

# Transmembrane TNF- $\alpha$ Density, but not Soluble TNF- $\alpha$ Level, is Associated with Primary Response to Infliximab in Inflammatory Bowel Disease

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**OBJECTIVES:** Anti-tumor necrosis factor (TNF)- $\alpha$  agents like Infliximab (IFX) are effective in the treatment of inflammatory bowel diseases (IBDs) and are widely used. However, a considerable number of patients do not respond or lose response to this therapy. Preliminary evidence suggests that transmembrane TNF- $\alpha$  (tmTNF- $\alpha$ ) might be linked to response to IFX by promoting reverse signaling-induced apoptosis in inflammatory cells. The main aim of this study was the evaluation of this hypothesis in primary IFX non-responders.

**METHODS:** A total of 47 IFX naive IBD patients were included in the study. Blood samples were taken before the start of IFX therapy (at week 0) and after induction therapy (at week 14). Endoscopic disease activity and markers of inflammation at baseline and at week 14 were used to evaluate response. Baseline soluble TNF- $\alpha$  (sTNF- $\alpha$ ), percentage of circulating TNF- $\alpha$  positive cells, mean fluorescence intensity (MFI) of tmTNF- $\alpha$ , and apoptosis rate at week 14 in the peripheral blood mononuclear cells (PBMCs) were evaluated in IFX responders and non-responders.

**RESULTS:** Mean sTNF- $\alpha$  was not significantly different in responders compared to non-responders ( $P = 0.13$ ). Mean percentage of tmTNF- $\alpha$  bearing lymphocytes and monocytes was higher in the PBMCs of responders ( $P = 0.05$  and  $P = 0.014$ , respectively). Mean MFI of tmTNF- $\alpha$  in circulating lymphocytes and monocytes was greater in responders ( $P = 0.002$  and  $P < 0.001$ , respectively). Moreover, the mean percentage of apoptosis in PBMCs was significantly greater in responders compared to non-responders ( $P = 0.002$ ).

**CONCLUSIONS:** The percentage of tmTNF- $\alpha$  bearing lymphocytes and monocytes and the intensity of tmTNF- $\alpha$  in the circulating leukocyte population of IBD patients was directly related to primary response to IFX. This was likely due—as assessed by the apoptosis rate—to promotion of inflammatory cell death. Thus, our data suggest that peripheral leukocytes could in principle be used for predicting primary response to IFX in IBD patients.

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## INTRODUCTION

Inflammatory bowel disease (IBD)—primarily, Crohn's disease (CD) and ulcerative colitis (UC)<sup>1</sup>—are quickly becoming a global healthcare problem<sup>2</sup> as patients are becoming refractory to the most effective medications, the anti-tumor necrosis factor- $\alpha$  (anti-TNF- $\alpha$ ) agents.<sup>3</sup> Elevated levels of pro-inflammatory cytokines have been demonstrated in serum and intestinal mucosa of IBD patients. Among them, TNF- $\alpha$  appears to be a key player.<sup>4</sup> Inhibition of TNF- $\alpha$  by monoclonal antibodies—such as Infliximab (IFX) and Adalimumab—has been shown for more than a decade to be the most effective treatment for IBD patients; however, despite their proven efficacy, up to 30% of biological naive patients do not respond

to anti-TNF agents after the induction phase—so-called primary non response (PNR)—and up to 13% per year of those who initially respond will eventually lose response over time (secondary loss of response (SLR)).<sup>3,5,6</sup> The mechanisms at the basis of PNR and SLR might be multiple and are still not fully understood. The literature suggests that PNR may be associated with stricturing disease and lack of response to any medical therapy.<sup>5,6</sup> In primary non-responders the disease could also be driven by a biological process (partially) independent of TNF- $\alpha$ . Alternatively, the drug dose might simply not be enough for the patient's effective disease burden.<sup>7,8</sup> Finally, the lack of response could be due to other less clear factors (genetics, drug metabolism and disposition).<sup>5</sup> Unfortunately, in clinical practice it is often difficult to

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identify the mechanism at the basis of PNR and SLR, and to accurately predict therapeutic response to anti-TNF agents from the outset. Clearly, the identification of biomarkers capable of predicting such response remains an important goal in clinical IBD research today.

Transmembrane TNF- $\alpha$  (tmTNF- $\alpha$ ), a precursor of the soluble form of TNF-alpha (sTNF- $\alpha$ ), is expressed on activated macrophages and lymphocytes as well as other cell types. After processing by TNF- $\alpha$  converting enzyme, the sTNF- $\alpha$  is cleaved from tmTNF- $\alpha$  and mediates its biological activities through binding to TNF receptors of remote tissues. Accumulating evidence suggests that not only sTNF- $\alpha$  but also tmTNF- $\alpha$  is involved in the inflammatory response. tmTNF- $\alpha$  acts as a bipolar molecule that transmits signals both as a ligand and as a receptor. On TNF- $\alpha$ -producing cells it binds to TNF receptors, and transmits signals to the target cell as a ligand, while also acting as a receptor transmitting outside-to-inside signals back to the cell.<sup>9–11</sup> When playing the receptor role, tmTNF- $\alpha$  also acts as a receptor for IFX. Binding of IFX to tmTNF- $\alpha$  activates several anti-inflammatory mechanisms including 'tmTNF- $\alpha$  reverse signaling-induced apoptosis', which is considered one of the most important.<sup>11–13</sup> In this process, tmTNF- $\alpha$  acts as a cell surface receptor binding the circulating IFX and thereby activating apoptosis of the tmTNF- $\alpha$  bearing inflammatory cells. Apoptosis with depletion of the inflammatory cells will then result in attenuation of inflammation.

Thus, the concentration of tmTNF- $\alpha$  and the number of tmTNF- $\alpha$  bearing cells might be related to IFX response in IBD. A greater tmTNF- $\alpha$  density could lead to more effective induction of IFX-induced apoptosis in inflammatory cells, and

to a better clinical response to IFX. Were that the case, the density of tmTNF- $\alpha$  and the number of tmTNF- $\alpha$  bearing cells could be leveraged as biomarkers of IFX response. In this study we have tested such hypotheses in patients with PNR to IFX.

## METHODS

**Patients.** IFX naive IBD patients, who failed corticosteroids and/or immunomodulators, were included in the study. The inclusion criteria were as follows: verifiable diagnosis of CD or UC based on standard endoscopic, radiologic, and histologic criteria;<sup>14–16</sup> age 16 years or older; testing negative for hepatitis B and C; human immunodeficiency virus; latent or current tuberculosis (by chest X-ray and tuberculin skin test); serum cytomegalovirus PCR; and negative stool *Clostridium difficile* and stool culture. Exclusion criteria were as follows: previous IBD-related intestinal resection, perianal disease, history of severe heart disease, neurodegenerative diseases, pregnancy, and previous history of colon cancer. In addition, patients were excluded in the presence of intestinal strictures, abscess, fistulas, or sepsis—conditions that might impact on medical response to anti-TNF- $\alpha$  agents or any other medication.<sup>5,6</sup> Hence, all the CD patients had a non-stricturing, non-penetrating phenotype (Montreal B1).<sup>17</sup> We also stipulated that patients demonstrating intolerance to IFX had to be excluded from the study.

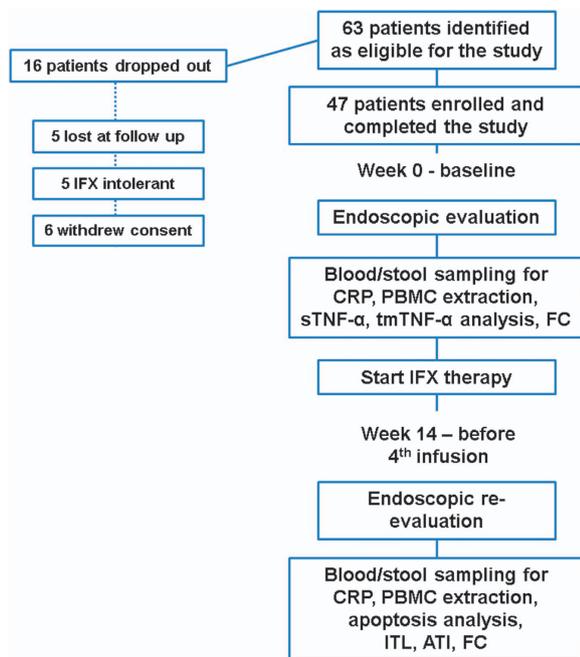
Written informed consent was obtained from all subjects and the protocol was approved by the local Ethical Committee.

**Study protocol.** The treatment protocol included a standard IFX dose of 5 mg/kg at weeks 0, 2, and 6 as induction, and every 8 weeks thereafter as maintenance. The first blood sample was drawn at baseline, before the first IFX infusion. Peripheral blood mononuclear cells (PBMCs) were extracted and subjected to flow cytometry. The percentage of TNF- $\alpha$ -positive lymphocytes and monocytes, along with the intensity of TNF- $\alpha$  expression in each cell population was also analyzed. Serum samples were analyzed for sTNF- $\alpha$  level.

At week 14, a blood sample was collected immediately before the 4th IFX infusion. PBMCs were extracted and subjected to flow cytometric analysis for the evaluation of apoptosis and additional tests (see below).

Endoscopic assessment was conducted at weeks 0 and 14 (see next paragraph). Figure 1 shows the flowchart of the study protocol in detail.

**Evaluation of response to IFX.** The common clinical definition of PNR to IFX is the lack of improvement of clinical signs and symptoms with induction therapy.<sup>3,5</sup> However, in our study we chose more objective and reliable parameters of therapeutic response namely endoscopic disease activity and markers of inflammation before and after treatment. For the purpose, colonoscopy was performed at week 0 (baseline) and 14, immediately before the first maintenance infusion. Endoscopic response in UC was defined as an improvement of the endoscopic Mayo score of at least one point from baseline.<sup>18</sup> Endoscopic response in CD was defined as a decrease of  $\geq 5$  in the CDEIS score.<sup>19</sup> In



**Figure 1** Flowchart of the study. ATI, antibodies to infliximab; FC, fecal calprotectin; IFX, Infliximab; ITL, Infliximab trough level; PBMC, peripheral blood mononuclear cells; sTNF- $\alpha$ , serum TNF- $\alpha$ ; tmTNF- $\alpha$ , transmembrane TNF- $\alpha$ .

addition, C-reactive protein (CRP), and fecal calprotectin (FC) (FCal ELISA, Bühlmann, Schönenbuch, Switzerland) were measured at weeks 0 and 14, using an enzyme-linked immunosorbent assay (ELISA). A CRP level of (up to) 5 mg/l and FC level of (up to) 100  $\mu$ g/g were considered normal values.

Finally, to gain additional knowledge on the mechanism at the basis of PNR, IFX trough levels (ITL) and antibodies to IFX (ATI) (IDKmonitor-infliximab drug level ELISA and IDKmonitor-infliximab total ADA ELISA, Immundiagnostik AG, Bensheim, Germany) were measured immediately before the 4th IFX infusion at week 14 by ELISA. An ITL of 0.8  $\mu$ g/ml and an ATI value of 10 U/ml were considered as cutoff detection levels. IDKmonitor-infliximab total ADA ELISA detects total ADA (bound and free ADA) and is categorized as a drug-tolerant assay.

**Flow cytometric analysis of PBMCs.** PBMCs were isolated by gradient centrifugation on a layer of Ficoll-Paque Plus (Amersham, Uppsala, Sweden). For the evaluation of tmTNF- $\alpha$  mean fluorescence intensity (MFI), extracted leukocytes were subjected to the acid wash process to remove receptor-bound TNF (cells were reconstituted for 5 min in RPMI 1640 medium, pH 2.5 containing 10% FBS). Cells were then washed twice with PBS before flow cytometric evaluation. The cells were stained for tmTNF- $\alpha$  by phycoerythrin conjugated anti-TNF- $\alpha$  monoclonal antibody (PE-Mouse Anti-Human TNF, Biolegend, San Diego, CA) in PBS with 1% bovine serum albumin and 0.1% sodium azide for 30 min at 4 °C. Before staining, cells were Fc-blocked by 1  $\mu$ g of human IgG for 15 min at room temperature. Using the gating strategy, based on forward scatter (FSC) and side scatter (SSC) two separate gates were identified for the lymphocyte and monocytes populations (Supplementary Figure 1S).

For the assessment of PBMCs' apoptotic activity, cells were stained by FITC Annexin V Apoptosis Detection Kit (BioLegend) with Propidium Iodide. Briefly, the cells were washed twice with cold BioLegend's Cell Staining Buffer, and then were re-suspended in Annexin V Binding Buffer. Subsequently, FITC Annexin V and Propidium Iodide Solution were added. Following 15 min incubation at room temperature in the dark, annexin V Binding Buffer was added. The cells were then subjected to flow cytometry.

Staining with the relevant isotype-matched control monoclonal antibody (BioLegend) was performed in all flow cytometric evaluations.

Immediately after staining, flow cytometric analysis was performed on a FACScalibur flow cytometer (Becton Dickinson, Franklin Lakes, NJ). At least 10 000 PBMCs per sample were analyzed. The data were analyzed using Flow Jo software (Tree star, Ashland, OR). An example of an individual run of apoptotic rates in responders and non-responders is illustrated in Supplementary Figure 2S.

**sTNF- $\alpha$  analysis.** Collected serum samples were stored at -80 °C until analysis. Serum levels of TNF- $\alpha$  were measured by ELISA, according to the protocol provided by the manufacturer (Human TNF-alpha Quantikine HS ELISA, R&D Systems-Minneapolis, MN). Human TNF- $\alpha$  controls

(QuantiGlo Immunoassay Control Set 732 for Human TNF-alpha) were also used to increase the test accuracy.

**Statistical analysis.** Statistical analysis was performed using IBM SPSS for Windows, version 21 (IBM Armonk, NY). Central tendency and variability for numeric variables were measured using the mean and s.d., respectively. Normality test was performed for dependent variables. For normally distributed variables the parametric independent *t*-test and the paired *t*-test were used. For variables which were not normally distributed, the non-parametric Mann-Whitney *U*-test and Wilcoxon test were used. The Pearson/Spearman's correlation coefficient was used to test potential correlation of variables. The analysis of covariance test was used to analyze mean differences among two groups with treatment as factor and baseline as covariate. The prognostic value of candidate variables was evaluated by plotting receiver operating characteristic curves and calculation of the area under the curve. Maximum point of the Youden's index (Youden's J) was identified as the cutoff value in the separation of responders and non-responders. The Youden's index is the likelihood of a positive test result in subjects with the condition vs. those without the condition. This index combines sensitivity and specificity into a single measure and has a value between 0 and 1. In a perfect test the Youden's value equals 1. A *P* value  $\leq 0.05$  was considered statistically significant.

## RESULTS

Table 1 shows the baseline characteristics of the enrolled patients. In total, 63 IFX naive IBD patients were identified as eligible for the study. Of the 63 eligible patients, 16 patients did not complete the treatment protocol and were later excluded. In total, 47 IFX naive IBD patients were evaluated in this study (Figure 1).

The patient population was composed by 22 females and 25 males, with 34 CD and 13 UC patients. The mean age was 36 years, ranging from 17 to 60. The mean duration of disease was 6.6 years, ranging from 3 to 12. Only 8.5% of patients

**Table 1** Baseline characteristics of IBD patients

Variable	Results	
	UC (n = 13)	CD (n = 34)
Mean Age (mean $\pm$ s.d.) years	38.7 $\pm$ 14.6	35 $\pm$ 13.8
Gender (Males, %)	53.8	52.9
Positive smoking history (%)	15.4	6
Positive family history of IBD (%)	7.7	20
Mean disease duration (mean $\pm$ s.d.) years	6.7 $\pm$ 1.7	6.6 $\pm$ 2.1
Classification:		
L1—ileal (%)	—	10 (29.4)
L2—colonic (%)		11 (32.4)
L3—ileocolonic (%)		13 (38.2)
Classification:		
E1—rectum (%)	0	—
E2—left sided (%)	5 (38.5)	
E3—extensive (%)	8 (61.5)	

**Table 2** Comparison of clinical and laboratory characteristics of IFX responders and nonresponders

Variable	Responders		Non-responders		P value
	UC (%)	CD (%)	UC (%)	CD (%)	
Disease type	8 (26.7) 30 (63.8)	22 (73.3)	5 (29.4) 17 (36.2)	12 (70.6)	– –
CDEIS week 0	–	17.6 $\pm$ 4.7	–	18.9 $\pm$ 4.3	0.43
CDEIS week 14	–	9.3 $\pm$ 3.4	–	17.4 $\pm$ 4.7	<0.001
Mayo endoscopic subscore week 0	2.6 $\pm$ 0.5	–	2.2 $\pm$ 0.4	–	0.15
Mayo endoscopic subscore week 14	1.3 $\pm$ 0.5	–	2.4 $\pm$ 0.5	–	0.002
ITL ( $\mu$ g ml <sup>-1</sup> ) week 14	5.6 $\pm$ 3.6 4.1 $\pm$ 2.4	3.5 $\pm$ 1.6	0.9 $\pm$ 1.1 1.1 $\pm$ 1.1	1.2 $\pm$ 1.1	<0.001
ITL within therapeutic window (3–7 $\mu$ g ml <sup>-1</sup> ) at week 14	5 (63) 17 (57)	12 (55)	0 (0) 2 (12)	2 (16)	<0.001
ITL week 14, $\geq$ 0.8 $\mu$ g/ml <sup>-1</sup>	8 (100) 28 (93.3)	20 (91)	3 (60) 9 (52.9)	6 (50)	0.002
Negative ATI week 14	8 (100) 27 (90)	19 (86.4)	3 (60) 11 (64.7)	8 (66.7)	0.004
CRP (mg/L) week 0	34.5 $\pm$ 47.3 42 $\pm$ 44	45 $\pm$ 43	35.1 $\pm$ 29 40 $\pm$ 36	42 $\pm$ 37	0.75
CRP (mg/L) week 14	5.1 $\pm$ 2.5 6 $\pm$ 4.5	6.6 $\pm$ 4.9	25.5 $\pm$ 33 33 $\pm$ 31	36.6 $\pm$ 33	0.005
Mean CRP week 14, <5 mg/l <sup>-1</sup>	7 (87.5) 23 (76.7)	16 (72)	4 (80) 8 (47.1)	4 (33)	0.04
FC $\mu$ g/g <sup>-1</sup> week 0	541.1 $\pm$ 444 593 $\pm$ 435	612 $\pm$ 441	477.4 $\pm$ 411 560 $\pm$ 406	594.6 $\pm$ 392	0.86
FC $\mu$ g/g <sup>-1</sup> week 14	120.1 $\pm$ 91.2 139 $\pm$ 107	146.1 $\pm$ 110	491.8 $\pm$ 555 631 $\pm$ 429	689 $\pm$ 378	<0.001
Mean FC week 14, <100 $\mu$ g/g <sup>-1</sup>	7 (87.5) 21 (70)	14 (63.6)	1 (20) 1 (5.9)	0 (0)	<0.001

Abbreviations: ATI, antibodies to infliximab; CD, Crohn's disease; CDEIS, Crohn's disease Endoscopic Index of Severity; FC, fecal calprotectin; IFX, infliximab; ITL, IFX trough level; UC, ulcerative colitis.  
Data are shown as N (%) or mean  $\pm$  s.d.

were smokers at time of enrollment and 17% had a family history of IBD. Of the CD patients, equal proportions had ileal, colonic and ileocolonic disease. Of the UC patients 62% had pancolitis and 38% left sided colitis.

Based on the endoscopic criteria described in Methods, a total of 30 responders and 17 non-responders were identified in our cohort. The clinical and laboratory characteristics of responders and non-responders are compared in Table 2.

Almost 2/3 of UC and CD patients were primary responders. At baseline (week 0, before therapy), the CDEIS and Mayo subscore were similar in the two groups. After induction therapy (week 14), the mean CDEIS score in CD patients decreased by 8.3 points in responders compared to 1.45 points in non-responders ( $P < 0.001$ ). In UC patients, the mean Mayo endoscopic subscore decreased by 1.37 points in responders, while it increased by 0.2 points in non-responders ( $P < 0.001$ ).

ITL were 4.1  $\pm$  2.4 in responders compared to 1.1  $\pm$  1.1  $\mu$ g/ml ( $P < 0.001$ ) in non-responders. In 57% of responders and 12% of non-responders ITL was within the therapeutic window (3–7  $\mu$ g/ml).<sup>20</sup> ATI were present in 35.3% of non-responders and in 10% of responders. Mean CRP and FC were similar at

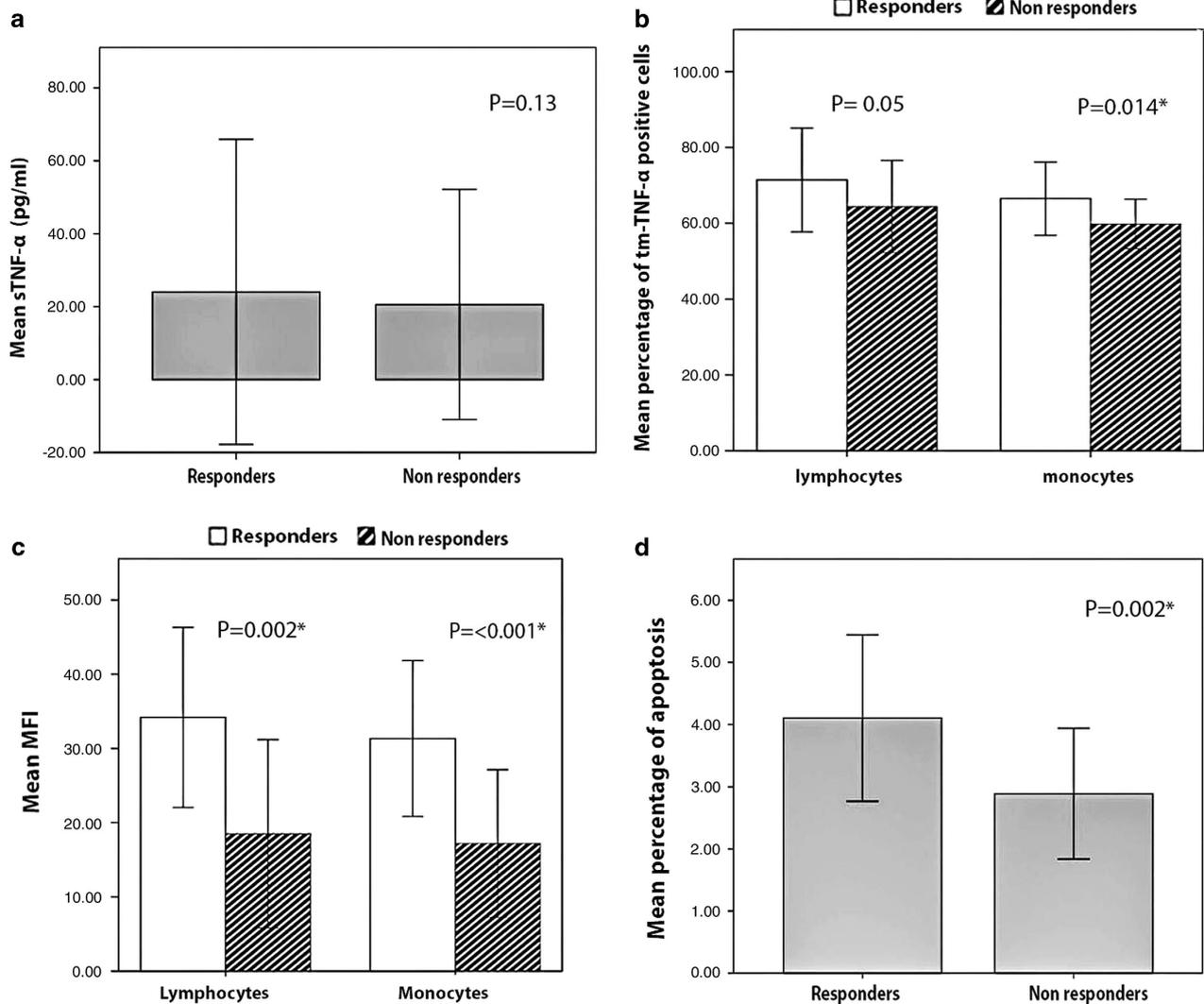
baseline in the two groups. Mean CRP decreased by 17.5% in non-responders and by 86% in responders after induction therapy ( $P < 0.001$ ). Mean FC decreased by 75% in responders after induction therapy, while it increased by 12% in non-responders ( $P < 0.001$ ).

Next, sTNF $\alpha$  and tm-TNF- $\alpha$  were measured at baseline, and apoptosis at week 14.

Mean sTNF- $\alpha$  was 24.1  $\pm$  41.8 pg/ml vs. 20.6  $\pm$  31.6 pg/ml, in responders vs. non-responders, respectively ( $P = 0.13$ ) (Figure 2a).

The mean percentage of tmTNF- $\alpha$  bearing lymphocytes was borderline statistically different between responders and non-responders (71.4  $\pm$  13.6 vs. 64.3  $\pm$  12.1, respectively,  $P = 0.05$ ) (Figure 2b). The mean percentage of tmTNF- $\alpha$  bearing monocytes was significantly greater in responders compared to non-responders (66.5  $\pm$  9.6 vs. 59.8  $\pm$  6.5, respectively,  $P = 0.014$ ) (Figure 2b).

Mean lymphocyte MFI of tmTNF- $\alpha$  was greater in responders compared to non-responders (34.1  $\pm$  12.1 vs. 18.5  $\pm$  12.6,  $P = 0.002$ ) (Figure 2c). No significant correlation was observed between the lymphocyte MFI and sTNF- $\alpha$  values ( $r = -0.043$ ,  $P = 0.77$ ). Mean monocyte MFI of



**Figure 2** sTNF- $\alpha$ , tmTNF- $\alpha$  bearing lymphocytes and monocytes, lymphocyte and monocyte tmTNF- $\alpha$  MFI (measured at baseline), and apoptosis in IFX responders and IFX non-responders (measured at 14 weeks). (a) Mean sTNF- $\alpha$  level; (b) percentage of tmTNF- $\alpha$  bearing lymphocytes and monocytes; (c) MFI of tmTNF- $\alpha$  in lymphocytes and monocytes; (d) percentage of apoptosis in PBMCs. IFX, Infliximab; MFI, mean fluorescence intensity; PBMC, sTNF- $\alpha$ , soluble TNF- $\alpha$ ; tmTNF- $\alpha$ , transmembrane TNF- $\alpha$ . The asterisk indicates a statistically significant difference.

tmTNF- $\alpha$  was also significantly greater in responders compared to non-responders ( $31.3 \pm 10.4$  vs.  $17.1 \pm 9.9$ , respectively,  $P < 0.001$ ) (Figure 2c). No significant correlation was observed between the monocyte MFI and sTNF- $\alpha$  values ( $r = -0.101$ ,  $P = 0.49$ ).

The mean percentage of apoptosis in PBMCs was significantly greater in responders compared to non-responders, ( $4.1 \pm 1.3\%$  vs.  $2.8 \pm 1\%$ , respectively,  $P = 0.002$ ) (Figure 2d). A significant positive correlation was observed between apoptosis and tmTNF- $\alpha$  MFI values of both lymphocytes and monocytes with correlation coefficients of 0.783 and 0.689, respectively ( $P < 0.001$ ). There was no significant correlation between apoptosis and sTNF- $\alpha$  levels ( $r = -0.100$ ,  $P = 0.5$ ).

Construction of receiver operating characteristic curves and calculation of area under the curve was used to evaluate the

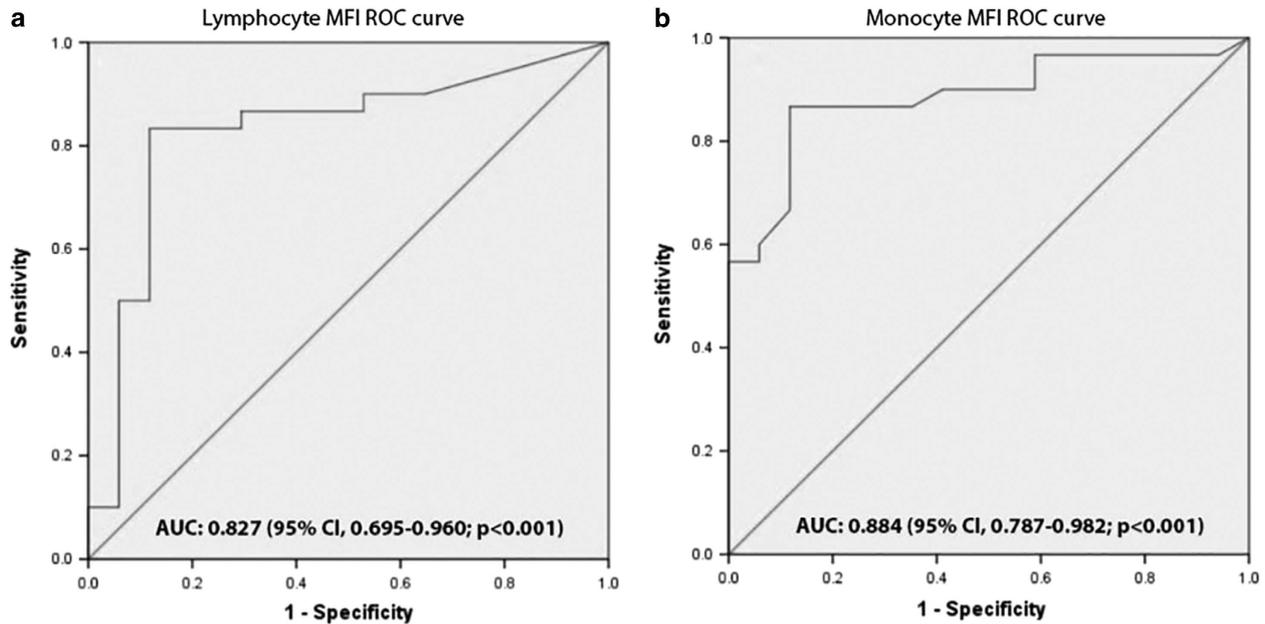
prognostic power of MFI in tmTNF- $\alpha$  bearing lymphocytes and monocytes to predict response to IFX.

For the lymphocyte MFI, area under the curve was 0.827 (95% confidence interval, 0.695–0.960;  $P < 0.001$ ) with the optimal cutoff value of 30.5, with a sensitivity and specificity of 83.3 and 88.2, respectively (Figure 3a).

For the monocytes MFI, area under the curve was 0.884 (95% confidence interval, 0.787–0.982;  $P < 0.001$ ) with an optimal cutoff value of 24.5, with sensitivity and specificity of 86.7 and 88.2, respectively (Figure 3b).

## DISCUSSION

Anti-TNF agents, including IFX, are widely used in moderate to severe IBD. However, a sizeable proportion of biological naive patients fail to respond (PNR) or lose response over time



**Figure 3** ROC curves for lymphocyte (a) and monocyte (b) MFI. MFI, mean fluorescence intensity; ROC, receiver operating characteristic.

(SLR). Given the potentially serious adverse effects of IFX and the financial burden it imposes to both health systems and patients, the identification of biomarkers which could help predict response to IFX might reduce the risks and costs for those patients who will fail to respond.<sup>21,22</sup>

Several potential biomarkers have been proposed to predict PNR to IFX in IBD patients.<sup>22</sup> Among them, the role of serum TNF- $\alpha$  level in IFX response has been widely investigated. However, there is no consensus as to its utility.

Martinez-Borra *et al.* reported that a high serum TNF- $\alpha$  level is associated with lack of response to IFX in CD patients.<sup>23</sup> By contrast, Magnusson *et al.* showed that baseline levels of TNF- $\alpha$  tended to be higher in responders than in non-responders.<sup>24</sup> Others have shown that baseline serum TNF- $\alpha$  in rheumatoid arthritis patients might provide a good estimation of the global disease burden and—as such—should be targeted in IFX dose titration studies.<sup>25</sup>

In addition to the neutralization of sTNF- $\alpha$ , IFX appears to attenuate inflammation by the so-called “tmTNF- $\alpha$  reverse signaling-induced apoptosis” whereby tmTNF- $\alpha$  appears to act as a cell surface receptor binding circulating IFX, binding which in turn triggers reverse signaling and other events, including apoptosis of the tmTNF- $\alpha$  bearing cells, a *de facto* cellular suicide.<sup>11–13</sup> This mechanism of action of IFX seems to be clinically very important. In fact, IBD patients do not respond to Etanercept, (another anti-TNF- $\alpha$  agent) which—by contrast with IFX—only appears to inhibit sTNF- $\alpha$ , but does not induce reverse signaling through tmTNF- $\alpha$  binding.<sup>4,13,26</sup>

In this regard, Atreya *et al.* showed that CD patients with a high number of tmTNF- $\alpha$  positive cells (as tested in inflamed intestinal tissue) had a better response to anti-TNF- $\alpha$  therapy when compared to patients with a low number of tmTNF- $\alpha$  positive cells.<sup>27</sup>

In this study, we tested the hypothesis that a high density of TNF- $\alpha$  on the surface of TNF- $\alpha$  bearing circulating cells might lead to more efficient induction of reverse signaling associated apoptosis, and might predict response to IFX in IBD patients. For the purpose, we enrolled CD and UC patients naive to IFX and tested sTNF- $\alpha$ , percentage of circulating TNF- $\alpha$  positive monocytes and lymphocytes, MFI of tmTNF- $\alpha$  in circulating lymphocytes and monocytes, and apoptosis rate in PBMCs of IFX responders and non-responders. It is important to stress that patients were identified as responders or non-responders based on a solid objective clinical outcome—endoscopic activity—after full induction therapy. In addition, we measured blood and stool markers of inflammation before and after therapy. We believe that these are more reliable indicators of response than symptomatic improvement after the IFX induction phase.<sup>3,5</sup> Enrolled patients had no strictures or other disease complications which would have made them potentially resistant to any medical therapy. We also measured ITL and ATI—based on the currently held opinion that resistance to IFX might be due to low ITL or to the presence of ATI, albeit less likely in PNR than in SLR.<sup>5,6</sup>

A total of 47 patients completed the study. More than 2/3 responded to IFX, showing a significant drop in endoscopic scores, CRP and FC levels. IFX responders had higher ITL when compared to non-responders. In addition, 35% of non-responders (and 10% of responders) had detectable ATI levels. It should be noted that the use of a drug-tolerant assay allowed us to detect ATI in the presence of an adequate IFX concentration.<sup>28</sup> These data (as well as the very restrictive inclusion criteria) further suggest overall that in our patient population the lack of response—when present—could be driven by factors other than immune response to IFX such as a specific biologic mechanism. Indeed, the MFI value of tmTNF-

$\alpha$  was significantly lower in IFX non-responders compared to IFX responders, in both monocytes and lymphocytes. In addition, the apoptosis rate in PBMCs was also lower in IFX non-responders compared to IFX responders. By contrast, sTNF- $\alpha$  did not significantly differ in the two populations and did not correlate with MFI values and apoptotic rates. Taken together these findings might suggest that in non-responders less circulating IFX binds to tmTNF- $\alpha$  which in turn might lead to faster clearance (leading to lower ITL) and decreased efficacy.

Other data suggest that PNR might be due to a disease burden exceeding the neutralizing capacity of the standard IFX dose.<sup>7,8,29</sup> However, our findings are not inconsistent with such conclusion. They rather suggest that in PNR IFX efficacy seems to be hampered by a defective target—which could in principle be overcome by larger medication doses, a scenario functionally and clinically indistinguishable from a greater disease burden. Clearly, many other mechanisms might also be at play.

Similar observations have been made in other diseases. For example, different sensitivity to apoptosis induced by anti-TNF- $\alpha$  therapy has been previously shown in PBMCs of rheumatoid arthritis patients.<sup>30</sup>

Hence, our results show that in principle a simple blood test in PBMCs could be used to predict response to IFX in IBD patients. This conclusion is also supported by the good prognostic value, sensitivity and specificity of tmTNF- $\alpha$  MFI of both lymphocytes and monocytes as assessed by receiver operating characteristic and area under the curve.

Potential limitations of our study are the relatively small sample size and the relatively short follow up. In addition, we have only studied patients with PNR to IFX—and it is not clear whether our findings would also apply to SLR.

In conclusion, our data show that the intensity of tmTNF- $\alpha$  on circulating leukocytes in IBD patients is related to IFX response in both CD and UC. This observation has the potential to be used clinically given its relatively low cost and lack of invasiveness. Further studies to confirm these findings are warranted.

## CONFLICT OF INTEREST

**Guarantor of the article:** Dario Sorrentino, MD, FRACP.  
**Specific author contributions:** Study concept and design: Hamid Asadzadeh Aghdaei, Azade Amini Kadijani, Dario Sorrentino. Acquisition of data: Azade Amini Kadijani, Hedieh Balaii. Analysis and interpretation of data: Azade Amini Kadijani, Dario Sorrentino. Drafting of the manuscript: Azade Amini Kadijani, Alireza Mirzaei, Vu Nguyen. Critical revision of the manuscript for important intellectual content: Dario Sorrentino, Vu Nguyen. Statistical analysis: Alireza Mirzaei. Administrative, technical, and material support: Mohammad Reza Zali, Shabnam Shahrokh, Jessica L.Mays. Study supervision: Hamid Asadzadeh Aghdaei, Dario Sorrentino.  
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**Potential competing interests:** None.

## Study Highlights

### WHAT IS CURRENT KNOWLEDGE

- A considerable number of inflammatory bowel disease (IBD) patients who start infliximab therapy either do not respond to the induction therapy or lose response during treatment.
- The identification of biomarkers capable of predicting response to infliximab is of considerable clinical importance.
- Transmembrane tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )-induced apoptosis is known as the main mechanism of action that produces the anti-inflammatory effects of infliximab.

### WHAT IS NEW HERE

- Density of transmembrane TNF- $\alpha$  on the circulating immune cells is different among IBD patients.
- Patients with higher transmembrane TNF- $\alpha$  demonstrate higher apoptosis level after infliximab therapy.
- Density of transmembrane TNF- $\alpha$ , as a mechanism-based biomarker, could predict primary response to infliximab.

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