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TOPOLOGY, INTERSECTIONS AND FLAT MODULES

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ABSTRACT. It is well-known that, in general, multiplication by an ideal I does not commute with the intersection of a family of ideals, but that this fact holds if I is flat and the family is finite. We generalize this result by showing that finite families of ideals can be replaced by compact subspaces of a natural topological space, and that ideals can be replaced by submodules of an epimorphic extension of a base ring. As a particular case, we give a new proof of a conjecture by Glaz and Vasconcelos.

1. Introduction

Let D be an integral domain with quotient field K. An overring of D is a ring between D and K. The set of all overrings of D is denoted by Over(D), and can be endowed with a natural topology (called the $Zariski\ topology$) whose basis of open sets consists of the sets of the form

$$\mathcal{B}(x_1,\ldots,x_n):=\{T\in \mathrm{Over}(D):x_1,\ldots,x_n\in T\},\,$$

as x_1, \ldots, x_n vary in K. Under this topology, Over(D) is a compact T_0 space with a unique closed point (D itself) and a generic point (the quotient field K). One of the clues that this topology is the most natural to be put on Over(D) is that it makes the localization map

$$\lambda \colon \operatorname{Spec}(D) \longrightarrow \operatorname{Over}(D)$$

$$P \longmapsto D_P$$

a topological inclusion [4, Lemma 2.4].

This topology, whose origins can be traced back to Zariski's study of the space $\operatorname{Zar}(D)$ of the valuation overrings of an integral domain D [25, Chapter 6, §17] (what is now called the *Zariski space* or the *Riemann-Zariski space* of D), has recently been studied in greater detail (see for example [7, 8, 21, 20]). For example, it has been proved that $\operatorname{Over}(D)$ is a *spectral space*, meaning that there is a ring R such that $\operatorname{Spec}(R)$ is homeomorphic to $\operatorname{Over}(D)$ [5, Proposition 3.5]; the same can be proved of several distinguished subspaces of $\operatorname{Over}(D)$, like for

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example local overrings [7, Corollary 2.14] or integrally closed overrings [5, Proposition 3.6].

The aim of this paper is to prove a simple and very general result (Theorem 3, in the form of Corollary 5) which intertwines the Zariski topology on $\operatorname{Over}(D)$ with the algebraic properties of the overrings, namely the possibility to commute intersections and products in the case of compact spaces of overrings. In this way, we generalize [13, Lemma 1.1] (which deal with locally finite intersections) and [21, Theorem 3.5] (which proves the same for Noetherian collections of integrally closed overrings). As a consequence, we obtain a new proof of the Graz-Vasconcelos conjecture [12, page 340], independent from the one obtained in [23]. Since it poses no additional challenge, we also work in a more general setting, substituing to the extension $D \subseteq K$ any ring extension that is also an epimorphism, and using modules instead of only overrings.

2. Results

Let $A \subseteq B$ be a ring extension; we denote by $\mathcal{F}(B|A)$ the collection of all the A-submodules of B. The set $\mathcal{F}(B|A)$ becomes a T_0 topological space by declaring, as a basis of open sets, the family of the sets of the form $\mathcal{B}(x_1,\ldots,x_n):=\{G\in\mathcal{F}(B|A):x_1,\ldots,x_n\in G\}$, for x_1,\ldots,x_n varying in B. Note that, since $\mathcal{B}(x_1,\ldots,x_n)=\mathcal{B}(x_1)\cap\cdots\cap\mathcal{B}(x_n)$, a convenient subbasis for this topology is $\{\mathcal{B}(x):x\in B\}$. We call this topology the Zariski topology, as it generalizes the Zariski topology on C0 defined in the Introduction. Note that, in particular, the set $\mathcal{I}(A)$ of all the integral ideals of A becomes then a subspace of $\mathcal{F}(B|A)$. On the set C1 of the prime ideals of C2, this topology does not coincide with the classical Zariski topology, but rather with the so-called inverse topology (see [14] and the discussion before Example 2.2 of [22]). This should, however, not cause any confusion; the only place where we will consider C2 will be Proposition 11.

If X is any topological space and $Y \subseteq X$, we will denote by Y the closure of Y in X.

Remark 1. Let $A \subseteq B$ be a ring extension and let $\mathcal{F}(B|A)$ be endowed with the Zariski topology. The following properties hold.

- (1) For any $F, G \in \mathcal{F}(B|A)$, we have $F \in \overline{\{G\}}$ if and only if $F \subseteq G$.
- (2) Any compact nonempty subspace C of $\mathcal{F}(B|A)$ has minimal elements, with respect to the inclusion \subseteq . As a matter of fact, by Zorn's lemma it is enough to show that any chain (under inclusion) $\Sigma \subseteq C$ has a lower bound. By (1), the collection of sets $\mathcal{F} := \{\overline{\{F\}} \cap C : F \in \Sigma\}$ is a chain. Thus, in particular, given any finite subset $F_1, \ldots, F_n \in \Sigma$, if G is contained in all F_i , then $G \in \bigcap_{i=1}^n \overline{\{F_i\}} \cap C$. This proves that \mathcal{F} is a collection of closed sets of C with the finite intersection property. By

compactness, there exists a submodule $F^* \in \overline{\{F\}} \cap C$, for any $F \in \Sigma$, and applying again (1) we see that F^* is a lower bound of Σ in C.

Let now $\phi: A \longrightarrow B$ be a ring homomorphism. Then, ϕ is an epimorphism in the category of rings if, for every $\psi_1, \psi_2: B \longrightarrow C$, the equality $\psi_1 \circ \phi = \psi_2 \circ \phi$ implies that $\psi_1 = \psi_2$. If the inclusion map $A \hookrightarrow B$ is an epimorphisms, we will call the ring extension $A \subseteq B$ an epimorphic extension.

Examples of epimorphisms are surjective maps and localizations; more generally, a map $\phi:A\longrightarrow B$ such that the induced homomorphism $\phi_{\mathfrak{p}}:A_{\mathfrak{p}}\longrightarrow B_{\mathfrak{p}}$ is surjective for every $\mathfrak{p}\in \operatorname{Spec}(A)$ such that $\phi(\mathfrak{p})B\neq B$ is an epimorphism (maps with this property are called weakly surjective [16, Chapter 1, §3]; on extensions, being an epimorphism and being weakly surjective are equivalent conditions [16, Theorem 4.4]). In particular, if D is an integral domain and K is its quotient field, the ring extension $D\subseteq K$ is an epimorphic extension. On the other hand, if X is an indeterminate over A, then the extension $A\subseteq A[X]$ is not epimorphic: indeed, for every $\alpha\in A$, we can build a ring homomorphism $\psi_{\alpha}:A[X]\longrightarrow A$ by defining $\psi_{\alpha}(a):=a$ if $a\in A$ and $\psi_{\alpha}(X)=\alpha$. In this case, we have $\psi_{\alpha}\neq\psi_{\beta}$ if $\alpha\neq\beta$, but every $i\circ\psi_{\alpha}$ is the identity on A.

The first step of our way is the following fact, which is a generalization of [1, Theorem 2].

Proposition 2. Let $A \subseteq B$ be an epimorphic extension. Let I be a flat A-submodule of B, and let $G_1, \ldots, G_n \in \mathcal{F}(B|A)$. Then,

$$I(G_1 \cap \ldots \cap G_n) = IG_1 \cap \ldots \cap IG_n.$$

Proof. With a small abuse of notation, for any $F, G \in \mathcal{F}(B|A)$, we will denote by $F \otimes G$ the submodule of $B \otimes B$ generated by the elements $f \otimes g$, as f varies in F and g varies in G. By induction, it suffices to show the statement for n = 2. Consider the map

$$\lambda \colon B \otimes_A B \longrightarrow B$$
$$b_1 \otimes b_2 \longmapsto b_1 b_2.$$

Clearly, if $I, G \in \mathcal{F}(B|A)$, then $\lambda(I \otimes G) = IG$; therefore, by [18, Theorem 7.4]

$$I(G_1 \cap G_2) = \lambda(I \otimes (G_1 \cap G_2)) = \lambda((I \otimes G_1) \cap (I \otimes G_2)).$$

Since $A \subseteq B$ is an epimorphic extension, λ is an isomorphism (indeed, this property actually characterizes epimorphisms [17, Lemma 1.0]); in particular, λ is a bijection, and thus

$$\lambda((I \otimes G_1) \cap (I \otimes G_2)) = \lambda(I \otimes G_1) \cap \lambda(I \otimes G_2) = IG_1 \cap IG_2.$$

This completes the proof.

Note that this proposition does not hold if $A \subseteq B$ is not an epimorphism: for example, if X is an indeterminate over A, B = A[X] = I, $G_1 = A$, $G_2 = XA[X]$, then $G_1 \cap G_2 = (0)$ and so $I(G_1 \cap G_2) = (0)$, while $IG_1 \cap IG_2 = A[X] \cap XA[X] = XA[X]$.

Theorem 3. Let $A \subseteq B$ be an epimorphic extension, let I be a flat A-submodule of B and let Y be a (nonempty) compact subspace of $\mathcal{F}(B|A)$. Then, the following equality holds:

$$I\left(\bigcap_{J\in Y}J\right) = \bigcap_{J\in Y}IJ$$

Proof. The (\subseteq) containment is obvious. Take now an element $x \in \bigcap \{IJ : J \in Y\}$. For any $J \in Y$, by definition, there exist a positive integer n_J and elements $i_1^{(J)}, \ldots, i_{n_J}^{(J)} \in I$, $t_1^{(J)}, \ldots, t_{n_J}^{(J)} \in J$ such that

$$x = i_1^{(J)} t_1^{(J)} + \dots + i_{n_J}^{(J)} t_{n_J}^{(J)} = \sum_{h=1}^{n_J} i_h^{(J)} t_h^{(J)}.$$

Consider the open neighborhood $\Omega_J := \mathcal{B}(\{t_1^{(J)}, \dots, t_{n_J}^{(J)}\})$ of J. Then the collection of sets $\mathscr{A} := \{\Omega_J : J \in Y\}$ is an open cover of Y. By compactness, \mathscr{A} admits a finite subcover, say $\{\Omega_{J_1}, \dots, \Omega_{J_r}\}$, for suitable $J_1, \dots, J_r \in Y$. For any $l = 1, \dots, r$, set $Y_l := \Omega_{J_l} \cap Y$. By Proposition 2, we have

$$I\left(\bigcap_{J\in Y}J\right)=I\left(\bigcap_{J\in Y_1}J\cap\ldots\cap\bigcap_{J\in Y_r}J\right)=I\left(\bigcap_{J\in Y_1}J\right)\cap\ldots\cap I\left(\bigcap_{J\in Y_r}J\right),$$

and thus it suffices to show that $x \in I(\bigcap_{J \in Y_l} J)$, for each l = 1, ..., r. However, the elements $t_1^{(J_l)}, ..., t_{n_{J_l}}^{(J_l)}$ belong to J for every $J \in Y_l$, and thus they belong to the intersection $\bigcap \{J : J \in Y_l\}$; hence, the repre-

sentation
$$x = \sum_{h=1}^{n_{J_l}} i_h^{(J_l)} t_h^{(J_l)}$$
 shows that $x \in I(\bigcap_{J \in Y_l} J)$.

Before giving some corollaries of independent interest, we state the following useful lemma.

Lemma 4. Let $A \subseteq B$ be a ring extension and let $\mathcal{F}(B|A)$ be endowed with the Zariski topology. Fix a submodule $I \in \mathcal{F}(B|A)$. Then, the maps

$$s_I \colon \mathcal{F}(B|A) \longrightarrow \mathcal{F}(B|A)$$
 and $m_I \colon \mathcal{F}(B|A) \longrightarrow \mathcal{F}(B|A)$
 $J \longmapsto I + J$ $I \longmapsto IJ$

are continuous.

Proof. Let $\mathcal{B}(x)$ be a subbasic open set of $\mathcal{F}(B|A)$, with $x \in B$. If $J_0 \in s_I^{-1}(\mathcal{B}(x))$, then x = i + j for some $i \in I$, $j \in J_0$; therefore, $\mathcal{B}(j)$ is an open neighborhood of J_0 contained in $s_I^{-1}(\mathcal{B}(x))$, and thus s_I is

continuous. Similarly, if $J_0 \in m_I^{-1}(\mathcal{B}(x))$, then $x = i_1 j_1 + \cdots + i_n j_n$ for some $j_1, \ldots, j_n \in J_0$ and $i_1, \ldots, i_n \in I$. Then, $J_0 \in \mathcal{B}(j_1, \ldots, j_n) \subseteq m_I^{-1}(\mathcal{B}(x))$, and this shows that m_I is continuous.

Note that the continuity of m_I make it possible to shorten the proof of [21, Lemma 3.7].

Corollary 5. Let D be an integral domain, let I and T be D-submodules of the quotient field K of D, and let Δ be a compact subset of Over(D), with respect to the Zariski topology. If T is flat over D, then

$$\left(\bigcap_{U\in\Delta}IU\right)T=\bigcap_{U\in\Delta}(IUT).$$

Proof. By Lemma 4, the collection $\{IU : U \in \Delta\}$ is compact, since it is the continuous image of Δ via m_I . The conclusion is now an immediate consequence of Theorem 3.

As a particular case of the main results, we provide now a new topological proof of the Glaz-Vasconcelos conjecture.

Corollary 6. [23, Theorem 1.7] Let D be an integrally closed integral domain, and let I be a D-submodule of its quotient field K. If I is flat over D, then $I = \bigcap \{IV : V \in \operatorname{Zar}(D)\}$.

Proof. The space $\operatorname{Zar}(D)$ is compact in the Zariski topology [25, Chapter 6, Theorem 40]; moreover, since D is integrally closed, $D = \bigcap \{V : V \in \operatorname{Zar}(D)\}$ [3, Corollary 5.22]. Hence, by Theorem 3,

$$I = ID = I\left(\bigcap_{V \in \operatorname{Zar}(D)} V\right) = \bigcap_{V \in \operatorname{Zar}(R)} IV,$$

as claimed. \Box

Another immediate consequence of the main results deals with intersections of localizations of integral domains.

Corollary 7. Let D be an integral domain, let Y be a compact nonempty subspace of Over(D) such that $D = \bigcap \{R : R \in Y\}$, and let S be a multiplicative subset of D. Then, $S^{-1}D = \bigcap \{S^{-1}R : R \in Y\}$.

Proof. It suffices to use Theorem 3, keeping in mind that $S^{-1}D$ is a flat D-module. \Box

Corollary 8. [11, Proposition 43.5] Let D be an integral domain, let Y be a locally finite subspace of Over(D) (i.e., any nonzero element of D is noninvertible only in finitely many members of Y) such that $D = \bigcap \{R : R \in Y\}$, and let S be a multiplicative subset of D. Then, $S^{-1}D = \bigcap \{S^{-1}R : R \in Y\}$

Proof. By Corollary 7, it is enough to show that a locally finite collection of overrings of D is compact, with respect to the Zariski topology of Over(D).

Let \mathscr{A} be an open cover of Y. By Alexander's Subbasis Theorem (see e.g. [15, Chapter 5, Theorem 6, page 139]), we can assume, without loss of generality, that \mathscr{A} consists of subbasic open sets of $\operatorname{Over}(D)$, say $\mathscr{A} = \left\{ \mathcal{B}\left(\frac{a_i}{b_i}\right) : i \in I \right\}$, where $a_i, b_i \in D, b_i \neq 0$, for any $i \in I$. Fix now an index $i' \in I$ and note that, by assumption, the set $Y' := \{R \in Y : b_{i'}^{-1} \notin R\}$ is finite, say $Y' = \{R_1, \dots R_n\}$. Thus, any member of Y - Y' belongs to $\mathcal{B}\left(\frac{a_{i'}}{b_{i'}}\right)$ and any $R_j \in Y'$ belongs to some $\mathcal{B}\left(\frac{a_{i_j}}{b_{i_j}}\right)$. The proof is now complete.

Note that the main part of the proof of the previous corollary is also a consequence of [8, Proposition 2.9], where it was proved in the more general context of semistar operations; we inserted the proof here for the reader's convenience. Moreover, the proof of the previous corollary also extends [9, Remark 4.7], where the authors proved that any locally finite family of localizations is compact.

Corollary 9. Let D be a Prüfer domain with quotient field K, let \mathfrak{a} be an ideal of D, and let $Y \subseteq \mathcal{I}(D)$ be compact. Then,

$$\mathfrak{a} + \bigcap_{\mathfrak{b} \in Y} \mathfrak{b} = \bigcap_{\mathfrak{b} \in Y} (\mathfrak{a} + \mathfrak{b}).$$

Proof. It suffices to prove that, for every prime ideal \mathfrak{p} , the equality

$$\mathfrak{a}D_{\mathfrak{p}} + \left(\bigcap_{\mathfrak{b}\in Y}\mathfrak{b}\right)D_{\mathfrak{p}} = \left(\bigcap_{\mathfrak{b}\in Y}(\mathfrak{a}+\mathfrak{b})\right)D_{\mathfrak{p}}$$

holds. Fix thus a prime ideal \mathfrak{p} , and let $V := D_{\mathfrak{p}}$; since D is a Prüfer domain, V is a valuation domain.

Since V is flat over D and $\{\mathfrak{a} + \mathfrak{b} : \mathfrak{b} \in Y\}$ is compact (Lemma 4), we have, by Theorem 3,

(1)
$$\left(\bigcap_{\mathfrak{b}\in Y}(\mathfrak{a}+\mathfrak{b})\right)V = \bigcap_{\mathfrak{b}\in Y}((\mathfrak{a}+\mathfrak{b})V) = \bigcap_{\mathfrak{b}\in Y}(\mathfrak{a}V+\mathfrak{b}V)$$

Observe now that, since V is a valuation domain, the collection of ideals $Y' := \{ \mathfrak{b}V : \mathfrak{b} \in Y \}$ is totally ordered and compact, by Lemma 4. Thus, since by compactness Y' has minimal elements under inclusion (Remark 1), it follows that Y' has a minimum. Then, there is an ideal $\mathfrak{b}_0 \in Y$ such that $\mathfrak{b}_0 V \subseteq \mathfrak{b}V$, for any $\mathfrak{b} \in Y$. It follows that the last member of the equality (1) becomes

$$\bigcap_{\mathfrak{b}\in Y}(\mathfrak{a}V+\mathfrak{b}V)=\mathfrak{a}V+\mathfrak{b}_0V=\mathfrak{a}V+\left(\bigcap_{\mathfrak{b}\in Y}\mathfrak{b}V\right)=\mathfrak{a}V+\left(\bigcap_{\mathfrak{b}\in Y}\mathfrak{b}\right)V,$$

where the last equality is again a consequence of Theorem 3. The proof is now complete. \Box

Remark 10. The previous corollary is closely related to the dual AB-5* of Grothendieck AB-5 (see, for example, [2]). Precisely, if D is a Prüfer domain and any filter base of ideals of D is compact, with respect to the Zariski topology of $\mathcal{I}(D)$, then D is AB-5* (as D-module).

In the case of Prüfer domains, we can also prove a partial converse of Theorem 3. Recall that a prime ideal P of a Prüfer domain D is branched if the set of prime ideals of D properly contained in P has a maximum (see e.g. [11, Theorem 17.3]). If the dimension of D is finite, every prime ideal is branched.

Proposition 11. Let D be a Prüfer domain with quotient field K, and let $\Delta \subseteq \operatorname{Spec}(D)$ be a nonempty set.

- (a) Δ is compact (in the "classical" Zariski topology of $\operatorname{Spec}(D)$) if and only if, for every flat D-submodule I of K, $\bigcap_{\mathfrak{p}\in\Delta}ID_{\mathfrak{p}}=I\left(\bigcap_{\mathfrak{p}\in\Delta}D_{\mathfrak{p}}\right)$.
- (b) Suppose that every prime ideal of D is branched. Then, Δ is compact (in the "classical" Zariski topology of $\operatorname{Spec}(R)$), if and

only if
$$\bigcap_{\mathfrak{p}\in\Delta}D_{\mathfrak{q}}D_{\mathfrak{p}}=D_{\mathfrak{q}}\left(\bigcap_{\mathfrak{p}\in\Delta}D_{\mathfrak{p}}\right)$$
 for every $\mathfrak{q}\in\mathrm{Spec}(D)$.

Proof. In both points, one implication follows from Corollary 7 and the fact that the map $\lambda : \operatorname{Spec}(D) \longrightarrow \operatorname{Over}(D)$, $P \mapsto D_P$, is a topological inclusion. Suppose Δ is not compact, and let $T := \bigcap_{\mathfrak{p} \in \Delta} D_{\mathfrak{p}}$; note that, without loss of generality, we can suppose that $\Delta = \Delta^{\downarrow} = \{\mathfrak{q} \in \operatorname{Spec}(D) : \mathfrak{q} \subseteq \mathfrak{p} \text{ for some } \mathfrak{p} \in \Delta\}$, since Δ is compact if and only if Δ^{\downarrow} is compact.

The set of prime ideals \mathfrak{p} such that $\mathfrak{p}T \neq T$ is the image of $\operatorname{Spec}(T)$ under the canonical map $\operatorname{Spec}(T) \longrightarrow \operatorname{Spec}(D)$; since it contains Δ , and Δ is not compact, it must also contain a prime ideal $\mathfrak{q} \notin \Delta$. Since D is a Prüfer domain, \mathfrak{q} is a flat D-module; however,

$$\bigcap_{\mathfrak{p}\in\Delta}\mathfrak{q}D_{\mathfrak{p}}=\bigcap_{\mathfrak{p}\in\Delta}D_{\mathfrak{p}}=T\neq\mathfrak{q}T=\mathfrak{q}\left(\bigcap_{\mathfrak{p}\in\Delta}D_{\mathfrak{p}}\right),$$

against the hypothesis. Therefore, part (a) is proved.

If every prime ideal of D is branched, so is \mathfrak{q} ; therefore, there is a prime ideal \mathfrak{q}_0 directly below \mathfrak{q} . No ideal $\mathfrak{p} \in \Delta$ contains \mathfrak{q} ; therefore, $D_{\mathfrak{p}}D_{\mathfrak{q}} \supseteq D_{\mathfrak{q}}$, and in particular $D_{\mathfrak{q}_0} \subseteq D_{\mathfrak{p}}D_{\mathfrak{q}}$. Hence,

$$\bigcap_{\mathfrak{p}\in\Delta} D_{\mathfrak{q}} D_{\mathfrak{p}} \supseteq D_{\mathfrak{q}_0} \supsetneq D_{\mathfrak{q}} = D_{\mathfrak{q}} T = D_{\mathfrak{q}} \left(\bigcap_{\mathfrak{p}\in\Delta} D_{\mathfrak{p}}\right),$$

against the hypothesis. Part (b) is proved.

Note that part (b) of the previous proposition does not hold without the hypothesis that the prime ideals are branched: indeed, if V is a valuation domain with maximal ideal \mathfrak{m} unbranched, and $\Delta := \operatorname{Spec}(V) \setminus \{\mathfrak{m}\}$, then

$$V_{\mathfrak{m}}V = V = \bigcap_{\mathfrak{p} \in \Delta} V_{\mathfrak{p}} = \bigcap_{\mathfrak{p} \in \Delta} V_{\mathfrak{p}}V_{\mathfrak{m}},$$

despite Δ not being compact.

Another question arising from Theorem 3 is if the equality $I\left(\bigcap_{J\in Y}J\right)=\bigcap_{J\in Y}IJ$, for all compact families Y of submodules of an epimorphic extension $A\subseteq B$, implies that I is flat. This is true if the base ring A is a domain, but fails in general (see [1, Theorem 2] and the subsequent discussion).

Remark 12. While Theorem 3 is quite general, it may be in general hard, or at least not easy, to find examples of compact subspaces to which it can be applied, or to prove that a given family is actually compact.

Some examples can be constructed using the fact that, under the Zariski topology, $\mathcal{F}(B|A)$ is a spectral space, i.e., it is homeomorphic to the prime spectrum of a ring [22, Example 2.2(2)]. For example, it follows form Remark 1(1) and either [24, Proposition 2.3] or [19, Proposition 2.2] that a subset Y of $\mathcal{F}(B|A)$ is compact if and only if every element of the closure of Y, with respect to the constructible topology, contains a point of Y, where the constructible topology on $\mathcal{F}(B|A)$ is the coarsest topology on $\mathcal{F}(B|A)$ for which any open and compact subspace of $\mathcal{F}(B|A)$ is both open and closed.

Another class of examples comes from the domination map $d : \operatorname{Zar}(A) \longrightarrow \operatorname{Spec}(A)$ of the Zariski space of a domain A (i.e., the set of valuation overrings of A). For example, if S is a compact subspace of $\operatorname{Spec}(A)$, then $d^{-1}(S)$ is compact, by [19, Proposition 2.2 and Lemma 2.7(3)].

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