrow_{n-1} e_{n-2} \leftrightarrow e_{n-2} \rightarrow v$. Notice that $x \rightarrow_{n-2} e_{n-2}$ does not hold, otherwise we would have $x \rightarrow_{n-1} v$. Analogously, there must be an e_{n-3} such that $x \rightarrow_{n-2} e_{n-3} \rightarrow e_{n-2}$ and $x \rightarrow_{n-2} e_{n-3} \leftrightarrow e_{n-3} \rightarrow e_{n-2}$. For each step $i < n$, we can repeat this search of e_{i-2} witnessing that $x \rightarrow_i e_{i-1}$. After $n-1$ steps we get to $x \rightarrow_1 e_0$ and, since $x \rightarrow_0 e_0$ does not hold, $e_0 \neq c$. This means, by Claim 3.8.2, that $c \rightarrow e_0$. Let j be maximum such that $c \rightarrow e_j$ and set $e_{n-1} = v$ and $e_n = u$. We claim that e_j, \dots, e_n witness $\varphi(a, b, c)$. To this end we need to check the three clauses in the definition of $\varphi(a, b, c)$:
 - (φ_1) $c \rightarrow e_j$ by hypothesis.
 - (φ_2) Fix $i < n$: $e_i \rightarrow e_{i+1}$ holds by our choice of the sequence of the e_i 's and we have either $a \rightarrow e_i \leftarrow b$ or $a \leftarrow e_i \rightarrow b$ by definition of \rightarrow_i . Moreover, if $x \rightarrow_{i+1} e_i$, then $b \rightarrow e_i$, by definition of \rightarrow_{i+1} , and $e_i \rightarrow e_{i+1}$, by choice of e_i . If $e_i \rightarrow_{i+1} x$ the argument is similar.
 - (φ_3) $a \rightarrow e_n \rightarrow b$ or $b \rightarrow e_n \rightarrow a$ by hypothesis.
- c. $u \rightarrow v \rightarrow' x$. This is similar to the previous case. \square

Summarizing the results obtained in Lemma 3.7 and Lemma 3.8 we obtain:

Corollary 3.9. *Let (V, \rightarrow, \prec) be a GH-triple. The following are equivalent:*

- (1) for each pseudo-transitive extension $(V \cup \{x\}, \rightarrow')$ of (V, \rightarrow) , it holds that $N(x) = N^+(x) \cup N^-(x)$;
- (2) Φ and Ψ are satisfied.

4. Avoiding the $2 \oplus 2$ example

This section is devoted to study more carefully the second condition of Lemma 2.9. The next lemma shows that, assuming that $N(x) = N^+(x) \cup N^-(x)$, this condition captures precisely the lack of the $2 \oplus 2$ example. Recall that in that example (V, \rightarrow, \prec) is a GH-triple and there exist $a, b, c, d \in V$ such that $a \prec c$, $d \prec b$, $a \mid b$, $a \mid d$, $c \mid b$, and $c \mid d$. Then, a new vertex x is connected with a and b but not with c and d , or vice versa. Notice that this is possible only if either $a \rightarrow c$ and $b \rightarrow d$, or $c \rightarrow a$ and $d \rightarrow b$.

Lemma 4.1. *Let (V, \rightarrow, \prec) be a GH-triple and $(V \cup \{x\}, \rightarrow')$ a pseudo-transitive extension of (V, \rightarrow) . We use Λ to denote the following property of $(V \cup \{x\}, \rightarrow')$ and \prec :*

$$\forall a, b, c, d \in V (a \mid b \wedge c \mid d \wedge a \prec c \wedge d \prec b \wedge x -' a \wedge x -' b \Rightarrow x -' d \vee x -' c)$$

Then:

- (1) if Λ holds then $N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x)$;
- (2) if $N(x) = N^+(x) \cup N^-(x)$ and $N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x)$ then Λ holds.

Proof. (1) Assume $N^-(x) \setminus N^+(x) \not\prec N^+(x) \setminus N^-(x)$, i.e. there exist $a \in N^-(x) \setminus N^+(x)$ and $b \in N^+(x) \setminus N^-(x)$ such that $a \not\prec b$. Since $a \notin N^+(x)$ there is $c \succ a$ with $c \mid x$. Since $b \notin N^-(x)$ there is $d \prec b$ with $d \mid x$. If $b \prec a$, then $b \prec c$ but this is impossible since $c \mid x$ and $b \in N^+(x)$. Since we are assuming $a \not\prec b$ we have $a \mid b$.

We claim that $c \mid d$ also holds. Since $a - x - b$, but $a \mid b$, then either $a \rightarrow x \leftarrow b$ or $a \leftarrow x \rightarrow b$. The argument for the two cases is similar, so let us assume that $a \rightarrow x \leftarrow b$. This implies $a \rightarrow c$ and $b \rightarrow d$ because $c \mid x$ and $x \mid d$. Hence if $c \rightarrow d$, then $a - d$ by pt(c). Since $a \mid b$ and $d \prec b$, it must be $d \prec a$ but this contradicts $a \in N^-(x)$. If $d \rightarrow c$, then $c - b$ by pt(d). Since $a \mid b$ and $a \prec c$, it must be $b \prec c$ which contradicts $b \in N^+(x)$. We have thus shown that $c \mid d$ as claimed.

Now a, b, c and d witness the failure of Λ .

(2) Assume that $a, b, c, d \in V$ witness the failure of Λ . Then $a \notin N^+(x)$, $b \notin N^-(x)$ and $a \not\prec b$. If $N(x) = N^+(x) \cup N^-(x)$ holds then $a \in N^-(x)$ and $b \in N^+(x)$, showing that $N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x)$ fails. \square

Observation 4.2. Notice that the first four conjuncts of the antecedent of the implication appearing in Λ imply that a, b, c and d form a $2 \oplus 2$ because $c \mid b$ and $a \mid d$ follow from these. In fact, if $c - b$, then $a \prec c$ and $a \mid b$ imply that $b \prec c$, but then $d - c$ contrary to the assumption. A similar argument shows that $a \mid d$.

We now define two formulas Θ and Σ characterizing the reorientations such that the condition Λ of Lemma 4.1 is satisfied whenever $N(x) = N^+(x) \cup N^-(x)$. As for Φ and Ψ , the main feature of Θ and Σ is that they mention only (V, \rightarrow) and \prec . In order to define Θ and Σ it is necessary to define $\theta(a, b, c, d)$ and $\sigma(a, b, c, d)$ (which do not mention \prec).

Definition 4.3. Let (V, \rightarrow, \prec) be a GH-triple. Let $\theta(a, b, c, d)$ assert the existence of $e_0, \dots, e_n \in V$ such that:

- (θ_1) $e_0 \rightarrow b$;
- (θ_2) $\forall i < n (e_{i+1} \rightarrow e_i \rightarrow d)$;
- (θ_3) $d \rightarrow e_n$;
- (θ_4) $e_n \mid a$.

Then Θ is

$$\forall a, b, c, d \in V (a \rightarrow c \wedge b \rightarrow d \wedge a \mid b \wedge c \mid d \wedge a \prec c \wedge d \prec b \Rightarrow \theta(a, b, c, d) \vee \theta(b, a, d, c)).$$

Symmetrically, let $\sigma(a, b, c, d)$ assert the existence of $e_0, \dots, e_n \in V$ such that:

1 $(\sigma_1) d \rightarrow e_0;$

2 $(\sigma_2) \forall i < n (b \rightarrow e_i \rightarrow e_{i+1});$

3 $(\sigma_3) e_n \rightarrow b;$

4 $(\sigma_4) e_n \mid c.$

5
6 Then Σ is

$$7 \quad \forall a, b, c, d \in V (a \rightarrow c \wedge b \rightarrow d \wedge a \mid b \wedge c \mid d \wedge a \prec c \wedge d \prec b \Rightarrow \sigma(a, b, c, d) \vee \sigma(b, a, d, c)).$$

8
9
10 **Example 4.4.** Suppose $(\{a, b, c, d, e\}, \rightarrow)$ is the pseudo-transitive graph whose only edges are $a \rightarrow c$, $b \rightarrow d$ and
11 $d \rightarrow e \rightarrow b$. Then $\theta(a, b, c, d)$ and $\sigma(a, b, c, d)$ hold with $n = 0$ and $e_0 = e$. Thus a $2 \oplus 2$ such as the one obtained
12 restricting \rightarrow to $\{a, b, c, d\}$ can satisfy θ and σ simply because one of its edges belongs to a non transitive triangle.
13 See the first paragraph of the proof of lemma 5.12 below for more on this.

14
15 **Remark 4.5.** Let (V, \rightarrow, \prec) be a GH-triple. Suppose e_0, \dots, e_n witness $\theta(a, b, c, d)$ for some $a, b, c, d \in V$. Clearly,
16 if there is an $i > 0$ such that $e_i \rightarrow b$, then e_i, \dots, e_n witness $\theta(a, b, c, d)$ as well. Thus we can assume that for every
17 $i \leq n$ with $i > 0$ we have $b \rightarrow e_i$ whenever $b - e_i$. Under this assumption it actually holds that $b \rightarrow e_i$ holds for
18 every $i \leq n$ with $i > 0$. In fact, $b - e_n$ by $\text{pt}(d)$ and if $b \rightarrow e_{i+1}$, then $b - e_i$ by $\text{pt}(e_{i+1})$.

19
20 Before proving the usefulness of Θ and Σ , we would like to comment on their mutual relationship and on the
21 difference between the connection between Θ and Σ and the connection between Φ and Ψ . Let (V, \rightarrow, \prec) be a GH-
22 triple and suppose $a, b, c, d \in V$ satisfy the antecedent of Θ and Σ (which is the same). Consider a pseudo-transitive
23 extension $(V \cup \{x, y\}, \rightarrow')$ such that $a \rightarrow' x \leftarrow' b$ and $c \leftarrow' y \rightarrow' d$. The two extensions correspond respectively
24 to the left and right ograph of Figure 2. As explained at the beginning of this section, if either x is incomparable
25 with both c and d or if y is incomparable with both a and b , then (V, \rightarrow, \prec) is not extendible. We emphasize that
26 under these hypotheses we could have both x and y witnessing the non extendibility of (V, \rightarrow, \prec) . To compare this
27 situation with the one Φ and Ψ take care of, suppose $a \rightarrow b \rightarrow c \leftarrow a$ and add x and y such that $a \rightarrow x$ and $y \rightarrow c$.
28 Since $c \prec a \prec b$ and $a \prec c \prec b$ cannot occur simultaneously, only one of x and y can witness (if $\varphi(a, b, c)$, resp.
29 $\psi(b, c, a)$, fails) the non extendibility of (V, \rightarrow, \prec) .

30 Despite the previous considerations the next lemma shows that x witnesses the non extendibility of (V, \rightarrow, \prec) if
31 and only if y does.

32
33 **Lemma 4.6.** Let (V, \rightarrow) be a pseudo-transitive ograph and suppose $a, b, c, d \in V$ are such that $a \rightarrow c$, $b \rightarrow d$, $a \mid b$
34 and $d \mid c$. Then $\theta(a, b, c, d)$ holds if and only if $\sigma(a, b, c, d)$ does.

35 Therefore, if (V, \rightarrow, \prec) is a GH-triple then Θ holds if and only if Σ does.

36
37 **Proof.** Since the antecedents of Σ and Θ coincide and imply the hypothesis of the first statement, it is clear that the
38 second statement follows from the first.

39 For the forward direction of the first statement, let e_0, \dots, e_n witness $\theta(a, b, c, d)$. By Remark 4.5 we can assume
40 that $b \rightarrow e_i$ whenever $i > 0$. We claim that e_n, \dots, e_0 witness $\sigma(a, b, c, d)$. In fact, conditions (σ_1) and (σ_3) of
41 $\sigma(a, b, c, d)$ are exactly conditions (θ_3) and (θ_1) of $\theta(a, b, c, d)$. Condition (σ_2) of $\sigma(a, b, c, d)$ is now $\forall i < n (b \rightarrow$
42 $e_{i+1} \rightarrow e_i)$ and follows easily from our assumption on the e_i 's and from condition (θ_2) of $\theta(a, b, c, d)$. We are left
43 with showing condition (σ_4) of $\sigma(a, b, c, d)$, i.e. $e_0 \mid c$. Suppose on the contrary that $e_0 - c$. Since $c \mid d$, by Property
44 3.6 it follows that $\forall i \leq n (c - e_i)$. In particular, $c - e_n$ and so $e_n \rightarrow c$ because $a \mid e_n$ by (θ_4) of $\theta(a, b, c, d)$. But then
45 $c - d$ by $\text{pt}(e_n)$, contrary to the assumptions.

46 The proof of the backward direction is analogous. \square

47 Thanks to the previous lemma it suffices to concentrate on Θ .

48 The following duality principle is analogous to Remark 3.4. It is not needed elsewhere and we include it here
49 for completeness without proof.
50

Remark 4.7. Notice that the GH-triple (V, \rightarrow, \prec) satisfies Θ if and only if the GH-triple (V, \leftarrow, \succ) satisfies Θ .

Lemma 4.8. Let (V, \rightarrow, \prec) be a GH-triple. Let $a, b, c, d \in V$ be such that $a \rightarrow c$, $b \rightarrow d$, $a \mid b$, and $d \mid c$ and assume that $\theta(a, b, c, d)$ holds. Then for each $(V \cup \{x\}, \rightarrow')$ pseudo-transitive extension of (V, \rightarrow) if $a \rightarrow' x \rightarrow' b$ holds we have $x \rightarrow' d$, and if $c \rightarrow' x \rightarrow' d$ holds we have $x \rightarrow' b$.

Proof. Let $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of (V, \rightarrow) with $a \rightarrow' x \rightarrow' b$. Notice that, since $a \mid b$, either $a \leftarrow' x \rightarrow' b$ or $a \rightarrow' x \leftarrow' b$. In the first case $\text{pt}(a)$ and $\text{pt}(b)$ guarantee that $c \rightarrow' x \rightarrow' d$, so we concentrate on the other case.

Suppose that $a \rightarrow' x \leftarrow' b$ and let e_0, \dots, e_n witness $\theta(a, b, c, d)$. Towards a contradiction, assume $x \not\rightarrow' d$. Notice that $x \leftarrow' e_0$ by $\text{pt}(b)$ (we use condition (θ_1)). Hence by condition (θ_2) and Property 3.6 it holds that $\forall i \leq n (x \rightarrow' e_i)$, so that in particular $x \rightarrow' e_n$. It cannot hold that $x \rightarrow e_n$, otherwise $a \rightarrow e_n$ by $\text{pt}(x)$ contrary to (θ_4) . Hence $e_n \rightarrow x$ holds. Moreover, $d \rightarrow e_n$ by condition (θ_3) and so $\text{pt}(e_n)$ implies $d \rightarrow' x$.

A similar argument shows that $c \rightarrow' x \rightarrow' d$ implies $x \rightarrow' b$. The only change is due to the fact that when $c \leftarrow' x \rightarrow' d$ then we use $\sigma(a, b, c, d)$, which holds by Lemma 4.6. \square

We can now show that Θ is sufficient for the second condition of Lemma 2.9.

Lemma 4.9. Let (V, \rightarrow, \prec) be a GH-triple satisfying Θ . For each $(V \cup \{x\}, \rightarrow')$ pseudo-transitive extension of (V, \rightarrow) we have $N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x)$.

Proof. By Lemma 4.1.1 it suffices to prove condition Λ . Fix $a, b, c, d \in V$ such that $a \prec c$, $d \prec b$, $a \mid b$, and $c \mid d$ and assume that $a \rightarrow' x \rightarrow' b$. We need to prove that either $x \rightarrow' c$ or $x \rightarrow' d$.

Since $a \rightarrow c$ and $d \rightarrow b$ there are four possible situations. If $a \rightarrow c$ and $d \rightarrow b$, but $x \not\rightarrow' c$, then $a \rightarrow' x \leftarrow' b$ and $x \rightarrow' d$ follows by $\text{pt}(b)$. If $c \rightarrow a$ and $b \rightarrow d$ the argument is similar. If instead $a \rightarrow c$ and $b \rightarrow d$ notice that Θ implies $\theta(a, b, c, d)$ or $\theta(b, a, d, c)$: then Lemma 4.8 yields the conclusion. The last possibility is $c \rightarrow a$ and $d \rightarrow b$, where we use the second part of Lemma 4.8 (in this case a, b, c, d play roles which are opposite to those of the Lemma). \square

We now prove that Θ is necessary for $N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x)$ if Φ and Ψ hold.

Lemma 4.10. Let (V, \rightarrow, \prec) be a GH-triple such that Φ and Ψ hold and Θ fails. Then there is a pseudo-transitive extension $(V \cup \{x\}, \rightarrow')$ of (V, \rightarrow) such that $N^-(x) \setminus N^+(x) \not\prec N^+(x) \setminus N^-(x)$ and hence (V, \rightarrow, \prec) is not extendible by Lemma 2.9.

Proof. Let $a, b, c, d \in V$ be such that $a \rightarrow c$, $b \rightarrow d$, $a \mid b$, $c \mid d$, $a \prec c$, $d \prec b$ and $\neg\theta(a, b, c, d)$. We fix $x \notin V$ and define an extension \rightarrow' of (V, \rightarrow) to $V \cup \{x\}$ in stages, as an increasing union $\rightarrow' = \bigcup_{n \in \mathbb{N}} \rightarrow_n$. For each stage n , \rightarrow_n is defined as follows:

- \rightarrow_0 extends \rightarrow by adding the edges $a \rightarrow x$ e $b \rightarrow x$;
- \rightarrow_{n+1} extends \rightarrow_n by adding edges

$$\begin{cases} x \rightarrow_{n+1} u & \text{if } \exists v((x \rightarrow_n v \rightarrow u) \vee (u \rightarrow v \rightarrow_n x)) \text{ and either } c \rightarrow u \text{ or } d \rightarrow u; \\ u \rightarrow_{n+1} x & \text{if } \exists v((x \rightarrow_n v \rightarrow u) \vee (u \rightarrow v \rightarrow_n x)) \text{ and either } u \rightarrow c \text{ or } u \rightarrow d. \end{cases}$$

Notice that $x \rightarrow' u$ and $u \rightarrow' x$ are incompatible, since if $c \rightarrow u$ or $d \rightarrow u$ then we have neither $u \rightarrow c$ nor $u \rightarrow d$.

If we assume that \rightarrow' is pseudo-transitive we can complete the proof as follows. Since Φ and Ψ hold, by Lemma 3.7 we have $N(x) = N^+(x) \cup N^-(x)$. On the other hand, by definition of \rightarrow' , $a \rightarrow' x \rightarrow' b$ but $x \not\rightarrow' c$ and $x \not\rightarrow' d$ (because $c \mid d$ and hence we never set $x \rightarrow_{n+1} c$ or $x \rightarrow_{n+1} d$) and condition Λ fails. Thus, by Lemma 4.1.2, $N^-(x) \setminus N^+(x) \not\prec N^+(x) \setminus N^-(x)$.

Therefore to complete the proof it suffices to check the pseudo-transitivity of \rightarrow' . We first make a few preliminary observations.

Claim 4.10.1. *If $v \in V$ is such that $v \rightarrow' x$ then either $v \rightarrow c$ or $v \rightarrow d$. Similarly, if $u \in V$ is such that $x \rightarrow' u$ then either $c \rightarrow u$ or $d \rightarrow u$.*

Proof. Let n be least such that $v \rightarrow_n x$. If $n = 0$ then v is either a or b , which satisfy the conclusion. If $n > 0$ then $v \rightarrow c$ or $v \rightarrow d$ is required by definition. When dealing with u , the case $n = 0$ cannot hold. \square

Claim 4.10.2. *Let us assume that for $z, w \in V$ we have either $x \rightarrow' z \rightarrow w$ or $w \rightarrow z \rightarrow' x$. Then if $w - c$ and $w \mid d$ we have also $z - c$ and $z \mid d$, and similarly if $w - d$ and $w \mid c$ we have also $z - d$ and $z \mid c$.*

Proof. Assume $w - c$ and $w \mid d$. If $x \rightarrow' z \rightarrow w$, then $c \rightarrow z$ or $d \rightarrow z$ by Claim 4.10.1. If $d \rightarrow z$, then $d - w$ by $\text{pt}(z)$, contrary to the assumption. So $c \rightarrow z$, while $z \rightarrow d$ cannot hold because $c \mid d$. Thus we have $z - c$ and $z \mid d$. If $w \rightarrow z \rightarrow' x$ the argument is similar.

The second statement is proved analogously. \square

Claim 4.10.3. $\forall e (e \neq a \wedge e \neq b \wedge e_{-1} x \Rightarrow e \rightarrow a \vee e \rightarrow b)$

Proof. Let us suppose that $e \neq a, e \neq b$ and $e_{-1} x$, so that $e_{-0} x$ does not hold. The definition of \rightarrow_1 implies that for some v we have either $x \rightarrow_0 v \rightarrow e$ or $e \rightarrow v \rightarrow_0 x$. Since the only v 's such that $v \rightarrow_0 x$ are a and b , and $a \rightarrow_0 x$ and $b \rightarrow_0 x$, we must have the second possibility with v either a or b . \square

In order to prove that \rightarrow' is pseudo-transitive, there are some cases to consider.

a. $v \rightarrow' x \rightarrow' u$. By Claim 4.10.1 either $v \rightarrow c$ or $v \rightarrow d$ and also $c \rightarrow u$ or $d \rightarrow u$. If either $v \rightarrow c \rightarrow u$ or $v \rightarrow d \rightarrow u$, then $u - v$ follows by $\text{pt}(c)$ or $\text{pt}(d)$ of \rightarrow .

We now concentrate on the case $v \rightarrow c$ and $d \rightarrow u$, the other being similar. Notice that $c \mid d$ implies that $u \rightarrow c$ and $d \rightarrow v$ do not hold. Moreover, we can assume that $v \rightarrow d$ and $c \rightarrow u$ both fail, else we are in one of the previous cases. Hence $u \mid c$ and $v \mid d$. If n is the minimum stage such that $x \rightarrow_{n+1} u$ (notice that $x \rightarrow_0 u$ cannot happen), there exists e_{n-1} such that $x \rightarrow_n e_{n-1} \rightarrow u$ or $u \rightarrow e_{n-1} \rightarrow_n x$. Analogously, there must be an e_{n-2} such that $x \rightarrow_{n-1} e_{n-2} \rightarrow e_{n-1}$ or $e_{n-1} \rightarrow e_{n-2} \rightarrow_{n-2} x$. Iterating this procedure, we get to $x_{-1} e_0$. Set also $e_n = u$. Similarly, let k be least such that $v \rightarrow_k x$ (in this case $k = 0$ is possible) and set $h_k = v$. If $k > 0$, with a procedure similar to the one used before, we find h_0, \dots, h_{k-1} such that h_j witnesses that $x_{-j+1} h_{j+1}$ for each $j < k$.

Notice that a backward induction using Claim 4.10.2 easily entails $\forall i < n (e_i - d \wedge e_i \mid c)$ and $\forall j < k (h_j - c \wedge h_j \mid d)$. Notice also that for each $i < n$ either $d \rightarrow e_i \rightarrow e_{i+1}$ or $e_{i+1} \rightarrow e_i \rightarrow d$ holds. In fact, if $d \rightarrow e_i$, then $x \rightarrow' e_i$ by definition and so $e_i \rightarrow e_{i+1}$ by choice of e_i . If $e_i \rightarrow d$ the argument is similar. Arguing as in the previous lines it is easy to show that for each $j < k$ either $c \rightarrow h_j \rightarrow h_{j+1}$ or $h_{j+1} \rightarrow h_j \rightarrow c$ holds as well.

Let $i \leq n$ be least such that $d \rightarrow e_i$. We claim that e_0, \dots, e_i satisfy the first three conditions of $\theta(a, b, c, d)$:

- (θ_1) $e_0 \rightarrow b$ by Claim 4.10.3 because $e_0 \rightarrow a$ implies $e_0 - c$ by $\text{pt}(a)$, which contradicts the above observation;
- (θ_2) $\forall j < i (e_{j+1} \rightarrow e_j \rightarrow d)$: this is immediate by the minimality of i and the observation in the previous paragraph;
- (θ_3) $d \rightarrow e_i$ by choice of i ;

Since $\theta(a, b, c, d)$ fails, condition (θ_4) must fail, i.e. we have $e_i - a$.

Since $a \mid d$ we can apply Property 3.6 to obtain that $e_j - a$ for every $j \leq n$ with $j \geq i$. Recalling that $e_n = u$, we obtained $u - a$: then $a \rightarrow u$ because $a \mid d$.

We show that $h_j - u$ for every $j \leq k$. Arguing as in the proof of (θ_1) above, we have $h_0 \rightarrow a$ so that $h_0 - u$ by $\text{pt}(a)$. Thus, since $u \mid c$, we can apply Property 3.6 again to obtain the desired conclusion. Recalling that $h_k = v$ we have obtained $u - v$.

b. $x \rightarrow' v \rightarrow u$ then $c \rightarrow v$ or $d \rightarrow v$ by Claim 4.10.1. By $\text{pt}(v)$, either $c - u$ or $d - u$ and u satisfies one of the conditions in the definition of \rightarrow' . Thus $x \rightarrow' u$.

c. $u \rightarrow v \rightarrow' x$ is similar to the previous item.

This shows that \rightarrow' is pseudo-transitive and hence that (V, \rightarrow, \prec) is not extendible. \square

Summarizing, we obtained a characterization of the conditions of Lemma 2.9.

Theorem 4.11. *Let (V, \rightarrow, \prec) be a GH-triple. The following are equivalent:*

- (1) *for each pseudo-transitive extension $(V \cup \{x\}, \rightarrow')$ of (V, \rightarrow) both $N(x) = N^+(x) \cup N^-(x)$ and $N^-(x) \setminus N^+(x) \prec N^+(x) \setminus N^-(x)$ hold;*
- (2) *Φ, Ψ and Θ are satisfied.*

Proof. The implication (1) \Rightarrow (2) follows from Lemmas 3.8 and 4.10. The implication (2) \Rightarrow (1) follows from Lemmas 3.7 and 4.9. \square

Thanks to Theorem 4.11 we can now reformulate Lemma 2.9 in a way that does not refer to all possible pseudo-transitive extensions of (V, \rightarrow) but mentions only structural properties of (V, \rightarrow) and \prec .

Theorem 4.12. *Let (V, \rightarrow, \prec) be an extendible GH-triple. Then Φ, Ψ and Θ are satisfied.*

It follows from Lemma 5.13 below that the reverse implication holds as well, namely that Φ, Ψ and Θ are also sufficient conditions of the extendibility of a GH-triple.

5. The Smart Extension Algorithm

In this section we define an on-line algorithm to transitively reorient a countable pseudo-transitive ograph. Before defining the algorithm we give some preliminary definitions.

Definition 5.1. Let (V, \rightarrow, \prec) be a GH-triple. If $(V \cup \{x\}, \rightarrow')$ is a pseudo-transitive extension of \rightarrow , we define inductively the following subsets of $N(x)$:

$$S_0^-(x) = N^-(x) \setminus N^+(x);$$

$$S_0^+(x) = N^+(x) \setminus N^-(x);$$

$$S_i(x) = S_i^-(x) \cup S_i^+(x);$$

$$S_{i+1}^-(x) = \{a \in N(x) \setminus \bigcup_{j \leq i} S_j(x) \mid \exists s \in S_i^-(x)(a \mid s)\};$$

$$S_{i+1}^+(x) = \{a \in N(x) \setminus \bigcup_{j \leq i} S_j(x) \mid \exists s \in S_i^+(x)(a \mid s)\}.$$

Let $S^+(x) = \bigcup_{i \in \mathbb{N}} S_i^+(x)$, $S^-(x) = \bigcup_{i \in \mathbb{N}} S_i^-(x)$ and $S(x) = S^-(x) \cup S^+(x) = \bigcup_{i \in \mathbb{N}} S_i(x)$. Let also $T(x) = N(x) \setminus S(x)$.

If $*$ \in $\{+, -\}$ we say that a sequence $\rho = \langle \rho(0), \rho(1), \dots, \rho(|\rho| - 1) \rangle$ of elements of V is a $*$ -sequence if $\rho(i) \in S_i^*(x)$ for every $i < |\rho|$ and $\rho(i) \mid \rho(i+1)$ for every $i < |\rho| - 1$.

Remark 5.2. If $N(x) = N^+(x) \cup N^-(x)$ then $S(x) \setminus S_0(x)$ and $T(x)$ are both included in $N^+(x) \cap N^-(x)$. Moreover $S(x) \subseteq N(t)$ for every $t \in T(x)$ (because if $t \in N(x)$ and $S_i(x) \setminus N(t) \neq \emptyset$ then $t \in S_{i+1}(x)$).

Notice that if $s \in S_i^*(x)$ then there exists a $*$ -sequence ρ such that $\rho(i) = s$.

For the remainder of the section we use $S^*(x)$ as a shorthand for either $S^+(x)$ or $S^-(x)$. $S_i^*(x)$ is used similarly and s_i^* always denotes an element of $S_i^*(x)$. We now prove some properties of $S^*(x)$ and its subsets.

Property 5.3. *Let (V, \rightarrow, \prec) be a GH-triple. Let $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of \rightarrow .*

- (1) *Fix $v \in V \cup \{x\}$ and $*$ \in $\{+, -\}$. If ρ is a $*$ -sequence such that $\forall i < |\rho| (v \rightarrow' \rho(i))$ then either $\forall i < |\rho| (\rho(i) \rightarrow' v)$ or $\forall i < |\rho| (v \rightarrow' \rho(i))$.*
- (2) *$S^-(x) \prec T(x)$ and $T(x) \prec S^+(x)$.*

(3) If ρ^* is a $*$ -sequence for $*$ $\in \{+, -\}$, $\rho^+(0) \rightarrow' x \leftarrow' \rho^-(0)$ and e_0, \dots, e_n witness $\varphi(\rho^-(0), \rho^+(0), f)$ for some $f \mid' x$, then there exists $i \leq n$ such that e_i, \dots, e_n witness $\varphi(\rho^-(k), \rho^+(j), x)$, for each k and j . Moreover, $\rho^-(k) \rightarrow' x \leftarrow' \rho^+(j)$. The same statement holds with ψ in place of φ .

Proof. (1) is obvious by pseudo-transitivity of \rightarrow' .

To prove (2) we fix $t \in T(x)$ and prove by induction on i that $t \prec S_i^+(x)$ for every i . For the base of the induction, $t \prec S_0^+(x)$ follows from $S_0^+(x) \subseteq N(t)$ (Remark 5.2), $t \in N^-(x)$ and $S_0^+(x) \cap N^-(x) = \emptyset$. For the induction step let $s_{i+1}^+ \in S_{i+1}^+(x)$ and choose $s_i^+ \in S_i^+(x)$ such that $s_{i+1}^+ \mid s_i^+$. By induction hypothesis $t \prec s_i^+$ and hence, since $s_{i+1}^+ - t$ (again by Remark 5.2), we have $t \prec s_{i+1}^+$. This shows $T(x) \prec S^+(x)$. Analogously we prove $S^-(x) \prec T(x)$.

To prove (3) fix $\rho^+, \rho^-, f, e_0, \dots, e_n$ satisfying the hypothesis. Let m^* be the length of ρ^* for $*$ $\in \{+, -\}$. We write s_k^* in place of $\rho^*(k)$. Since $s_0^* \rightarrow' x$ and $S(x) \subseteq N(x)$, (1) implies that $s_k^* \rightarrow' x$ for each $k < m^*$.

Applying Property 3.5 to $(V \cup \{x\}, \rightarrow')$ we obtain that there exists $i \leq n$ such that e_i, \dots, e_n witness $\varphi(s_0^-, s_0^+, x)$. For the sake of convenience assume $i = 0$, so that e_0, \dots, e_n witness $\varphi(s_0^-, s_0^+, x)$ as well.

Fix $*$ $\in \{+, -\}$. We claim that $\forall k < m^* \forall i \leq n (e_i - s_k^*)$. The proof is by double induction². Suppose $\forall i \leq n (e_i - s_\ell^*)$ for each $\ell < k$. We prove by induction on i that $\forall i \leq n (e_i - s_k^*)$. For the base case, $e_0 - s_k^*$ by pt(x) since $s_k^* \rightarrow' x$ and $x \rightarrow' e_0$ by (φ_1) of $\varphi(s_0^-, s_0^+, x)$. For the induction step suppose $e_i - s_k^*$. If $s_k^* \rightarrow e_i$, then $s_0^* \rightarrow e_i$ by (1) (that applies because $\forall \ell < k (e_i - s_\ell^*)$). Then $e_i \rightarrow e_{i+1}$ by $\varphi(s_0^-, s_0^+, x)$. Hence $e_{i+1} - s_k^*$ by pt(e_i). If $e_i \rightarrow s_k^*$, the argument is analogous.

Let $k < m^-$ and $j < m^+$. We check that the three conditions of $\varphi(s_k^-, s_j^+, x)$ are satisfied. Condition (φ_1) holds trivially since it coincides with (φ_1) of $\varphi(s_0^-, s_0^+, x)$. To check that (φ_2) holds suppose $s_k^- \rightarrow e_i$. Then $s_0^- \rightarrow e_i$ by (1) and thus $s_0^+ \rightarrow e_i \rightarrow e_{i+1}$ by (φ_2) of $\varphi(s_0^-, s_0^+, x)$. By (1) again it holds that $s_j^+ \rightarrow e_i$ holds as well. An analogous argument shows that if $e_i \rightarrow s_k^-$, then $e_{i+1} \rightarrow e_i \rightarrow s_j^+$. These establish that (φ_2) of $\varphi(s_k^-, s_j^+, x)$ holds. Condition (φ_3) is checked in a similar way. \square

Notice that Property 5.3.2 implies $S^-(x) \prec S^+(x)$ whenever $T(x) \neq \emptyset$. To see that this holds in general we need to strengthen the hypothesis on the reorientation of (V, \rightarrow) .

Lemma 5.4. *Let (V, \rightarrow, \prec) be a GH-triple such that Ψ, Φ and Θ are satisfied. Let $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of \rightarrow . Then $S^-(x) \prec S^+(x)$ and hence $S^-(x) \cap S^+(x) = \emptyset$.*

Proof. Let $s^- \in S^-(x)$. We first claim that $s^- - s^+$ for every $s^+ \in S^+(x)$, which is obviously necessary for $S^-(x) \prec S^+(x)$. Since $s^-, s^+ \in N(x)$, there are four possibilities.

If $s^+ \rightarrow' x \rightarrow' s^-$ or $s^- \rightarrow' x \rightarrow' s^+$, then by pt(x) we have $s^- - s^+$.

Otherwise, $s^- \rightarrow' x \leftarrow' s^+$ or $s^+ \leftarrow' x \rightarrow' s^-$. Suppose the former holds. For $*$ $\in \{+, -\}$ choose a $*$ -sequence $\langle s_0^*, \dots, s_{m^*}^* \rangle$ such that $s_{m^*}^* = s^*$. Recall that, by definition of $*$ -sequence, $s_i^* \in S_i^*(x)$ for each $i \leq m^*$ and $s_i^* \mid s_{i+1}^*$ for each $i < m^*$.

Since $s^- \rightarrow' x \leftarrow' s^+$, Property 5.3.1 implies that $s_0^+ \rightarrow' x \leftarrow' s_0^-$. Since $s_0^+ \notin N^-(x)$, there exists f such that $f \prec s_0^+$ and $f \mid' x$. Analogously, there exists e such that $s_0^- \prec e$ and $e \mid' x$. Given that $f \mid' x \mid' e$ and $s_0^+ \rightarrow' x \leftarrow' s_0^-$, then $s_0^+ \rightarrow f$ and $s_0^- \rightarrow e$. Moreover, since $s_0^- \prec s_0^+$ by Theorem 4.11, it holds $s_0^+ \rightarrow s_0^-$ or $s_0^- \rightarrow s_0^+$. Suppose the latter, the other case being similar using e in place of f . We have $s_0^- - f$ by pt(s_0^+), and thus $s_0^- \rightarrow f$ since $s_0^- \rightarrow' x$ and $x \mid' f$. Since $s_0^- \in N^-(x)$, then $s_0^- \prec f$. Summarizing, we have just shown that $s_0^- \prec f \prec s_0^+$ and $s_0^+ \rightarrow f \leftarrow s_0^-$. Since we are assuming Φ holds, there are e_0, \dots, e_n witnessing $\varphi(s_0^-, s_0^+, f)$. Applying Property 5.3.3 we obtain that there exists an $i \leq n$ such that e_i, \dots, e_n witness $\varphi(s_k^-, s_j^+, x)$ for each $k \leq m^+$ and $j \leq m^-$. In particular $\varphi(s^-, s^+, x)$ is satisfied and so either $s^- \rightarrow e_n \rightarrow s^+$ or $s^+ \rightarrow e_n \rightarrow s^-$ holds. In both cases, by pt(e_n), $s^+ - s^-$ as we wanted to show.

If instead $s^- \leftarrow' x \rightarrow' s^+$ the argument is similar, reversing all arrows and using Ψ .

²Since we are dealing with formulas where all quantifiers are bounded, this can be done within RCA₀.

We have thus established our claim that $s^- \prec s^+$ for every $s^+ \in S^+(x)$. Now we prove by induction on i that $s^- \prec S_i^+(x)$ for every i . For the base of the induction, $s^- \prec s_0^+$ for every $s_0^+ \in S_0^+(x)$ because $s^- \prec s_0^+$, $s^- \in N^-(x)$ and $s_0^+(x) \notin N^-(x)$. For the induction step let $s_{i+1}^+ \in S_{i+1}^+(x)$ and choose $s_i^+ \in S_i^+(x)$ such that $s_{i+1}^+ \mid s_i^+$. By induction hypothesis $s^- \prec s_i^+$ and hence, since $s_{i+1}^+ \prec s_i^+$, we have $s^- \prec s_{i+1}^+$. \square

These relations between subsets of $N(x)$ explain the choices for the reorientation of $V \cup \{x\}$ made in the following definition.

Definition 5.5. Let (V, \rightarrow, \prec) be a GH-triple satisfying Φ , Ψ and Θ and such that $V \subseteq \mathbb{N}$. Let $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of \rightarrow .

We define \prec' , the *smart extension of \prec to $(V \cup \{x\}, \rightarrow')$* , as the binary relation that extends \prec to $V \cup \{x\}$ by establishing the relationship between x and each $v \in V$ recursively as follows:

- (1) if $v \notin N(x)$ let $v \not\prec' x$ and $x \not\prec' v$;
- (2) if $v \in S(x)$ then
 - (a) if $v \in S^-(x)$ let $v \prec' x$,
 - (b) if $v \in S^+(x)$ let $x \prec' v$;
- (3) if $v \in T(x)$ then
 - (a) if there exists $u < v$ such that $v \prec u \prec' x$ let $v \prec' x$,
 - (b) if there exists $u < v$ such that $x \prec' u \prec v$ let $x \prec' v$,
 - (c) otherwise let $v \prec' x$ if $v \rightarrow' x$ and $x \prec' v$ if $x \rightarrow' v$.

Notice that \prec' depends on the order $<$ on \mathbb{N} , is always a reorientation of $(V \cup \{x\}, \rightarrow')$, and extends \prec .

For a visual understanding of \prec' see Figure 4. Here we denote by $T^-(x)$, resp. $T^+(x)$, the subset of $T(x)$ consisting of the vertices which are below, resp. above, x . Moreover the picture shows that $S_i^-(x) \prec S_{i+2}^-(x)$ and $S_{i+2}^+(x) \prec S_i^+(x)$: we leave to the reader to prove these relations, since we do not need them. The picture may suggest that $(N(x), \prec)$ has width two, but this is not the case because there may be nontrivial antichains within some $S_i^*(x)$ and/or $T^*(x)$.

The hypothesis that (V, \rightarrow, \prec) satisfies Φ , Ψ and Θ makes sure that Conditions (2a) and (2b) of Definition 5.5 are mutually exclusive, by Lemma 5.4. Some of the clauses of Definition 5.5 are necessary for \prec' to be a transitive reorientation of \rightarrow' . Condition (1) is obviously necessary for \prec' to be a reorientation. The choice $S^-(x) \prec x$ made by Condition (2a) is explained by an inductive argument: $S_0^-(x) \prec x$ is required because $S_0^-(x) \cap N^+(x) = \emptyset$, and if $S_i^-(x) \prec x$ then the members of $S_{i+1}^-(x)$ (each incomparable with some element of $S_i^-(x)$) cannot lie above x . The same argument applies to $S^+(x)$ and justifies Condition (2b). Conditions (3a) and (3b) are clearly necessary for transitivity. Condition (3c) is applied when the relationship between x and v_i is not decided by the previous conditions and in this case \prec' simply preserves the direction of \rightarrow' .

From a complexity point of view, defining the sets $S^+(x)$ and $S^-(x)$ requires more resources than setting the relation between x and $v \in V$ according to Definition 5.5. The sets $S_0^+(x)$ and $S_0^-(x)$ are computed in at most $|V|^2$ steps, since one needs to consider each $v \in N(x)$ and for each such v to go through each $u \in N(v) \setminus N(x)$. The remaining members of $S^+(x)$ and $S^-(x)$ can be found by a depth-first search algorithm applied to the non-adjacency graph $(V \cup \{x\}, E')$ (the complexity of depth-first search algorithm is $O(|V| + |E|)$, see [4, Section 22.3]). To this end notice that for each $s_0 \in S_0^+(x)$ there exists a sequence³ $v_0 = s_0, v_1, \dots, v_n$, for some $n < |V|$, such that $v_i E' v_{i+1}$ and $v_i \in N(x)$, for each $i \leq n$. Then each $v_i \in S^+(x)$. The same obviously applies also to $S^-(x)$.

Therefore an upper bound for the complexity of the smart extension is $O(|V|^2)$.

Definition 5.6. Let (V, \rightarrow) be a pseudo-transitive ograph with V an initial interval of \mathbb{N} . The relation \prec is the *smart reorientation of \rightarrow* if it at each step s the reorientation $\prec_{s+1} = \prec \upharpoonright \{0, \dots, s\}$ is obtained as the smart extension of \prec_s .

³Such sequences may not be $+$ -sequences, because it may be the case that $v_i \in S_j^+(x)$, for some $j < i$ due to the incomparability chain caused by some other element of $S_0^+(x)$.

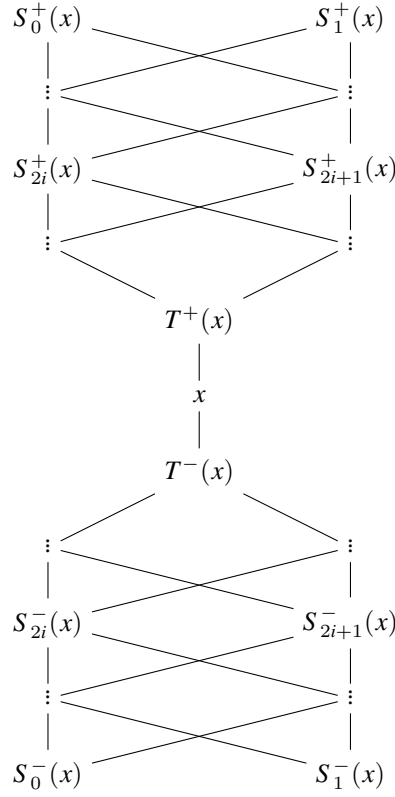


Figure 4. A smart extension.

Remark 5.7. Notice that the smart reorientation of (V, \leftarrow) is the reversal of the smart reorientation of (V, \rightarrow) .

Theorem 5.14 proves that the smart reorientation algorithm is correct. To obtain this result we prove some properties of smart reorientations. In particular we introduce the notion of ‘lazy reorientation’ in Definition 5.9. The intuitive idea behind it is the following one: an edge $a \rightarrow b$ is reversed only when this is really needed to obtain a transitive reorientation, because $a \rightarrow b \rightarrow c \rightarrow a$ and the edges $b \rightarrow c$ and $c \rightarrow a$ are not reversed.

Property 5.8. Let (V, \rightarrow, \prec) be a GH-triple with $V \subseteq \mathbb{N}$. Let $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of \rightarrow . Let \prec' be the smart extension of \prec .

If $a \prec' x$ because we applied condition (3a) with witness b then $b \in T(x)$. Moreover we can choose b so that $b \rightarrow' x$.

Similarly, if $x \prec' a$ because we applied condition (3b) with witness b then $b \in T(x)$ and we can assume $x \rightarrow' b$.

Proof. Let $a \in T(x)$ and b with $b < a$ be such that $a \prec b \prec' x$. Since $b \prec' x$ then $b \in S^-(x) \cup T(x)$. But $b \notin S^-(x)$ by Property 5.3.2 and hence $b \in T(x)$. Let b be least (as a natural number) such that $a \prec b \prec' x$. If $x \rightarrow' b$, then we used condition (3a) when dealing with b and so there exists $c < b$ such that $b \prec c \prec' x$, contrary to the minimality of b . Hence, $b \rightarrow' x$.

The proof of the second statement is analogous. \square

Definition 5.9. Let (V, \rightarrow) be a pseudo-transitive ograph. The reorientation \prec of \rightarrow is a *lazy reorientation* if it satisfies the following property: for each $a, b \in V$ such that $a \rightarrow b$ and $b \prec a$ there exists $c \in V$ such that $b \rightarrow c \rightarrow a$ (i.e. abc is a non transitive triangle), $b \prec c \prec a$, and $c < \min(a, b)$.

(V, \rightarrow, \prec) is a *lazy triple* if (V, \rightarrow) is a pseudo-transitive ograph and the reorientation \prec of \rightarrow is a lazy reorientation.

Notice that a lazy triple is not necessarily a GH-triple, because we are not requiring \prec to be transitive.

Remark 5.10. (V, \rightarrow, \prec) is a lazy triple if only if (V, \leftarrow, \succ) is a lazy triple, where (V, \leftarrow) is the reverse ograph of (V, \rightarrow) .

Property 5.11. Let (V, \rightarrow) be a pseudo-transitive ograph with $V \subseteq \mathbb{N}$ and let \prec be the smart reorientation of (V, \rightarrow) . Assume that \prec is transitive, so that (V, \rightarrow, \prec) is a GH-triple. Then \prec is lazy, i.e. (V, \rightarrow, \prec) is a lazy triple.

Proof. The proof of the laziness condition for every $a, b \in V$ is by induction on the lexicographic order of the pair of natural numbers $(\max(a, b), \min(a, b))$. Suppose $a \rightarrow b$ and $b \prec a$ and assume that for each a' and b' such that $a' \rightarrow b'$, $b' \prec a'$ and either $\max(a', b') < \max(a, b)$ or $\max(a', b') = \max(a, b)$ and $\min(a', b') < \min(a, b)$ there exists c' such that $b' \rightarrow c' \rightarrow a'$, $b' \prec c' \prec a'$, and $c' < \min(a', b')$.

By remark 5.7 we can assume without loss of generality that $a < b$. According to Definition 5.5 either $a \in S^+(b)$ or $a \in T(b)$.

If $a \in S^+(b)$ let i be such that $a \in S_i^+(b)$. We first show that $i > 0$ is impossible. If $a \in S_i^+(b)$ with $i > 0$ let ρ be a $+$ -sequence of length at least 2 such that $\rho(i) = a$. By Property 5.3.1 we have $\rho(1) \rightarrow b$. Since $\rho(1) \in S_1^+(b)$, there exists $d < b$ such that $d \in S_0^+(b)$ and $d \mid \rho(1)$. Then $b \prec d$ and $d \rightarrow b$. Since $d \in S_0^+(b)$ there exists $f < b$, $f \mid b$, $f \prec d$. Thus $d \rightarrow f$. As $\max(d, f) < b = \max(a, b)$ we can apply the induction hypothesis and there exists c such that $f \rightarrow c \rightarrow d$ and $f \prec c \prec d$. We have $c = b$ by pt(d), and hence $b \rightarrow c$ because $b \mid f$. But now $\rho(1) = c$ by pt(b), and hence $c \rightarrow \rho(1)$ since $\rho(1) \mid d$. Using again pt(c) we have $\rho(1) = f$, a contradiction with $\rho(1) \in S_1^+(b) \subseteq N^-(b) \cap N^+(b)$ as $f \notin N(b)$.

Thus $i = 0$ and $a \notin N^-(b)$. In particular there exists $f < b$, $f \mid b$, $f \prec a$ and so $a \rightarrow f$. As $\max(a, f) < \max(a, b)$ we can apply the induction hypothesis and there exists $c < \min(a, f)$ such that $f \rightarrow c \rightarrow a$ and $f \prec c \prec a$. We have $c = b$ by pt(a), and hence $b \rightarrow c$ because $b \mid f$. Hence $b \rightarrow c \rightarrow a$, $b \prec c \prec a$ (because \prec is transitive and $b \mid f$), and $c < \min(a, b)$, as required.

If $a \in T(b)$ we applied condition (3b) of Definition 5.5 to set $b \prec a$. Hence, by Property 5.8 there exists c such that $c < a$, $b \prec c \prec a$ and $b \rightarrow c$. We can assume that c is least (as a natural number) with these properties. If $c \rightarrow a$ we have our conclusion. We now rule out the possibility that $a \rightarrow c$. If this was the case, by induction hypothesis (as $\max(a, c) < \max(a, b)$) there exists $d < \min(a, c)$ such that $c \rightarrow d \rightarrow a$ and $c \prec d \prec a$. By transitivity of \prec we have $b \prec d \prec a$ and $b \rightarrow d$. If $b \rightarrow d$ then $d < c$ violates the minimality of c . If $d \rightarrow b$ then by induction hypothesis (as $\max(d, b) = \max(a, b)$ and $\min(d, b) < \min(a, b)$) there exists $e < d$ such that $b \prec e \prec d$ and $b \rightarrow e \rightarrow d$. But then $e < c$, $b \prec e \prec a$ (by transitivity of \prec) and $b \rightarrow e$ contradict the minimality of c . \square

Lemma 5.12. Let (V, \rightarrow, \prec) be a GH-triple which is also a lazy triple and such that $V \subseteq \mathbb{N}$. Then Φ , Ψ and Θ are satisfied.

Proof. Thanks to laziness checking that Θ holds is straightforward. In fact, suppose $a \rightarrow c$, $b \rightarrow d$, $a \prec c$ and $d \prec b$ for some $a, b, c, d \in V$. Since $b \rightarrow d$ but $d \prec b$, there exists an e_0 such that $d \rightarrow e_0 \rightarrow b$. It is immediate to check that e_0 witnesses $\theta(a, b, c, d)$ ⁴.

To check that Φ holds let $a, b, c \in V$ be such that $a \rightarrow c \leftarrow b$ and $a \prec c \prec b$. Since $b \rightarrow c$, $c \prec b$ and \prec is lazy, there exists $e_0 \in V$ such that $c \rightarrow e_0 \rightarrow b$, $c \prec e_0 \prec b$ and $e_0 < \min(b, c)$. By transitivity of \prec it follows that $a \prec e_0$ and thus $a = e_0$, since \prec is a reorientation. If $a \rightarrow e_0$, it is immediate to check that e_0 witnesses $\varphi(a, b, c)$.

Otherwise $e_0 \rightarrow a$ and, since $a \prec e_0$, by laziness there exists $e_1 \in V$ such that $a \rightarrow e_1 \rightarrow e_0$, $a \prec e_1 \prec e_0$ and $e_1 < \min(e_0, a)$. Notice that even if $a \rightarrow c \rightarrow e_0$ and $a \prec c \prec e_0$ it must be $c \neq e_1$ because $e_1 < e_0 < c$

⁴Notice that laziness implies a strong form of Θ , in fact the sequence witnessing the formulas have always length one.

by construction. By transitivity we get that $e_1 \prec b$ and so either $e_1 \rightarrow b$ or $b \rightarrow e_1$. If the former holds then e_0, e_1 witness $\varphi(a, b, c)$.

We have now to analyze the case when $b \rightarrow e_1$. Since $e_1 \prec b$ by laziness there exists e_2 such that $e_1 \rightarrow e_2 \rightarrow b$, $e_1 \prec e_2 \prec b$ and $e_2 < \min(b, e_1)$. By transitivity it holds that $a \prec e_2$. If $a \rightarrow e_2$, it is easy to check that e_0, e_1, e_2 witness $\varphi(a, b, c)$. Otherwise $e_2 \rightarrow a$ and we can apply laziness again to obtain e_3 .

This procedure provides a \prec -decreasing sequence (e_i) such that $a \rightarrow e_{i+1} \rightarrow e_i$ when i is even, and $e_i \rightarrow e_{i+1} \rightarrow b$ when i is odd. The sequence stops with e_n such that $a \rightarrow e_n \rightarrow b$. We claim that e_0, \dots, e_n witness $\varphi(a, b, c)$. In fact (φ_1) is guaranteed by $c \rightarrow e_0$. Moreover, for each $i < n$ either $a \rightarrow e_i \leftarrow b$ or $a \leftarrow e_i \rightarrow b$ by assumption. If the former is the case then $e_i \rightarrow e_{i+1}$, while if the latter holds $e_{i+1} \rightarrow e_i$ by construction. These two facts guarantee that (φ_2) is satisfied as well. The vertex e_n satisfies condition (φ_3) by construction.

It is now easy to check that Ψ is satisfied as well applying the duality principle of Remark 3.4. Consider the graph (V, \leftarrow) and the transitive reorientation \succ . Remark 5.10 guarantees that \succ is lazy as well. Hence, Φ holds by what we have just shown. Then, by Remark 3.4, Ψ holds in (V, \rightarrow) and \prec . \square

Lemma 5.13. *Let (V, \rightarrow, \prec) be a GH-triple such that $V \subseteq \mathbb{N}$. Assume Φ , Ψ and Θ are satisfied. Let $(V \cup \{x\}, \rightarrow')$ be a pseudo-transitive extension of (V, \rightarrow) . Then the smart extension \prec' to \rightarrow' is transitive.*

Proof. To check that \prec is transitive, we have to consider the following cases, where $a, b \in V$:

(1) $a \prec' x \prec' b$. Obviously $a, b \in N(x)$ and, if $a \in S(x)$ then $a \in S^-(x)$ while if $b \in S(x)$ then $b \in S^+(x)$. We consider four possibilities:

(a) $a \in S^-(x), b \in S^+(x)$: then $a \prec b$ follows from Lemma 5.4.

(b) $a, b \in T(x)$: if $a \rightarrow' x \rightarrow' b$ or $b \rightarrow' x \rightarrow' a$, then $a \prec b$ by pseudo-transitivity. So we are left to check that $b \not\prec a$. Suppose $b \prec a$. Then, according to the definition of \prec' , if $b < a$, then $x \prec' b$ entails $x \prec' a$, while if $a < b$, then $a \prec' x$ entails $b \prec' x$.

Otherwise, $a \rightarrow' x \leftarrow' b$ or $a \leftarrow' x \rightarrow' b$. Suppose the latter holds, the former being similar. Since $x \rightarrow' a$, but $a \prec' x$ by assumption, there is, by Property 5.8, $c < a$ such that $c \in T(x)$, $c \rightarrow' x$ and $a \prec c \prec' x$. Notice that $c \prec b$ by pt(x). We claim that $b \not\prec c$. Suppose $b \prec c$. If $c < b$, then, since $b \prec c \prec' x$, then $b \prec' x$ by definition, contrary to the assumption. Otherwise, $b < c$; then, since $x \prec' b \prec c$, then $x \prec' c$, contrary to the assumption. Thus it must be $c \prec b$ and so $a \prec b$ because \prec is transitive by hypothesis.

(c) $a \in S^-(x), b \in T(x)$: $a \prec b$ follows by Property 5.3.2.

(d) $a \in T(x), b \in S^+(x)$: $a \prec b$ follows by Property 5.3.2.

(2) $a \prec b \prec' x$. Since $b \in S^-(x) \cup T(x)$ we have $b \in N^-(x)$ and thus $a \in N(x)$. If $a \in S(x)$, Property 5.3.2 or Lemma 5.4 imply $a \in S^-(x)$, and thus $a \prec' x$.

If instead $a \in T(x)$ then $b \in T(x)$ by Property 5.3.2. If $b < a$ then $a \prec b \prec' x$ implies $a \prec' x$. If $a < b$ then $x \prec' a$ would imply $x \prec' b$; hence $a \prec' x$ holds also in this case.

(3) $x \prec' a \prec b$. The argument is similar to the previous case. \square

The following theorem proves that Definition 5.5 provides an algorithm to transitively reorient pseudo-transitive graphs.

Theorem 5.14. *Let (V, \rightarrow) be a pseudo-transitive ograph with V an initial interval of \mathbb{N} and let \prec be the smart reorientation of (V, \rightarrow) . Then \prec is transitive.*

Proof. For each $s \in \mathbb{N}$, let \prec_s be the restriction of \prec to $\{0, \dots, s-1\}$. Notice that \prec_s is the smart reorientation of the restriction of \rightarrow to $\{0, \dots, s-1\}$. To prove that \prec is transitive it is enough to check that \prec_s is transitive for each s . We do so by induction on s . For the base case there is nothing to check. Suppose \prec_s is transitive. Then by Property 5.11 \prec_s is lazy. Moreover, by Lemma 5.12 Φ , Ψ and Θ are satisfied. Hence, by Lemma 5.13 the smart extension \prec_{s+1} is transitive. \square

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